

## PATENT COOPERATION TREATY

PCT

## NOTIFICATION OF ELECTION

(PCT Rule 61.2)

From the INTERNATIONAL BUREAU

To:

Assistant Commissioner for Patents  
United States Patent and Trademark  
Office  
Box PCT  
Washington, D.C.20231  
ETATS-UNIS D'AMERIQUE

in its capacity as elected Office

Date of mailing (day/month/year) 19 October 2000 (19.10.00)	
International application No. PCT/US99/29267	Applicant's or agent's file reference
International filing date (day/month/year) 10 December 1999 (10.12.99)	Priority date (day/month/year) 10 December 1998 (10.12.98)
Applicant DATTA, Pran, K. et al	

1. The designated Office is hereby notified of its election made:

☒ in the demand filed with the International Preliminary Examining Authority on:

06 July 2000 (06.07.00)

☐ in a notice effecting later election filed with the International Bureau on:2. The election ☒ was☐ was not

made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b).

The International Bureau of WIPO  
34, chemin des Colombettes  
1211 Geneva 20, Switzerland

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Authorized officer

Maria Kirchner

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## PATENT COOPERATION TREATY

## PCT

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## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference 1242/14PCT	FOR FURTHER ACTION	See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)
International application No. PCT/US99/29267	International filing date (day/month/year) 10 DECEMBER 1999	Priority date (day/month/year) 10 DECEMBER 1998
International Patent Classification (IPC) or national classification and IPC Please See Supplemental Sheet.		
Applicant VANDERBILT UNIVERSITY		

1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.

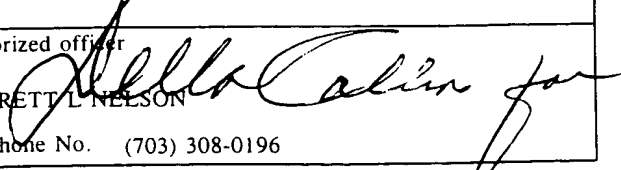
2. This REPORT consists of a total of 5 sheets.

☒ This report is also accompanied by ANNEXES, i.e., sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority. (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).

These annexes consist of a total of 0 sheets.

3. This report contains indications relating to the following items:

- I ☒ Basis of the report
- II ☐ Priority
- III ☐ Non-establishment of report with regard to novelty, inventive step or industrial applicability
- IV ☒ Lack of unity of invention
- V ☒ Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- VI ☐ Certain documents cited
- VII ☐ Certain defects in the international application
- VIII ☐ Certain observations on the international application

Date of submission of the demand  06 JULY 2000	Date of completion of this report  13 OCTOBER 2000
Name and mailing address of the IPEA/US Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231	Authorized officer  BRETT L. NELSON
Facsimile No. (703) 305-3230	Telephone No. (703) 308-0196

**I. Basis of the report****1. With regard to the elements of the international application:\***

- ☒ the international application as originally filed  
☒ the description:  
pages 1-103, as originally filed  
pages NONE, filed with the demand  
pages NONE, filed with the letter of \_\_\_\_\_

- ☒ the claims:  
pages 104-111, as originally filed  
pages NONE, as amended (together with any statement) under Article 19  
pages NONE, filed with the demand  
pages NONE, filed with the letter of \_\_\_\_\_

- ☒ the drawings:  
pages 1-14, as originally filed  
pages NONE, filed with the demand  
pages NONE, filed with the letter of \_\_\_\_\_

- ☒ the sequence listing part of the description:  
pages 1-4, as originally filed  
pages NONE, filed with the demand  
pages NONE, filed with the letter of \_\_\_\_\_

**2. With regard to the language, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.**  
These elements were available or furnished to this Authority in the following language \_\_\_\_\_ which is:

- ☐ the language of a translation furnished for the purposes of international search (under Rule 23.1(b)).  
☐ the language of publication of the international application (under Rule 48.3(b)).  
☐ the language of the translation furnished for the purposes of international preliminary examination (under Rules 55.2 and/or 55.3).

**3. With regard to any nucleotide and/or amino acid sequence disclosed in the international application, the international preliminary examination was carried out on the basis of the sequence listing:**

- ☒ contained in the international application in printed form.  
☒ filed together with the international application in computer readable form.  
☐ furnished subsequently to this Authority in written form.  
☐ furnished subsequently to this Authority in computer readable form.  
☐ The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.  
☐ The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished.

**4. ☒ The amendments have resulted in the cancellation of:**

- ☒ the description, pages NONE  
☒ the claims, Nos. NONE  
☒ the drawings, sheets/fig NONE

**5. ☐ This report has been drawn as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).\*\***

\* Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report since they do not contain amendments (Rules 70.16 and 70.17).

\*\* Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report.

**IV. Lack of unity of invention**

1. In response to the invitation to restrict or pay additional fees the applicant has:

- ☐ restricted the claims.
- ☒ paid additional fees.
- ☐ paid additional fees under protest.
- ☐ neither restricted nor paid additional fees.

2. ☐ This Authority found that the requirement of unity of invention is not complied with and chose, according to Rule 68.1, not to invite the applicant to restrict or pay additional fees.

3. This Authority considers that the requirement of unity of invention in accordance with Rules 13.1, 13.2 and 13.3 is

- ☐ complied with.
- ☒ not complied with for the following reasons:

As applicant was previously notified this International Preliminary Examining Authority has found plural inventions claimed in the International Application covered by the claims indicated below:

This application contains the following inventions or groups of inventions which are not so linked as to form a single inventive concept under PCT Rule 13.1. In order for all inventions to be examined, the appropriate additional examination fees must be paid.

Group I, claim(s) 1-5, 45 and 64-67, drawn to a STRAP polypeptide, kit and pharmaceutical composition.

Group II, claim(s) 14-28 and 68-74, drawn to a nucleic acid, host cell, and pharmaceutical composition.

Group III, claim(s) 49-56, drawn to a method of modulating STRAP.

and it considers that the International Application does not comply with the requirements of unity of invention (Rules 13.1, 13.2 and 13.3) for the reasons indicated below:

The inventions listed as Groups I-III do not relate to a single inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, they lack the same or corresponding special technical features for the following reasons: the different Groups recite multiple products and methods and P.C.T. rules 13.1 and 13.2 do not provide for multiple methods and products.

4. Consequently, the following parts of the international application were the subject of international preliminary examination in establishing this report:

- ☐ all parts.
- ☒ the parts relating to claims Nos. 1-5, 14-28, 45, 49-56 and 64-74.

## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.

PCT/US99/29267

**V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement****1. statement**

Novelty (N)	Claims	<u>(Please See supplemental sheet)</u>	YES
	Claims	<u>(Please See supplemental sheet)</u>	NO
Inventive Step (IS)	Claims	<u>(Please See supplemental sheet)</u>	YES
	Claims	<u>(Please See supplemental sheet)</u>	NO
Industrial Applicability (IA)	Claims	<u>(Please See supplemental sheet)</u>	YES
	Claims	<u>(Please See supplemental sheet)</u>	NO

**2. citations and explanations (Rule 70.7)**

Claims 1-5, 14-28, 45, 49-56 and 64-74 meet the criteria set out in PCT Article 33(2)-(4), because the prior art does not teach or fairly suggest STRAP polypeptides, nucleic acids encoding STRAP and methods of using STRAP. Moreover, the claimed invention has industrial applicability in the field of Biotechnology.

----- NEW CITATIONS -----

NONE

**Supplemental Box**

(To be used when the space in any of the preceding boxes is not sufficient)

Continuation of: Boxes I - VIII

Sheet 10

**CLASSIFICATION:**

The International Patent Classification (IPC) and/or the National classification are as listed below:

IPC(7): C07K 1/00, 14/00; C07H 21/02, 21/04; C12N 15/00, 15/09, 15/63, 15/70, 15/74, 5/00, 5/02 and US Cl.: 530/350; 536/23.1, 23.5; 435/320.1, 325

**III. NON-ESTABLISHMENT OF REPORT:****V. 1. REASONED STATEMENTS:**

The report as to Novelty was positive (YES) with respect to claims 1-5, 14-28, 45, 49-56 and 64-74.

The report as to Novelty was negative (NO) with respect to claims NONE.

The report as to Inventive Step was positive (YES) with respect to claims 1-5, 14-28, 45, 49-56 and 64-74.

The report as to Inventive Step was negative (NO) with respect to claims NONE.

The report as to Industrial Applicability was positive (YES) with respect to claims 1-5, 14-28, 45, 49-56 and 64-74.

The report as to Industrial Applicability was negative (NO) with respect to claims NONE.



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>6</sup> :</b> <b>C07K 1/00, 14/00, C07H 21/02, 21/04,</b> <b>C12N 15/00, 15/09, 15/63, 15/70, 15/74,</b> <b>5/00, 5/02</b>	<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 00/34310</b>  <b>(43) International Publication Date:</b> 15 June 2000 (15.06.00)
<b>(21) International Application Number:</b> PCT/US99/29267  <b>(22) International Filing Date:</b> 10 December 1999 (10.12.99)  <b>(30) Priority Data:</b> 60/111,668                      10 December 1998 (10.12.98)      US  <b>(71) Applicant (for all designated States except US):</b> VANDER- BILT UNIVERSITY [US/US]; Suite 210, 1207 17th Av- enue South, Nashville, TN 37212 (US).  <b>(72) Inventors; and</b> <b>(75) Inventors/Applicants (for US only):</b> DATTA, Pran, K. [IN/US]; 2134 Fairfax Avenue, #A8, Nashville, TN 37212 (US). MOSES, Harold, L. [US/US]; 8535 Poplar Creek Road, Nashville, TN 37221 (US).  <b>(74) Agent:</b> TAYLOR, Arles, A., Jr.; Jenkins & Wilson, P.A., University Tower, Suite 1400, 3100 Tower Boulevard, Durham, NC 27707 (US).		<b>(81) Designated States:</b> AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report.</i> <i>Before the expiration of the time limit for amending the</i> <i>claims and to be republished in the event of the receipt of</i> <i>amendments.</i>
<b>(54) Title:</b> PURIFIED AND ISOLATED SERINE-THREONINE KINASE RECEPTORS ASSOCIATED PROTEIN		
<b>(57) Abstract</b>  Isolated and purified proteins and nucleic acids which modulate TGF- $\beta$ biological activity, including TGF- $\beta$ signal transduction. Particularly, a novel serine-threonine kinase receptors associated protein (STRAP) and cDNA encoding the same are disclosed. Recombinant host cells, recombinant nucleic acids and recombinant proteins are also disclosed, along with methods of producing each. Isolated and purified antibodies to STRAP, and methods of producing the same, are also disclosed. STRAP is characterized as having TGF- $\beta$ modulating activity. Thus, therapeutic methods involving this activity are also disclosed.		

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Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

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DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		



## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US99/29267

**A. CLASSIFICATION OF SUBJECT MATTER**

IPC(6) : Please See Extra Sheet.

US CL : 530/350; 536/23.1, 23.5; 435/320.1, 325

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 530/350; 536/23.1, 23.5; 435/320.1, 325

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

WEST, DIALOG, MEDLINE, BIOSIS, SCISEARCH, EMBASE

search terms: STRAP, peptide, polypeptide, DNA, nucleotide, polynucleotide, cell line, vector, TGF-beta

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 4,863,899 A (TODARO) 05 September 1989(05.09.89), see entire document.	1-5, 14-28 45, 49-56, 64-74
A	US 4,742,003 A (DERYNCK et al) 03 May 1988(03.05.88), see entire document.	1-5, 14-28, 45, 49-56 64-74
A	US 4,816,561 A (TODARO) 28 March 1989(28.03.89), see entire document.	1-4, 14-28, 45, 49-56, 64-74



Further documents are listed in the continuation of Box C.



See patent family annex.

* Special categories of cited documents:	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"A" document defining the general state of the art which is not considered to be of particular relevance	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"E" earlier document published on or after the international filing date	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"&" document member of the same patent family
"O" document referring to an oral disclosure, use, exhibition or other means	
"P" document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

31 MARCH 2000

Date of mailing of the international search report

21 APR 2000

Name and mailing address of the ISA/US  
Commissioner of Patents and Trademarks  
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Authorized officer

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# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US99/29267

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This international report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
  
2. ☐ Claims Nos.:  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
  
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

Please See Extra Sheet.

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☒ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:  
1-5, 14-28, 45, 49-56, 64-74
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

### Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☒ No protest accompanied the payment of additional search fees.

# INTERNATIONAL SEARCH REPORT

International application No.

PCT/US99/29267

## A. CLASSIFICATION OF SUBJECT MATTER:

IPC (6):

C07K 1/00, 14/00; C07H 21/02, 21/04; C12N 15/00, 15/09, 15/63, 15/70, 15/74, 5/00, 5/02

## BOX II. OBSERVATIONS WHERE UNITY OF INVENTION WAS LACKING

This ISA found multiple inventions as follows:

This application contains the following inventions or groups of inventions which are not so linked as to form a single inventive concept under PCT Rule 13.1. In order for all inventions to be searched, the appropriate additional search fees must be paid.

Group I, claim(s) 1-5, 45, and 64-67, drawn to a STRAP polypeptide, kit and pharmaceutical composition.

Group II, claim(s) 6-13, drawn to an antibody, hybridoma cell line.

Group III, claim(s) 14-28 and 68-74, drawn to a nucleic acid, host cell and pharmaceutical composition.

Group IV, claim(s) 29, drawn to a method of preparing STRAP.

Group V, claim(s) 30, 36 and 44, drawn to a method of detecting RNA that encodes STRAP and kit.

Group VI, claim(s) 31-34, drawn to a method of producing antibodies.

Group VII, claim(s) 35 and 38-43, drawn to a method of detecting a polypeptide employing an antibody and kit.

Group VIII, claim(s) 37, drawn to a method of detecting DNA.

Group IX, claim(s) 46 and 47, drawn to a method of screening candidate substances.

Group X, claim(s) 48, drawn to a recombinant cell line.

Group XI, claim(s) 49-56, drawn to a method of modulating STRAP.

Group XII, claim(s) 57-61, drawn to a method of treatment employing a substance capable of modulating STRAP.

Group XIII, claim(s) 62-63, drawn to a method of treatment employing a biological active STRAP.

Group XIV, claim(s) 75-77, drawn to a transgenic animal.

The inventions listed as Groups I-XIV do not relate to a single inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, they lack the same or corresponding special technical features for the following reasons: the different Groups recite multiple methods and multiple products and P.C.T. Rules 13.1 and 13.2 do not allow for multiple methods and products.

It should be noted that while applicant requested that the search be carried out on two additional Groups (Groups III and XI), applicant only paid for one additional Group. Therefore, Groups I and III will be searched.

### Description

## PURIFIED AND ISOLATED SERINE-THREONINE KINASE RECEPTORS ASSOCIATED PROTEIN

5

### Priority Application Information

This application claims the benefit of United States Provisional Patent Application 60/111,668, filed December 10, 1998, the disclosure of which is incorporated herein by reference in its entirety.

### Grant Statement

10

This work was supported by NIH grant CA 42572. The U.S. Government has certain rights in the invention.

### Technical Field

The present invention relates generally to isolated and purified proteins and nucleic acids which modulate TGF- $\beta$  biological activity, including TGF- $\beta$  signal transduction. More particularly, the present invention relates to an isolated and purified serine-threonine kinase receptors associated protein and an isolated and purified polynucleic acid encoding the same.

The publications and other materials used herein to illuminate the background of the invention, and in particular cases, to provide additional details respecting the practice, are incorporated herein by reference, and for convenience, are referenced by author and date in the following text, and respectively grouped in the appended list of references.

### Table of Abbreviations

25	BMP	Bone morphogenetic protein
	BSA	Bovine serum albumin
	CDR(s)	Complementarity determining region(s)

-2-

	GC-MS	Gas chromatography-Mass spectroscopy
	HAT	Cell culture media comprising hypoxanthine, aminopterin, and thymidine
	HPLC	High pressure liquid chromatography
5	KLH	Keyhole limpet hemocyanin
	PCR	Polymerase chain reaction
	SMAD	Vertebrate Homologues of Sma and Mad
	STRAP	Serine-Threonine Kinase Receptors Associated Protein
10	TGF $\beta$	Transforming growth factor $\beta$
	T $\beta$ R-I	Transforming growth factor $\beta$ receptor I
	T $\beta$ R-II	Transforming growth factor $\beta$ receptor II

### Background Art

15 Transforming growth factor  $\beta$  's (TGF $\beta$  ) are a family of multifunctional cell regulatory factors produced in various forms by many types of cells (for review see Sporn et al., *J. Cell Biol.* 105:1039, (1987)). Five different TGF $\beta$  's are known, and the functions of two, TGF $\beta$  -1 and TGF $\beta$  -2, have been characterized in detail. TGF $\beta$  's are the subject of U.S. Pat. Nos. 4,863,899; 4,816,561; and 4,742,003 which are incorporated by reference. TGF $\beta$  -1 and
 20 TGF $\beta$  -2 are publicly available through many commercial sources (e.g. R & D Systems, Inc. of Minneapolis, Minnesota). These two proteins have similar functions and will be here collectively referred to as TGF- $\beta$  .

25 TGF- $\beta$  binds to cell surface receptors possessed by essentially all types of cells, causing profound changes in them. In some cells, TGF- $\beta$  promotes cell proliferation and in others it suppresses proliferation. A marked effect of TGF- $\beta$  is that it promotes the production of extracellular matrix proteins and their receptors by cells (for review see Keski-Oja et al., *J. Cell Biochem.* 33:95 (1987); Massague, *Cell* 49:437 (1987); Roberts and Sporn in "Peptides Growth Factors and Their Receptors" (Springer-Verlag, Heidelberg) (1989)).

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While TGF- $\beta$  has many essential cell regulatory functions, improper TGF- $\beta$  activity can be detrimental to an organism. Since the growth of mesenchyme and proliferation of mesenchymal cells is stimulated by TGF- $\beta$ , some tumor cells may use TGF- $\beta$  as an autocrine growth factor. Therefore, if the growth factor activity of TGF- $\beta$  could be prevented, tumor growth could be controlled. In other cases the inhibition of cell proliferation by TGF- $\beta$  may be detrimental, in that it may prevent healing of injured tissues. The stimulation of extracellular matrix production by TGF- $\beta$  is important in situations such as wound healing. However, in some cases the body takes this response too far and an excessive accumulation of extracellular matrix ensues. An example of excessive accumulation of extracellular matrix is glomerulonephritis, a disease with a detrimental involvement of TGF- $\beta$ .

Pleiotropic responses to TGF- $\beta$  are mediated via ligand-induced heteromeric complex formation by type I and type II receptors. Upon ligand binding, the type II receptor (T $\beta$ R-II), which is a constitutively active kinase, transphosphorylates the type I receptor (T $\beta$ R-I) and activates this kinase to propagate the signals to downstream effectors, termed SMAD proteins. See Massagué et al., *Trends Cell Biol.* 7:187-192 (1997); Heldin et al., *Nature* 390:465-471 (1997). SMAD proteins can be classified according to their role in signaling by TGF- $\beta$  family members. Pathway-restricted SMADs interact transiently with, and are phosphorylated by specific activated type I receptors. Smad2 and Smad3 mediate signaling by TGF- $\beta$  and activin, whereas Smad1 and Smad5 are involved in BMP signaling. Smad4 is a common mediator of TGF- $\beta$ , activin and BMP signals. Recently Smad6 and Smad7 have been shown to function as inhibitors of these signaling pathways by interfering with the activation of pathway-restricted SMADs. Although the nature and mechanism of activation of TGF- $\beta$  receptors at the cell surface has been described and the roster of potential regulators of TGF- $\beta$  signaling continues to expand, little is known at the molecular level about the signaling mechanisms immediately downstream of the TGF- $\beta$  receptors.

This lack of knowledge represents a serious deficiency in the art in view of the effects of cell regulatory factors such as TGF $\beta$  as described above.

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Therefore, further characterization of TGF- $\beta$  signaling in vertebrates, particularly in mammals, and more particularly in humans is needed. A novel isolated and purified polypeptide having a role in the modulation of TGF- $\beta$  signaling would have broad utility due to the various and multiple physiological and pathophysiological roles of TGF- $\beta$ , as described above.

#### Summary of the Invention

The present invention contemplates an isolated and purified vertebrate serine-threonine kinase receptors associated protein (STRAP) which plays a role in the modulation of TGF- $\beta$  biological activity. More preferably, a polypeptide of the invention is a recombinant polypeptide. Even more preferably, a polypeptide of the present invention comprises a mammalian STRAP. Even more preferably, a polypeptide of the present invention comprises a human STRAP. Even more preferably, a polypeptide of the present invention comprises the amino acid residue sequence of SEQ ID NO:2.

The present invention also provides an isolated and purified polynucleotide that encodes a polypeptide that plays a role in the modulation of TGF- $\beta$  biological activity. In a preferred embodiment, a polynucleotide of the present invention comprises a DNA molecule from a vertebrate species. A preferred vertebrate is a mammal. A preferred mammal is a human. More preferably, a polynucleotide of the present invention encodes a polypeptide designated STRAP. Even more preferred, a polynucleotide of the present invention encodes a polypeptide comprising the amino acid residue sequence of SEQ ID NO:2. Most preferably, an isolated and purified polynucleotide of the invention comprises the nucleotide base sequence of SEQ ID NO:1.

In another embodiment, the present invention provides an antibody immunoreactive with a STRAP polypeptide as described above. SEQ ID NO:1 and SEQ ID NO:2 set forth representative vertebrate nucleotide and amino acid sequences. Also contemplated by the present invention are antibodies immunoreactive with homologues or biologically equivalent STRAP polynucleotides and polypeptides found in other vertebrates. Preferably, an antibody of the invention is a monoclonal antibody. More preferably, the

STRAP polypeptide comprises human STRAP. Even more preferably, the STRAP polypeptide comprises the amino acid residue sequence of SEQ ID NO:2.

5 In another aspect, the present invention contemplates a method of producing an antibody immunoreactive with STRAP as described above, the method comprising the steps of (a) transfecting a recombinant host cell with a polynucleotide that encodes a STRAP polypeptide having a TGF- $\beta$  activity-modulating function; (b) culturing the host cell under conditions sufficient for expression of the polypeptide; (c) purifying the polypeptide; and (d) raising the  
10 antibody to the polypeptide. Preferably, the host cell is transfected with the polynucleotide of SEQ ID NO:1. Even more preferably, the present invention provides an antibody prepared according to the method described above. Also contemplated by the present invention is the use of homologues or biologically equivalent polynucleotides and polypeptides found in other vertebrates to  
15 produce antibodies.

Alternatively, the present invention provides a method of detecting a STRAP polypeptide as described above, wherein the method comprises immunoreacting the polypeptide with an antibody prepared according to the method described above to form an antibody-polypeptide conjugate, and  
20 detecting the conjugate.

In yet another embodiment, the present invention contemplates a method of detecting a messenger RNA transcript that encodes a STRAP polypeptide as described above, wherein the method comprises hybridizing the messenger RNA transcript with a polynucleotide sequence that encodes that  
25 polypeptide to form a duplex; and detecting the duplex. Alternatively, the present invention provides a method of detecting a DNA molecule that encodes a STRAP polypeptide as described above, wherein the method comprises hybridizing DNA molecules with a polynucleotide that encodes a STRAP polypeptide having a TGF- $\beta$  activity-modulating function to form a duplex; and  
30 detecting the duplex.

In another aspect, the present invention contemplates an assay kit for detecting the presence of a STRAP polypeptide in a biological sample, where



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the kit comprises a first container containing a first antibody capable of immunoreacting with a vertebrate STRAP polypeptide having a TGF- $\beta$  activity-modulating function, with the first antibody present in an amount sufficient to perform at least one assay. Preferably, an assay kit of the invention further  
5 comprises a second container containing a second antibody that immunoreacts with the first antibody. More preferably, the antibodies used in an assay kit of the present invention are monoclonal antibodies. Even more preferably, the first antibody is affixed to a solid support. More preferably still, the first and second antibodies comprise an indicator, and, preferably, the indicator is a  
10 radioactive label or an enzyme.

In an alternative aspect, the present invention provides an assay kit for detecting the presence, in biological samples, of a STRAP polypeptide, the kits comprising a first container that contains a second polynucleotide identical or complementary to a segment of at least 10 contiguous nucleotide bases of a  
15 polynucleotide that encodes a STRAP polypeptide having a TGF- $\beta$  activity-modulating function.

In another embodiment, the present invention contemplates an assay kit for detecting the presence, in a biological sample, of an antibody immunoreactive with a STRAP polypeptide, the kit comprising a first container  
20 containing a STRAP polypeptide having a TGF- $\beta$  activity-modulating function that immunoreacts with the antibody, with the polypeptide present in an amount sufficient to perform at least one assay.

In still a further embodiment, this invention pertains to therapeutic methods based upon the TGF- $\beta$  activity-modulating function of STRAP as  
25 described herein.

Thus, an aspect of the present invention pertains to the discovery of the novel STRAP protein and nucleic acid encoding the STRAP protein. Preferred nucleic acid and amino acid sequences for STRAP are described in SEQ ID NO:1 and SEQ ID NO:2.

30 It is another aspect of this invention that the novel STRAP protein acts in the TGF- $\beta$  cascade to modulate TGF- $\beta$  biological activity.

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It is thus another aspect of this invention to provide a purified and isolated STRAP polypeptide having a TGF- $\beta$  activity-modulating function.

5 The foregoing aspects and embodiments have broad utility given the biological significance of the TGF- $\beta$  cascade, as is known in the art. By way of example, the foregoing aspects and embodiments are useful in the preparation of screening assays and assay kits that are used to identify compounds that affect or modulate TGF- $\beta$  biological activity, or that are used to detect the presence of the proteins and nucleic acids of this invention in biological samples. Additionally, it is well known that isolated and purified polypeptides have utility as feed additives for livestock and further polynucleotides encoding the polypeptides are thus useful in producing the polypeptides.

10 Some of the aspects and objects of the invention having been stated hereinabove, other aspects and objects will become evident as the description proceeds, when taken in connection with the accompanying Drawings and Examples as best described hereinbelow.

#### Brief Description of the Drawings

Figure 1 is a schematic of the TGF- $\beta$  cascade, which spans the cell membrane, cytoplasm and nucleus. Biological responses mediated by the cascade include proliferation (+/-), differentiation (+/-), apoptosis (+), wound healing and tissue morphogenesis. In addition to TGF- $\beta$  or TGF- $\beta$ /Activin, other depicted participants in the cascade include TRIP-1, FKBP1 2, TRAP1, FT $\alpha$ , Smads 1, 2, 3, 4, 5, 6 and 7 and BMP receptors, along with Fast-1, Mix.2 and ARE. P=phosphate group, i.e. a phosphorylated species.

25 Figure 2A displays the amino acid sequence deduced from the nucleotide sequence of the full-length mouse STRAP cDNA is shown in single letter code. The WD domains are underlined with solid lines and the C-terminal end of the deletion mutant, STRAP (1-294)-Flag is indicated.

30 Figure 2B displays the alignment of the amino acid sequences of STRAP and TRIP-1. The alignment was performed using a software program sold under the trademark MEGALIGN<sup>TM</sup> by DNASTAR Inc. of Madison,

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Wisconsin. Identical amino acids are shown in white letters on a black background. Dashes represent gaps for optimal alignment.

Figure 2C is an autoradiograph of a Northern Blot with mRNA from multiple mouse tissues was probed with <sup>32</sup>P-labeled STRAP (top) and Actin (bottom) cDNAs.

Figure 2D is an autoradiograph of a Southern Blot containing genomic DNA from various eukaryotic species digested with EcoR1 and probed with <sup>32</sup>P-labeled STRAP.

Figure 3A is an autoradiograph of an immunoblot showing *in vivo* association of STRAP with T $\beta$ R-I and T $\beta$ R-II in presence or absence of TGF- $\beta$ 1 and that STRAP can also associate with Smad6 or Smad7 but not with Smad1. COS-1 cells were transiently transfected with the indicated combinations of STRAP-Flag and HA-tagged wild type (wt) and mutants of T $\beta$ R-I as indicated. Cell lysates were subjected to immunoprecipitation (IP) followed by immunoblotting (blot) as indicated (top two). Expression of T $\beta$ R-I proteins and STRAP was determined by immunoblotting (bottom two).

Figure 3B is an autoradiograph of an immunoblot showing that STRAP interacts with T $\beta$ R-II. COS-1 cells were transiently transfected with the indicated combinations of STRAP-Flag and HA-tagged T $\beta$ R-II constructs. Cell lysates were immunoprecipitated and then immunoblotted as described. Ig = immunoglobulin.

Figure 3C is an autoradiograph of an immunoblot showing that TGF- $\beta$  does not affect the association of STRAP with T $\beta$ R-I and T $\beta$ R-II. A similar experiment was performed in the absence or presence of TGF- $\beta$ 1 (240 pM) for 20 min.

Figure 3D is an autoradiograph of a protein gel showing the interaction of STRAP with TGF- $\beta$ 1-bound receptors. COS-1 cells were transfected with STRAP-Flag or Flag-Smad6 [serves as positive control] in combination with the wild-type (wt) or kinase-defective (K $\rightarrow$ R) HA-tagged T $\beta$ R-I and hexahistidine-tagged T $\beta$ R-II as indicated. Affinity labeled receptor complexes co-precipitated with STRAP were indicated (top). Similar levels of receptor expression were confirmed by analyzing aliquots of total cell lysates by SDS-PAGE (bottom).

Figure 3E is an autoradiograph of an immunoblot showing the association of STRAP with Smad6 and Smad7 but not with Smad1. COS-1 cells were transfected with the indicated combinations of STRAP-HA and Flag-tagged SMADs. In similar experiments cell lysates were subjected to immunoprecipitation followed by immunoblotting as indicated (top two). Expression levels of SMAD proteins were monitored by immunoblotting (bottom).

Figure 4A is an autoradiograph of a protein gel showing COS-1 cells transiently transfected with STRAP-Flag or STRAP (1-294)-Flag in combination with wild-type or kinase-defective HA-tagged T $\beta$ R-I and/or hexahistidine-tagged T $\beta$ R-II as indicated, and showing that the C-terminus of STRAP is required for its TGF- $\beta$  receptor-dependent phosphorylation. Cells were metabolically labeled with  $^{32}$ P-orthophosphate and equal amount of extracts immunoprecipitated with anti-Flag antibody. Phosphorylated STRAP was detected by SDS-PAGE and autoradiography (top). Equivalent expression of STRAP-Flag and STRAP (1-294)-Flag proteins was confirmed by immunoblotting (middle). Phosphate incorporated into STRAP is plotted in relative units (bottom). The result is representative of five independent experiments.

Figure 4B is an autoradiograph of a protein gel providing confirmation of the phosphorylated band as STRAP. The immunoprecipitate from lane 6 of Fig. 4a (lane 1) was boiled with Laemmli sample buffer to disrupt the complex and then subjected to second IP with anti-Flag antibody (lane 2).

Figure 4C is a bar graph showing TGF- $\beta$ -induced transcriptional activation of the 3TP promoter is inhibited by STRAP. R1B/L17 cells deficient in T $\beta$ R-I were transiently transfected with p3TP-Lux reporter,  $\beta$ -gal reporter, T $\beta$ R-I and increasing amounts of STRAP. Luciferase activity was normalized to  $\beta$ -galactosidase activity. These experiments were performed in triplicate four times with similar results. Data are means  $\pm$  SEM of triplicate determinations from a representative experiment.

Figure 5A is a graph showing that STRAP synergizes with Smad7, but not with the mutant, Smad7- $\Delta$ 408. Mv1Lu cells were transiently transfected

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with p3TP-Lux (0.3  $\mu$ g),  $\beta$ -galactosidase reporter (30 ng), T $\beta$ R-I(TD) (0.43  $\mu$ g), Smad7 constructs (0.3  $\mu$ g), and increasing amounts of STRAP (0.2, 0.5 and 1  $\mu$ g) as indicated. In each experiment equal amounts of total DNA were transfected. Luciferase activity was normalized to  $\beta$ -galactosidase activity.

5 The mean of triplicate luciferase values from the TGF- $\beta$  treated control was considered as 100% and this was then divided by three replicates of each point to get the fold repressions. The mean of these fold repressions is plotted with  $\pm$  standard deviation. These experiments were performed four times in triplicate with similar results.

10 Figure 5B is a graph showing that STRAP does not synergize with Smad6, but the STRAP(1-294) mutant shows synergy with Smad7. Mv1Lu cells were transfected as above with Smad7 or Smad6 (0.52  $\mu$ g) and increasing amounts of STRAP(1-294). Luciferase assays were performed as in (A).

15 Figure 6A is a graph showing synergistic inhibition of (CAGA)<sub>9</sub>-reporter activity in response to TGF- $\beta$ . HepG2 cells were transfected with a (CAGA)<sub>9</sub> MLP-Luc reporter (0.3  $\mu$ g) containing nine copies of Smad3/Smad4 binding sites, Smad7 constructs, increasing amounts of STRAP, and increasing amounts of STRAP(1-294) (0.5 and 1  $\mu$ g). TGF- $\beta$  signaling was initiated by  
20 expression of T $\beta$ R-I(T204D). Luciferase assays were performed as described in Fig. 5A.

Figure 6B is a graph showing that STRAP and Smad7 synergistically block an immediate early response to TGF- $\beta$ . HepG2 cells were co-transfected with pAR3-Lux (0.3  $\mu$ g), FAST2 (15 ng), Smad7 constructs, STRAP(1-294) (1  
25  $\mu$ g), and increasing amounts of STRAP as indicated. Cells were treated with or without TGF- $\beta$  (100pM) for 20 hr prior to lysis and then analyzed for luciferase activity.

Figure 6C is a graph showing synergistic inhibition of TGF- $\beta$ -induced PAI-1 promoter activity by STRAP and Smad7. HepG2 cells were transiently  
30 transfected with pGLuc 884 reporter (0.25  $\mu$ g), HA-tagged Smad7 constructs, and increasing amounts of STRAP. TGF- $\beta$  signaling was initiated by treatment of the cells with 100 pM TGF- $\beta$ . Luciferase assays were performed as

described in Fig. 5A. Expression of Smad7 proteins were confirmed by direct immunoblotting of total cell lysates, made for luciferase assays from cells transfected with either vector, Smad7, or Smad7- 408 construct, with anti-HA antibodies.

5           Figure 6D is a graph showing synergistic inhibition of TGF- $\beta$ -induced PAI-1 promoter activity by STRAP and Smad7. HepG2 cells were transiently transfected with pGLuc 884 reporter (0.25  $\mu$ g), HA-tagged Smad7 constructs, and increasing amounts of STRAP. TGF- $\beta$  signaling was initiated by co-expression with T $\beta$ R-I(TD). Luciferase assays were performed as described  
10 in Fig. 5A. Expression of Smad7 proteins were confirmed by direct immunoblotting of total cell lysates, made for luciferase assays from cells transfected with either vector, Smad7, or Smad7- 408 construct, with anti-HA antibodies.

          Figure 7A is an autoradiograph of a protein gel depicting association of  
15 STRAP with Smad7, but not with Smad7- $\Delta$ 408, and oligomerization of STRAP. (A) Interaction of STRAP with Smad6 and Smad7 in mammalian cells. COS-1 cells were transfected with HA-tagged STRAP either alone or together with the indicated Flag-tagged Smad constructs, including Smad1(AAVA), Smad6, and Smad7. Cell lysates were subjected to an anti-Flag immunoprecipitation (IP),  
20 and coprecipitating STRAP was detected by immunoblotting (Blot) with anti-HA antibodies (first panel). In the second panel, total lysates were immunoprecipitated using anti-HA antibodies and then immunoblotted with anti-Flag antibodies. To confirm expression of Smads, aliquots of total cell lysates were immunoblotted with anti-Flag antibodies (third panel).

25           Figure 7B is an autoradiograph of a protein gel depicting that STRAP(1-294) interacts with Smad7, and Smad7- $\Delta$ 408 does not interact with STRAP. COS-1 cells were transiently transfected with the indicated combinations of Flag-tagged STRAP constructs and HA-tagged Smad7 constructs. Cell lysates were immunoprecipitated with anti-Flag antibody and the immunoprecipitates  
30 were analyzed by anti-HA antibody immunoblotting (first panel). In the second panel, cell lysates were subjected to immunoprecipitation with anti-HA antibody and the precipitates were analyzed by anti-Flag antibody. Expression

of the proteins was confirmed by the direct immunoblotting of the total cell lysates (third and fourth panel).

Figure 7C is an autoradiograph of a protein gel depicting homo-oligomerization of STRAP. Cells were transfected with STRAP-HA alone or together with STRAP-Flag or T $\beta$ R-II-Flag (serves as positive control) as indicated. Cell lysates were subjected to immunoprecipitation with a Flag antibody and coprecipitated proteins were detected by immunoblotting with HA antibody (lanes 1-4). Reciprocal experiments were also performed (lanes 5 and 6).

Figure 8A is an autoradiograph of a protein gel depicting that STRAP stabilizes the association between Smad7 and activated T $\beta$ R-I and that STRAP stabilizes Smad7-T $\beta$ R-I(TD) complexes. COS-1 cells were transiently transfected with the following plasmids as indicated: Flag-Smad7 (0.4  $\mu$ g), T $\beta$ R-I(TD)-HA (0.6  $\mu$ g), and increasing amounts of STRAP (0.2, 0.4, 1, and 2  $\mu$ g). Cell lysates were subjected to immunoprecipitation with anti-Flag antibody and the presence of T $\beta$ R-I(TD) in the immunoprecipitates was detected by immunoblotting with anti-HA antibody (first panel). To confirm equivalent expression of Smad7 and T $\beta$ R-I(TD), aliquots of total cell lysates were immunoblotted with anti-Flag antibody (second panel) and anti-HA antibody (third panel).

Figure 8B is an autoradiograph of a protein gel depicting that STRAP is present in an inhibitory complex with Smad7 and T $\beta$ R-I(TD). Cells were transfected with indicated combinations STRAP-Flag, Myc-Smad7, and T $\beta$ R-I(TD)-HA. Cell lysates were immunoprecipitated with anti-Flag antibody, proteins were eluted with Flag peptide, and the eluate reprecipitated by anti-Myc antibody followed by anti-HA antibody immunoblotting (first panel). Expression of the proteins was monitored by immunoblotting.

Figure 9A is an autoradiograph of a protein gel depicting that Smad7 induces receptor-dependent phosphorylation of STRAP. Cells were transfected with the indicated combinations of STRAP, Smad7, and wild type (Wt) and kinase-negative (KR) versions of receptors. After labeling with  $^{32}$ P-orthophosphate, STRAP-Flag was immunoprecipitated and detected by SDS-

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PAGE and autoradiography (first panel). Expression of STRAP was monitored by immunoblotting total cell lysates (second panel).

5 Figure 9B is an autoradiograph of a protein gel depicting that over-expression of STRAP does not change receptor phosphorylation. Cells were transfected with HA-tagged receptors and STRAP as indicated, metabolically labeled with  $^{32}\text{P}$ -orthophosphate, and stimulated by TGF- $\beta$  for 20 min. Equal amounts of lysates were immunoprecipitated with anti-HA antibody and receptors were detected by SDS-PAGE followed by autoradiography.

10 Figure 10A is an autoradiograph of a protein gel depicting that STRAP is not a direct substrate of the TGF- $\beta$  receptors *in vitro*. GST fusions of STRAP, T $\beta$ R-I(wt), T $\beta$ R-I(TD), and Smad2 were overexpressed in bacteria, purified, resolved by SDS-PAGE, and visualized by Coomassie Blue staining (lanes 1-5). The STRAP portion was cleaved from the GST-STRAP fusion protein by thrombin and was visualized in lane 6.

15 Figure 10B is an autoradiograph of a protein gel depicting that STRAP is not a direct substrate of the TGF- $\beta$  receptors *in vitro*. Equivalent amounts of GST, GST-STRAP, GST-Smad2 (serves as positive control), or STRAP (as substrate) were incubated with either GST-T $\beta$ R-I(wt) or GST-T $\beta$ R-I(TD) as indicated in a kinase assay. The fast migrating band is the degradate of the autophosphorylated receptor. There was no phosphorylated band in the arrow-head position indicating that STRAP (cleaved from GST-STRAP) was not phosphorylated by the receptors in *in vitro* kinase assay.

20

Figure 10C is an autoradiograph of a protein gel depicting that STRAP is not a direct substrate of the TGF- $\beta$  receptors *in vitro*. Similar kinase reactions were carried out using full length, tagged TGF- $\beta$  receptors, immunoprecipitated from overexpressing COS-1 cells, as kinase and GST or GST-STRAP as substrate. For immunoprecipitation, anti-HA antibody was used for HA-tagged receptors and anti-T $\beta$ R-II antibody (C16, Santa Cruz Biotechnology, Inc., Santa Cruz, California) was used for hexahistidine-tagged type II receptor. The autophosphorylated receptor bands are indicated.

25

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Figure 11 is a graph depicting that STRAP potentiates TGF- $\beta$ -mediated growth inhibition. Mv1Lu cells alone or stably transfected with vector (PC1) or



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STRAP-Flag (F2, F13) or STRAP-HA(H4) were incubated with the indicated concentration of TGF- $\beta$ 1 for 20 hours, then labeled with  $^3\text{H}$ -thymidine for 2 hours. The cells were fixed and solubilized in NaOH at room temperature. The radioactivity incorporated into DNA was determined by liquid scintillation counting.

#### Detailed Description of the Invention

SMAD proteins play a key role in intracellular signaling by TGF- $\beta$  superfamily members that regulate cell proliferation, differentiation, apoptosis, and development. See Massague et al. *Trends Cell Biol.* 7:187-192 (1997); Roberts, A. B. & Sporn, M. B. in *Peptide Growth Factors and their Receptors Part I* (eds. Sporn, M. B. & Roberts, A. B.) 419-472 (Springer, Heidelberg, 1990); and Heldin et al. *Nature* 390:465-471 (1997). TGF- $\beta$  family members initiate signaling from the cell surface by binding to a heteromeric complex of two distinct but related serine-threonine kinase receptors. Heldin et al. *Nature* 390:465-471 (1997). The identification of a novel, WD-domain containing protein, designated STRAP, which specifically associates with both type I and type II TGF- $\beta$  receptors *in vivo*, is disclosed hereinbelow.

STRAP associates stably with inhibitory SMADs, including Smad6 (Smad6 described in described in Imamura et al. *Nature* 389:622-626 (1997)), and Smad7 (Smad7 described in Nakao et al., *Nature* 389:631-635 (1997) and Hayashi et al., *Cell* 89:1165-1173 (1997)). But, STRAP does not interact with Smad1 (Smad1 described in Hoodless et al., *Cell* 85:489-500 (1996); Kretschmar et al., *Genes Dev.* 11:984-995 (1997)).

The C-terminus of STRAP is required for its phosphorylation mediated by the TGF- $\beta$  receptors and for its association with other phosphoproteins. Overexpression of STRAP leads to inhibition of TGF- $\beta$  mediated transcriptional activation. The existence of the STRAP gene from yeast to mammals suggests an evolutionarily conserved function in eukaryotes. These results suggest a functional role for STRAP in TGF- $\beta$  signaling and STRAP mediated negative regulation of TGF- $\beta$  signaling involves recruitment of inhibitory SMADs to the receptor complex.

The therapeutic and screening methods in accordance with the present invention are illuminated by a review of recognized TGF- $\beta$ -mediated biological activities. Although TGF- $\beta$  was originally described as a factor that induced a transformed phenotype in rodent fibroblasts, it is now clear that TGF- $\beta$  does not  
5 primarily cause oncogenic transformation. Instead, TGF- $\beta$  mediates or regulates a remarkable range of biological activities including cell growth, differentiation, gene expression, wound healing and tissue morphogenesis. In addition, alternation of normal TGF- $\beta$  function has been causally associated with pathogenesis of several diseases, including diabetic nephropathy,  
10 atherosclerosis and cancer.

TGF- $\beta$  has a multifunctional role in tumorigenesis. At early stages, when cells still respond to its antimitogenic effects, TGF- $\beta$  may act as a tumor-suppressor. However, during malignant progression, when cells acquire an insensitivity to growth inhibition by TGF- $\beta$ , it may function as a tumor promoter  
15 by stimulation of angiogenesis, immunosuppression and synthesis of extracellular matrix, which provides an appropriate microenvironment for rapid tumor growth and metastasis.

The escape from the anti-mitogenic response of cells by TGF- $\beta$  during tumor progression suggests a function for components in the TGF- $\beta$  signal transduction pathway as tumor suppressors. Disruption of the normal signaling  
20 pathways could therefore predispose to, or cause, cancer. Support for a tumor-suppressor role for the type II receptor of TGF- $\beta$  came from the analysis that it is inactivated by mutation in gastrointestinal cancers with microsatellite instability. Missense mutations elsewhere in T $\beta$ R-II have been described in a  
25 human T-cell lymphoma and in head and neck carcinomas.

Disruption of the TGF- $\beta$  pathway in cancer is demonstrated additionally by the identification of inactivating mutations in the signaling components Smad4 and Smad2. Smad4 was originally cloned as a tumor suppressor gene on chromosome 18q21 that is deleted or mutated in half of human pancreatic  
30 carcinomas. Smad4 mutations also have been found in carcinomas of the colon, breast, ovary, lung, and head and neck. Smad2 is also located at 18q21 and it too is the target of missense and other mutations in colon cancer.

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In view of the recognized biological activities of TGF- $\beta$ , the utility of the therapeutic and screening methods of the present invention, which involve the functional role for purified and isolated STRAP in TGF- $\beta$  signaling and STRAP-mediated negative regulation of TGF- $\beta$  signaling as described herein, is readily apparent to one of skill in the art.

A. Definitions and Techniques Affecting Polypeptide and Polynucleotides

The present invention concerns DNA segments, isolatable from eukaryotic cells, preferably cells from vertebrate tissue, more preferably from mammalian tissue, and even more preferably from human tissue, which are free from genomic DNA and which are capable of conferring TGF- $\beta$  activity-modulating function in a recombinant host cell when incorporated into the recombinant host cell. As used herein, the term "mammalian tissue" refers to, among others, normal mammalian smooth muscle tissues, as exemplified by, but not limited to, human smooth muscle tissues and to abnormal mammalian tissues, as exemplified by, but not limited to, tumor tissues. DNA segments capable of conferring TGF- $\beta$  activity-modulating function may encode complete STRAP polypeptides, cleavage products and biologically active functional domains thereof.

The terms "STRAP polypeptide", "STRAP gene product", and "STRAP", as used in the specification and in the claims refer to proteins having amino acid sequences which are substantially identical to the respective native STRAP amino acid sequences and which are biologically active in that they are capable of playing a role in the TGF- $\beta$  cascade or are capable of cross-reacting with an anti-STRAP antibody raised against STRAP. Such sequences are disclosed herein. The terms "STRAP polypeptide", "STRAP gene product", and "STRAP" also include analogs of STRAP molecules which exhibit at least some biological activity in common with native STRAP. Furthermore, those skilled in the art of mutagenesis will appreciate that other analogs, as yet undisclosed or undiscovered, may be used to construct STRAP analogs. There is no need for a "STRAP polypeptide" or a "STRAP" to comprise all, or substantially all, of

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the amino acid sequence of the native STRAP genes. Shorter or longer sequences are anticipated to be of use in the invention.

5 The terms "STRAP gene", "STRAP gene sequence" and "STRAP gene segment" refer to any DNA sequence that is substantially identical to a DNA sequence encoding a STRAP polypeptide or STRAP as defined above. The terms also refer to RNA, or antisense sequences, compatible with such DNA sequences. A "STRAP gene", "STRAP gene sequence" and "STRAP gene segment" may also comprise any combination of associated control sequences.

10 The term "substantially identical", when used to define either a STRAP or STRAP amino acid sequence, or a STRAP gene or STRAP nucleic acid sequence, means that a particular sequence, for example, a mutant sequence, varies from the sequence of a natural STRAP by one or more deletions, substitutions, or additions, the net effect of which is to retain at least some of biological activity of STRAP. Alternatively, DNA analog sequences are  
15 "substantially identical" to specific DNA sequences disclosed herein if: (a) the DNA analog sequence is derived from coding regions of the natural STRAP or STRAP gene; or (b) the DNA analog sequence is capable of hybridization of DNA sequences of (a) under moderately stringent conditions and which encode biologically active STRAP or STRAP gene; or (c) the DNA sequences are  
20 degenerative as a result of the genetic code to the DNA analog sequences defined in (a) and/or (b). Substantially identical analog proteins will be greater than about 60% identical to the corresponding sequence of the native protein. Sequences having lesser degrees of similarity but comparable biological activity are considered to be equivalents. In determining nucleic acid  
25 sequences, all subject nucleic acid sequences capable of encoding substantially similar amino acid sequences are considered to be substantially similar to a reference nucleic acid sequence, regardless of differences in codon sequences.

#### A.1. Percent Similarity

30 Percent similarity may be determined, for example, by comparing sequence information using the GAP computer program, available from the University of Wisconsin Geneticist Computer Group. The GAP program utilizes

the alignment method of Needleman et al. 1970, as revised by Smith et al. 1981. Briefly, the GAP program defines similarity as the number of aligned symbols (i.e. nucleotides or amino acids) which are similar, divided by the total number of symbols in the shorter of the two sequences. The preferred default parameters for the GAP program include: (1) a unitary comparison matrix (containing a value of 1 for identities and 0 for non-identities) of nucleotides and the weighted comparison matrix of Gribskov et al., 1986, as described by Schwartz et al., 1979; (2) a penalty of 3.0 for each gap and an additional 0.01 penalty for each symbol and each gap; and (3) no penalty for end gaps.

The term "homology" describes a mathematically based comparison of sequence similarities which is used to identify genes or proteins with similar functions or motifs. Accordingly, the term "homology" is synonymous with the term "similarity" and "percent similarity" as defined above. Thus, the phrases "substantial homology" or "substantial similarity" have similar meanings.

#### A.2. Nucleic Acid Sequences

In certain embodiments, the invention concerns the use of STRAP genes and gene products that include within their respective sequences a sequence which is essentially that of the STRAP gene, or the corresponding protein. The term "a sequence essentially as that of STRAP or STRAP gene", means that the sequence substantially corresponds to a portion of a STRAP or STRAP gene and has relatively few bases or amino acids (whether DNA or protein) which are not identical to those of a STRAP or STRAP gene, (or a biologically functional equivalent of, when referring to proteins). The term "biologically functional equivalent" is well understood in the art and is further defined in detail herein. Accordingly, sequences which have between about 70% and about 80%; or more preferably, between about 81% and about 90%; or even more preferably, between about 91% and about 99%; of amino acids which are identical or functionally equivalent to the amino acids of a STRAP or STRAP gene, will be sequences which are "essentially the same".

STRAP and STRAP genes which have functionally equivalent codons are also covered by the invention. The term "functionally equivalent codon" is used herein to refer to codons that encode the same amino acid, such as the

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six codons for arginine or serine, and also to refer to codons that encode biologically equivalent amino acids (see Table 1). Thus, when referring to the sequence examples presented in SEQ ID NOs:1-2, the substitution of functionally equivalent codons of Table 1 into the sequence examples of SEQ ID NOs:1-2 is envisioned. Thus, applicants are in possession of amino acid and nucleic acids sequences which include such substitutions but which are not set forth herein in their entirety for convenience.

TABLE 1 - Functionally Equivalent Codons.

Amino Acids			Codons
10	Alanine	Ala A	GCA GCC GCG GCU
	Cysteine	Cys C	UGC UGU
	Aspartic Acid	Asp D	GAC GAU
	Glumatic acid	Glu E	GAA GAG
	Phenylalanine	Phe F	UUC UUU
15	Glycine	Gly G	GGA GGC GGG GGU
	Histidine	His H	CAC CAU
	Isoleucine	Ile I	AUA AUC AUU
	Lysine	Lys K	AAA AAG
	Leucine	Leu L	UUA UUG CUA CUC CUG CUU
20	Methionine	Met M	AUG
	Asparagine	Asn N	AAC AAU
	Proline	Pro P	CCA CCC CCG CCU
	Glutamine	Gln Q	CAA CAG
	Arginine	Arg R	AGA AGG CGA CGC CGG CGU
25	Serine	Ser S	ACG AGU UCA UCC UCG UCU
	Threonine	Thr T	ACA ACC ACG ACU
	Valine	Val V	GUA GUC GUG GUU
	Tryptophan	Trp W	UGG
30	Tyrosine	Tyr Y	UAC UAU

It will also be understood that amino acid and nucleic acid sequences may include additional residues, such as additional N- or C-terminal amino acids or 5' or 3' sequences, and yet still be essentially as set forth in one of the sequences disclosed herein, so long as the sequence meets the criteria set forth above, including the maintenance of biological protein activity where protein expression is concerned. The addition of terminal sequences particularly applies to nucleic acid sequences which may, for example, include various non-coding sequences flanking either of the 5' or 3' portions of the coding region or may include various internal sequences, i.e., introns, which are known to occur within genes.

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The present invention also encompasses the use of DNA segments which are complementary, or essentially complementary, to the sequences set forth in the specification. Nucleic acid sequences which are "complementary" are those which are base-pairing according to the standard Watson-Crick complementarity rules. As used herein, the term "complementary sequences" means nucleic acid sequences which are substantially complementary, as may be assessed by the same nucleotide comparison set forth above, or as defined as being capable of hybridizing to the nucleic acid segment in question under relatively stringent conditions such as those described herein. A particular example of a contemplated complementary nucleic acid segment is an antisense oligonucleotide.

Nucleic acid hybridization will be affected by such conditions as salt concentration, temperature, or organic solvents, in addition to the base composition, length of the complementary strands, and the number of nucleotide base mismatches between the hybridizing nucleic acids, as will be readily appreciated by those skilled in the art. Stringent temperature conditions will generally include temperatures in excess of 30°C, typically in excess of 37°C, and preferably in excess of 45°C. Stringent salt conditions will ordinarily be less than 1,000 mM, typically less than 500 mM, and preferably less than 200 mM. However, the combination of parameters is much more important than the measure of any single parameter. (See, e.g., Wetmur & Davidson, 1968). Determining appropriate hybridization conditions to identify and/or isolate sequences containing high levels of homology is well known in the art. (See e.g., Sambrook *et al.*, 1989). For the purposes of specifying conditions of high stringency, preferred conditions are salt concentration of about 200 mM and temperature of about 45°C.

Probe sequences may also hybridize specifically to duplex DNA under certain conditions to form triplex or other higher order DNA complexes. The preparation of such probes and suitable hybridization conditions are well known in the art.

As used herein, the term "DNA segment" refers to a DNA molecule which has been isolated free of total genomic DNA of a particular species.

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Furthermore, a DNA segment encoding a STRAP refers to a DNA segment which contains STRAP coding sequences, yet is isolated away from, or purified free from, total genomic DNA of Homo sapiens. Included within the term "DNA segment" are DNA segments and smaller fragments of such segments, and  
5 also recombinant vectors, including, for example, plasmids, cosmids, phages, viruses, and the like.

Similarly, a DNA segment comprising an isolated or purified STRAP gene refers to a DNA segment including STRAP coding sequences isolated substantially away from other naturally occurring genes or protein encoding  
10 sequences. In this respect, the term "gene" is used for simplicity to refer to a functional protein, polypeptide or peptide encoding unit. As will be understood by those in the art, this functional term includes both genomic sequences and cDNA sequences. "Isolated substantially away from other coding sequences" means that the gene of interest, in this case, the STRAP gene, forms the  
15 significant part of the coding region of the DNA segment, and that the DNA segment does not contain large portions of naturally-occurring coding DNA, such as large chromosomal fragments or other functional genes or cDNA coding regions. Of course, this refers to the DNA segment as originally isolated, and does not exclude genes or coding regions later added to the  
20 segment by the hand of man.

In particular embodiments, the invention concerns isolated DNA segments and recombinant vectors incorporating DNA sequences which encode a STRAP that includes within its amino acid sequence the amino acid sequence of SEQ ID NO:2. In other particular embodiments, the invention  
25 concerns isolated DNA segments and recombinant vectors incorporating DNA sequences which encode a protein that includes within its amino acid sequence the amino acid sequence of STRAP corresponding to human tissues.

It will also be understood that this invention is not limited to the particular nucleic acid and amino acid sequences of SEQ ID NOS:1 and 2. Recombinant  
30 vectors and isolated DNA segments may therefore variously include the STRAP-encoding region itself, include coding regions bearing selected alterations or modifications in the basic coding region, or include encoded



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larger polypeptides which nevertheless include STRAP-encoding regions or may encode biologically functional equivalent proteins or peptides which have variant amino acid sequences.

5 In certain embodiments, the invention concerns isolated DNA segments and recombinant vectors which encode a protein or peptide that includes within its amino acid sequence an amino acid sequence essentially as set forth in SEQ ID NO:2. Naturally, where the DNA segment or vector encodes a full length STRAP gene product, the most preferred sequence is that which is essentially as set forth in SEQ ID NO:1 and which encode a protein that exhibits TGF- $\beta$  modulating activity in for example mammalian epithelial cells, as may be determined by, for example,  $\beta$ -galactosidase activity assays, as disclosed herein.

15 The term "a sequence essentially as set forth in SEQ ID NO:2" means that the sequence substantially corresponds to a portion of SEQ ID NO:2 and has relatively few amino acids which are not identical to, or a biologically functional equivalent of, the amino acids of SEQ ID NO:2. The term "biologically functional equivalent" is well understood in the art and is further defined in detail herein. Accordingly, sequences, which have between about 70% and about 80%; or more preferably, between about 81% and about 90%; 20 or even more preferably, between about 91% and about 99%; of amino acids which are identical or functionally equivalent to the amino acids of SEQ ID NO:2, will be sequences which are "essentially as set forth in SEQ ID NO:2".

25 In particular embodiments, the invention concerns gene therapy methods that use isolated DNA segments and recombinant vectors incorporating DNA sequences which encode a protein that includes within its amino acid sequence an amino acid sequence in accordance with SEQ ID NO:2, SEQ ID NO:2 being derived from mammalian tissue. In other particular embodiments, the invention concerns isolated DNA sequences and recombinant DNA vectors incorporating DNA sequences which encode a 30 protein that includes within its amino acid sequence the amino acid sequence of the STRAP protein from human tissue.

In certain other embodiments, the invention concerns isolated DNA segments and recombinant vectors that include within their sequence a nucleic acid sequence essentially as set forth in SEQ ID NO:1. The term "essentially as set forth in SEQ ID NO:1" is used in the same sense as described above and means that the nucleic acid sequence substantially corresponds to a portion of SEQ ID NO:1, respectively, and has relatively few codons which are not identical, or functionally equivalent, to the codons of SEQ ID NO:1, respectively. Again, DNA segments which encode gene products exhibiting TGF- $\beta$  modulating activity of the STRAP gene product will be most preferred.

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10 The term "functionally equivalent codon" is used herein to refer to codons that encode the same amino acid, such as the six codons for arginine or serine, and also to refer to codons that encode biologically equivalent amino acids (see Table 1).

The nucleic acid segments of the present invention, regardless of the length of the coding sequence itself, may be combined with other DNA sequences, such as promoters, enhancers, polyadenylation signals, additional restriction enzyme sites, multiple cloning sites, other coding segments, and the like, such that their overall length may vary considerably. It is therefore contemplated that a nucleic acid fragment of almost any length may be employed, with the total length preferably being limited by the ease of preparation and use in the intended recombinant DNA protocol. For example, nucleic acid fragments may be prepared which include a short stretch complementary to SEQ ID NO:1, such as about 10 nucleotides, and which are up to 10,000 or 5,000 base pairs in length, with segments of 3,000 being preferred in certain cases. DNA segments with total lengths of about 1,000, 500, 200, 100 and about 50 base pairs in length are also contemplated to be useful.

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The DNA segments of the present invention encompass biologically functional equivalent STRAP proteins and peptides. Such sequences may rise as a consequence of codon redundancy and functional equivalency which are known to occur naturally within nucleic acid sequences and the proteins thus encoded. Alternatively, functionally equivalent proteins or peptides may be

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created via the application of recombinant DNA technology, in which changes in the protein structure may be engineered, based on considerations of the properties of the amino acids being exchanged, e.g. substitution of Ile for Leu at amino acid 20, at amino acid 116, at amino acid 231, and/or at amino acid 307 for STRAP as set forth in SEQ ID NOs:1-2. Changes designed by man may be introduced through the application of site-directed mutagenesis techniques, e.g., to introduce improvements to the antigenicity of the protein or to test STRAP mutants in order to examine TGF- $\beta$  modulating activity at the molecular level.

10           If desired, one may also prepare fusion proteins and peptides, e.g., where the STRAP coding region is aligned within the same expression unit with other proteins or peptides having desired functions, such as for purification or immunodetection purposes (e.g., proteins which may be purified by affinity chromatography and enzyme label coding regions, respectively).

15           Recombinant vectors form important further aspects of the present invention. Particularly useful vectors are contemplated to be those vectors in which the coding portion of the DNA segment is positioned under the control of a promoter. The promoter may be in the form of the promoter which is naturally associated with the STRAP gene, e.g., in mammalian tissues, as may  
20           be obtained by isolating the 5' non-coding sequences located upstream of the coding segment or exon, for example, using recombinant cloning and/or PCR technology, in connection with the compositions disclosed herein.

          In other embodiments, it is contemplated that certain advantages will be gained by positioning the coding DNA segment under the control of a  
25           recombinant, or heterologous, promoter. As used herein, a recombinant or heterologous promoter is intended to refer to a promoter that is not normally associated with a STRAP gene in its natural environment. Such promoters may include promoters isolated from bacterial, viral, eukaryotic, or mammalian cells. Naturally, it will be important to employ a promoter that effectively directs  
30           the expression of the DNA segment in the cell type chosen for expression. The use of promoter and cell type combinations for protein expression is generally known to those of skill in the art of molecular biology, for example, see

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5 Sambrook et al., 1989, specifically incorporated herein by reference. The promoters employed may be constitutive, or inducible, and can be used under the appropriate conditions to direct high level expression of the introduced DNA segment, such as is advantageous in the large-scale production of recombinant proteins or peptides. Appropriate promoter systems contemplated for use in high-level expression include, but are not limited to, the vaccinia virus promoter and the baculovirus promoter, which are more fully described below.

10 In an alternative embodiment, the present invention provides an expression vector comprising a polynucleotide that encodes a vertebrate STRAP polypeptide having TGF- $\beta$  modulating activity. Also preferably, an expression vector of the present invention comprises a polynucleotide that encodes human STRAP. More preferably, an expression vector of the present invention comprises a polynucleotide that encodes a polypeptide comprising the amino acid residue sequence of SEQ ID NO:2. More preferably, an expression vector of the present invention comprises a polynucleotide comprising the nucleotide base sequence of SEQ ID NO:1. Even more preferably, an expression vector of the invention comprises a polynucleotide operatively linked to an enhancer-promoter. More preferably still, an expression vector of the invention comprises a polynucleotide operatively linked to a prokaryotic promoter. Alternatively, an expression vector of the present invention comprises a polynucleotide operatively linked to an enhancer-promoter that is a eukaryotic promoter, and the expression vector further comprises a polyadenylation signal that is positioned 3' of the carboxy-terminal amino acid and within a transcriptional unit of the encoded polypeptide.

25 In yet another embodiment, the present invention provides a recombinant host cell transfected with a polynucleotide that encodes a STRAP polypeptide having TGF- $\beta$  modulating activity. SEQ ID NO:1 and SEQ ID NO: 2 set forth representative vertebrate nucleotide and amino acid sequences. Also contemplated by the present invention are homologous or biologically equivalent polynucleotides and STRAP polypeptides found in other vertebrates. Preferably, a recombinant host cell of the present invention is transfected with

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the polynucleotide that encodes human STRAP. More preferably, a recombinant host cell of the present invention is transfected with the polynucleotide sequence of SEQ ID NO:1. Even more preferably, a host cell of the invention is a eukaryotic host cell. Still more preferably, a recombinant host cell of the present invention is a vertebrate cell. Preferably, a recombinant host cell of the invention is a mammalian cell.

5 In another aspect, a recombinant host cell of the present invention is a prokaryotic host cell. Preferably, a recombinant host cell of the invention is a bacterial cell, preferably a strain of *Escherichia coli*. More preferably, a recombinant host cell comprises a polynucleotide under the transcriptional control of regulatory signals functional in the recombinant host cell, wherein the regulatory signals appropriately control expression of the STRAP polypeptide in a manner to enable all necessary transcriptional and post-transcriptional modification.

10 In yet another embodiment, the present invention contemplates a method of preparing a STRAP polypeptide comprising transfecting a cell with polynucleotide that encodes a STRAP polypeptide having TGF- $\beta$  modulating activity to produce a transformed host cell; and maintaining the transformed host cell under biological conditions sufficient for expression of the polypeptide.

15 More preferably, the transformed host cell is a eukaryotic cell. More preferably still, the eukaryotic cell is a vertebrate cell. Alternatively, the host cell is a prokaryotic cell. More preferably, the prokaryotic cell is a bacterial cell of the DH5 $\alpha$  strain of *Escherichia coli*. Even more preferably, a polynucleotide transfected into the transformed cell comprises the nucleotide base sequence of SEQ ID NO:1. SEQ ID NO:1 and SEQ ID NO:2 set forth nucleotide and amino acid sequences for a representative vertebrate, mouse. Also contemplated by the present invention are homologues or biologically equivalent STRAP polynucleotides and polypeptides found in other vertebrates.

20 As mentioned above, in connection with expression embodiments to prepare recombinant STRAP proteins and peptides, it is contemplated that longer DNA segments will most often be used, with DNA segments encoding the entire STRAP protein, functional domains or cleavage products thereof,

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being most preferred. However, it will be appreciated that the use of shorter DNA segments to direct the expression of STRAP peptides or epitopic core regions, such as may be used to generate anti-STRAP antibodies, also falls within the scope of the invention.

5 DNA segments which encode peptide antigens from about 15 to about 50 amino acids in length, or more preferably, from about 15 to about 30 amino acids in length are contemplated to be particularly useful. DNA segments encoding peptides will generally have a minimum coding length in the order of about 45 to about 150, or to about 90 nucleotides. DNA segments encoding  
10 full length proteins preferably have a coding length on the order of about 1,053 nucleotides for a protein in accordance with SEQ ID NO:2.

Naturally, the present invention also encompasses DNA segments which are complementary, or essentially complementary, to the sequence set forth in SEQ ID NO:1. The terms "complementary" and "essentially complementary"  
15 are defined above. Excepting intronic or flanking regions, and allowing for the degeneracy of the genetic code, sequences which have between about 70% and about 80%; or more preferably, between about 81% and about 90%; or even more preferably, between about 91% and about 99%; of nucleotides which are identical or functionally equivalent (i.e. encoding the same amino  
20 acid) of nucleotides of SEQ ID NO:1, will be sequences which are "essentially as set forth in SEQ ID NO:1". Sequences which are essentially the same as those set forth in SEQ ID NO:1 may also be functionally defined as sequences which are capable of hybridizing to a nucleic acid segment containing the complement of SEQ ID NO:1 under relatively stringent conditions. Suitable  
25 relatively stringent hybridization conditions are described herein and will be well known to those of skill in the art.

### A.3. Biologically Functional Equivalents

As mentioned above, modification and changes may be made in the structure of the STRAP proteins and peptides described herein and still obtain  
30 a molecule having like or otherwise desirable characteristics. For example, certain amino acids may be substituted for other amino acids in a protein structure without appreciable loss of interactive capacity with proteins such as,

for example, inhibitory SMADs or TGF- $\beta$  receptors in the TGF- $\beta$  cascade. Since it is the interactive capacity and nature of a protein that defines that protein's biological activity, certain amino acid sequence substitutions can be made in a protein sequence (or, of course, its underlying DNA coding sequence) and nevertheless obtain a protein with like or even countervailing properties (e.g., antagonistic v. agonistic). It is thus contemplated by the inventors that various changes may be made in the sequence of the STRAP proteins and peptides (or underlying DNA) without appreciable loss of their biological utility or activity.

It is also well understood by the skilled artisan that, inherent in the definition of a biologically functional equivalent protein or peptide, is the concept that there is a limit to the number of changes that may be made within a defined portion of the molecule and still result in a molecule with an acceptable level of equivalent biological activity. Biologically functional equivalent peptides are thus defined herein as those peptides in which certain, not most or all, of the amino acids may be substituted. Of course, a plurality of distinct proteins/peptides with different substitutions may easily be made and used in accordance with the invention.

It is also well understood that where certain residues are shown to be particularly important to the biological or structural properties of a protein or peptide, e.g., residues in active sites, such residues may not generally be exchanged. This is the case in the present invention, where if any changes, for example, in the C-terminus of STRAP which required for its phosphorylation mediated by the TGF- $\beta$  receptors and for its association with other phosphoproteins, could result in a loss of an aspect of the utility of the resulting peptide for the present invention.

Amino acid substitutions, such as those which might be employed in modifying the STRAP proteins and peptides described herein, are generally based on the relative similarity of the amino acid side-chain substituents, for example, their hydrophobicity, hydrophilicity, charge, size, and the like. An analysis of the size, shape and type of the amino acid side-chain substituents reveals that arginine, lysine and histidine are all positively charged residues;

that alanine, glycine and serine are all a similar size; and that phenylalanine, tryptophan and tyrosine all have a generally similar shape. Therefore, based upon these considerations, arginine, lysine and histidine; alanine, glycine and serine; and phenylalanine, tryptophan and tyrosine; are defined herein as biologically functional equivalents.

In making such changes, the hydropathic index of amino acids may be considered. Each amino acid has been assigned a hydropathic index on the basis of their hydrophobicity and charge characteristics, these are: isoleucine (+ 4.5); valine (+ 4.2); leucine (+ 3.8); phenylalanine (+ 2.8); cysteine/cystine (+ 2.5); methionine (+ 1.9); alanine (+ 1.8); glycine (-0.4); threonine (-0.7); serine (-0.8); tryptophan (-0.9); tyrosine (-1.3); proline (-1.6); histidine (-3.2); glutamate (-3.5); glutamine (-3.5); aspartate (-3.5); asparagine (-3.5); lysine (-3.9); and arginine (-4.5).

The importance of the hydropathic amino acid index in conferring interactive biological function on a protein is generally understood in the art (Kyte & Doolittle, 1982, incorporated herein by reference). It is known that certain amino acids may be substituted for other amino acids having a similar hydropathic index or score and still retain a similar biological activity. In making changes based upon the hydropathic index, the substitution of amino acids whose hydropathic indices are within  $\pm 2$  is preferred, those which are within  $\pm 1$  are particularly preferred, and those within  $\pm 0.5$  are even more particularly preferred.

It is also understood in the art that the substitution of like amino acids can be made effectively on the basis of hydrophilicity. U.S. Pat. No. 4,554,101, incorporated herein by reference, states that the greatest local average hydrophilicity of a protein, as governed by the hydrophilicity of its adjacent amino acids, correlates with its immunogenicity and antigenicity, i.e. with a biological property of the protein. It is understood that an amino acid can be substituted for another having a similar hydrophilicity value and still obtain a biologically equivalent protein.

As detailed in U.S. Pat. No. 4,554,101, the following hydrophilicity values have been assigned to amino acid residues: arginine (+ 3.0); lysine (+



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3.0); aspartate (+ 3.0 $\pm$ 1); glutamate (+ 3.0 $\pm$ 1); serine (+ 0.3); asparagine (+ 0.2); glutamine (+ 0.2); glycine (0); threonine (-0.4); proline (-0.5 $\pm$ 1); alanine (-0.5); histidine (-0.5); cysteine (-1.0); methionine (-1.3); valine (-1.5); leucine (-1.8); isoleucine (-1.8); tyrosine (-2.3); phenylalanine (-2.5); tryptophan (-3.4) .

5 In making changes based upon similar hydrophilicity values, the substitution of amino acids whose hydrophilicity values are within  $\pm 2$  is preferred, those which are within  $\pm 1$  are particularly preferred, and those within  $\pm 0.5$  are even more particularly preferred.

10 While discussion has focused on functionally equivalent polypeptides arising from amino acid changes, it will be appreciated that these changes may be effected by alteration of the encoding DNA, taking into consideration also that the genetic code is degenerate and that two or more codons may code for the same amino acid.

#### A.4. Sequence Modification Techniques

15 Modifications to the STRAP proteins and peptides described herein may be carried out using techniques such as site directed mutagenesis. Site-specific mutagenesis is a technique useful in the preparation of individual peptides, or biologically functional equivalent proteins or peptides, through specific mutagenesis of the underlying DNA. The technique further provides  
20 a ready ability to prepare and test sequence variants, for example, incorporating one or more of the foregoing considerations, by introducing one or more nucleotide sequence changes into the DNA. Site-specific mutagenesis allows the production of mutants through the use of specific oligonucleotide sequences which encode the DNA sequence of the desired mutation, as well  
25 as a sufficient number of adjacent nucleotides, to provide a primer sequence of sufficient size and sequence complexity to form a stable duplex on both sides of the deletion junction being traversed. Typically, a primer of about 17 to 30 nucleotides in length is preferred, with about 5 to 10 residues on both sides of the junction of the sequence being altered.

30 In general, the technique of site-specific mutagenesis is well known in the art as exemplified by publications (e.g., Adelman et al., 1983). As will be appreciated, the technique typically employs a phage vector which exists in

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both a single stranded and double stranded form. Typical vectors useful in site-directed mutagenesis include vectors such as the M13 phage (Messing et al., 1981). These phage are readily commercially available and their use is generally well known to those skilled in the art. Double stranded plasmids are also routinely employed in site directed mutagenesis which eliminates the step of transferring the gene of interest from a plasmid to a phage.

In general, site-directed mutagenesis in accordance herewith is performed by first obtaining a single-stranded vector or melting apart the two strands of a double stranded vector which includes within its sequence a DNA sequence which encodes, for example, the STRAP gene. An oligonucleotide primer bearing the desired mutated sequence is prepared, generally synthetically, for example by the method of Crea et al. (1978). This primer is then annealed with the single-stranded vector, and subjected to DNA polymerizing enzymes such as *E. coli* polymerase I Klenow fragment, in order to complete the synthesis of the mutation-bearing strand. Thus, a heteroduplex is formed wherein one strand encodes the original non-mutated sequence and the second strand bears the desired mutation. This heteroduplex vector is then used to transform appropriate cells, such as *E. coli* cells, and clones are selected which include recombinant vectors bearing the mutated sequence arrangement.

The preparation of sequence variants of the selected gene using site-directed mutagenesis is provided as a means of producing potentially useful STRAP or other TGF- $\beta$  biological activity-modulating species and is not meant to be limiting as there are other ways in which sequence variants of these peptides may be obtained. For example, recombinant vectors encoding the desired genes may be treated with mutagenic agents to obtain sequence variants (see, e.g., a method described by Eichenlaub, 1979) for the mutagenesis of plasmid DNA using hydroxylamine.

#### A.5. Other Structural Equivalents

In addition to the STRAP peptidyl compounds described herein, the inventors also contemplate that other sterically similar compounds may be formulated to mimic the key portions of the peptide structure. Such compounds

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may be used in the same manner as the peptides of the invention and hence are also functional equivalents. The generation of a structural functional equivalent may be achieved by the techniques of modeling and chemical design known to those of skill in the art. It will be understood that all such sterically similar constructs fall within the scope of the present invention.

## B. Introduction of Gene Products

Where the gene itself is employed to introduce the gene products, a convenient method of introduction will be through the use of a recombinant vector which incorporates the desired gene, together with its associated control sequences. The preparation of recombinant vectors is well known to those of skill in the art and described in many references, such as, for example, Sambrook et al. (1989), specifically incorporated herein by reference.

### B.1. Preparation of Vectors

In vectors, it is understood that the DNA coding sequences to be expressed, in this case those encoding the STRAP gene products, are positioned adjacent to and under the control of a promoter. It is understood in the art that to bring a coding sequence under the control of such a promoter, one generally positions the 5' end of the transcription initiation site of the transcriptional reading frame of the gene product to be expressed between about 1 and about 50 nucleotides "downstream" of (i.e., 3' of) the chosen promoter. One may also desire to incorporate into the transcriptional unit of the vector an appropriate polyadenylation site (e.g., 5'-AATAAA-3'), if one was not contained within the original inserted DNA. Typically, these poly A addition sites are placed about 30 to 2000 nucleotides "downstream" of the coding sequence at a position prior to transcription termination.

While use of the control sequences of the specific gene (i.e., the STRAP promoter for STRAP) will be preferred, there is no reason why other control sequences could not be employed, so long as they are compatible with the genotype of the cell being treated. Thus, one may mention other useful promoters by way of example, including, e.g., an SV40 early promoter, a long

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terminal repeat promoter from retrovirus, an actin promoter, a heat shock promoter, a metallothionein promoter, and the like.

As is known in the art, a promoter is a region of a DNA molecule typically within about 100 nucleotide pairs in front of (upstream of) the point at which transcription begins (i.e., a transcription start site). That region typically contains several types of DNA sequence elements that are located in similar relative positions in different genes. As used herein, the term "promoter" includes what is referred to in the art as an upstream promoter region, a promoter region or a promoter of a generalized eukaryotic RNA Polymerase II transcription unit.

Another type of discrete transcription regulatory sequence element is an enhancer. An enhancer provides specificity of time, location and expression level for a particular encoding region (e.g., gene). A major function of an enhancer is to increase the level of transcription of a coding sequence in a cell that contains one or more transcription factors that bind to that enhancer. Unlike a promoter, an enhancer can function when located at variable distances from transcription start sites so long as a promoter is present.

As used herein, the phrase "enhancer-promoter" means a composite unit that contains both enhancer and promoter elements. An enhancer-promoter is operatively linked to a coding sequence that encodes at least one gene product. As used herein, the phrase "operatively linked" means that an enhancer-promoter is connected to a coding sequence in such a way that the transcription of that coding sequence is controlled and regulated by that enhancer-promoter. Means for operatively linking an enhancer-promoter to a coding sequence are well known in the art. As is also well known in the art, the precise orientation and location relative to a coding sequence whose transcription is controlled, is dependent *inter alia* upon the specific nature of the enhancer-promoter. Thus, a TATA box minimal promoter is typically located from about 25 to about 30 base pairs upstream of a transcription initiation site and an upstream promoter element is typically located from about 100 to about 200 base pairs upstream of a transcription initiation site. In contrast, an

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enhancer can be located downstream from the initiation site and can be at a considerable distance from that site.

5 An enhancer-promoter used in a vector construct of the present invention can be any enhancer-promoter that drives expression in a cell to be transfected. By employing an enhancer-promoter with well-known properties, the level and pattern of gene product expression can be optimized.

10 For introduction of, for example, the STRAP gene, it is proposed that one will desire to preferably employ a vector construct that will deliver the desired gene to the affected cells. This will, of course, generally require that the construct be delivered to the targeted cells, for example, mammalian smooth muscle cells. It is proposed that this may be achieved most preferably by introduction of the desired gene through the use of a viral vector to carry the STRAP sequence to efficiently infect the cells. These vectors will preferably be an adenoviral, a retroviral, a vaccinia viral vector or adeno-associated virus.

15 These vectors are preferred because they have been successfully used to deliver desired sequences to cells and tend to have a high infection efficiency.

Commonly used viral promoters for expression vectors are derived from polyoma, cytomegalovirus, Adenovirus 2, and Simian Virus 40 (SV40). The early and late promoters of SV40 virus are particularly useful because both are

20 obtained easily from the virus as a fragment which also contains the SV40 viral origin of replication. Smaller or larger SV40 fragments may also be used, provided there is included the approximately 250 bp sequence extending from the *Hind* III site toward the *Bgl* I site located in the viral origin of replication. Further, it is also possible, and often desirable, to utilize promoter or control

25 sequences normally associated with the desired gene sequence, provided such control sequences are compatible with the host cell systems.

The origin of replication may be provided either by construction of the vector to include an exogenous origin, such as may be derived from SV40 or other viral (e.g., Polyoma, Adeno, VSV, BPV) source, or may be provided by

30 the host cell chromosomal replication mechanism. If the vector is integrated into the host cell chromosome, the latter is often sufficient.

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Where the STRAP gene itself is employed it will be most convenient to simply use the wild type STRAP gene directly. However, it is contemplated that certain regions of the STRAP gene may be employed exclusively without employing the entire wild type STRAP gene. It is proposed that it will ultimately be preferable to employ the smallest region needed to modulate TGF- $\beta$  activity so that one is not introducing unnecessary DNA into cells which receive either a STRAP gene construct. Techniques well known to those of skill in the art, such as the use of restriction enzymes, will allow for the generation of small regions of the STRAP gene. The ability of these regions to modulate TGF- $\beta$  activity can easily be determined by the assays reported in the Examples. In general, techniques for assessing the modulation of TGF- $\beta$  activity are well known in the art.

#### B.2. Transgenic Animals

It is also contemplated to be within the scope of the present invention to prepare a transgenic non-human animal which expresses the STRAP gene of the present invention. Preferably, the preparation of a transgenic animal which overexpresses STRAP to establish a TGF- $\beta$  deficiency-like disorder in the animal is contemplated to be within the scope of the present invention. A preferred transgenic animal is a mouse.

The term "transgene" refers to exogenous genetic material which does not naturally form part of the genetic material of an animal to be genetically altered but can be incorporated into the germ and/or somatic cells of that animal by standard transgenic techniques. The term "heterologous DNA" refers to DNA which has been transferred from one individual animal, species or breed to a different individual animal, species or breed. The term "transgenic" refers to cells, tissues, embryos, fetuses or animals which carry one or more transgenes. The term "chimeric" refers to an embryo, fetus or animal which consists of two or more tissues of different genetic composition.

Techniques for the preparation of transgenic animals are known in the art. Representative techniques are described in U.S. Patent No. 5,489,742 (transgenic rats); U.S. Patent Nos. 4,736,866, 5,550,316, 5,614,396, 5,625,125 and 5,648,061 (transgenic mice); U.S. Patent No. 5,573,933 (transgenic pigs);

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5,162,215 (transgenic avian species) and U.S. Patent No. 5,741,957 (transgenic bovine species), the entire contents of each of which are herein incorporated by reference.

5 With respect to a representative method for the preparation of a transgenic mouse, cloned recombinant or synthetic DNA sequences or DNA segments encoding STRAP are injected into fertilized mouse eggs. The injected eggs are implanted in pseudo pregnant females and are grown to term to provide transgenic mice whose cells express STRAP. The injected sequences are constructed having promoter sequences connected so as to  
10 express the desired protein in multiple tissues of the transgenic mouse.

The genetically modified animals could express transgenes of commercial interest, including those having therapeutic or prophylactic value to the animal itself or to its offspring. Alternatively, the genetically modified animals can be employed in the production of therapeutic agents. For  
15 example, genetically modified avian species that can lay eggs containing drugs, proteins and antibodies to ward off illness that have been produced via the insertion the genes that make the proteins into viral and other vectors to get them into the birds. STRAP gene products can also be produced and isolated in this manner.

### 20 C. Generation of Antibodies

In still another embodiment, the present invention provides an antibody immunoreactive with a polypeptide of the present invention. Preferably, an antibody of the invention is a monoclonal antibody. Techniques for preparing and characterizing antibodies are well known in the art (See, e.g., *Antibodies*  
25 *A Laboratory Manual*, E. Howell and D. Lane, Cold Spring Harbor Laboratory, 1988).

Briefly, a polyclonal antibody is prepared by immunizing an animal with an immunogen comprising a polypeptide or polynucleotide of the present invention, and collecting antisera from that immunized animal. A wide range  
30 of animal species can be used for the production of antisera. Typically an animal used for production of anti-antisera is a rabbit, a mouse, a rat, a

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hamster or a guinea pig. Because of the relatively large blood volume of rabbits, a rabbit is a preferred choice for production of polyclonal antibodies.

As is well known in the art, a given polypeptide or polynucleotide may vary in its immunogenicity. It is often necessary therefore to couple the immunogen (e.g., a polypeptide or polynucleotide) of the present invention) with a carrier. Representative and preferred carriers are keyhole limpet hemocyanin (KLH) and bovine serum albumin (BSA). Other albumins such as ovalbumin, mouse serum albumin or rabbit serum albumin can also be used as carriers.

Techniques for conjugating a polypeptide or a polynucleotide to a carrier protein are well known in the art and include glutaraldehyde, m-maleimidobenzoyl-N-hydroxysuccinimide ester, carbodiimide and bis-biazotized benzidine.

As is also well known in the art, immunogenicity to a particular immunogen can be enhanced by the use of non-specific stimulators of the immune response known as adjuvants. Representative and preferred adjuvants include complete Freund's adjuvant, incomplete Freund's adjuvants and aluminum hydroxide adjuvant.

The amount of immunogen used for the production of polyclonal antibodies varies, *inter alia*, upon the nature of the immunogen as well as the animal used for immunization. A variety of routes can be used to administer the immunogen (subcutaneous, intramuscular, intradermal, intravenous and intraperitoneal). The production of polyclonal antibodies is monitored by sampling blood of the immunized animal at various points following immunization. When a desired level of immunogenicity is obtained, the immunized animal can be bled and the serum isolated and stored.

In another aspect, the present invention contemplates a method of producing an antibody immunoreactive with a STRAP polypeptide, the method comprising the steps of (a) transfecting recombinant host cells with a polynucleotide that encodes that polypeptide; (b) culturing the host cells under conditions sufficient for expression of the polypeptide; (c) recovering the polypeptide; and (d) preparing antibodies to the polypeptide. Preferably, the



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STRAP polypeptide is capable of modulating TGF- $\beta$  activity. Even more preferably, the present invention provides antibodies prepared according to the method described above.

5 A monoclonal antibody of the present invention can be readily prepared through use of well-known techniques such as those exemplified in U.S. Pat. No 4,196,265, herein incorporated by reference. Typically, a technique involves first immunizing a suitable animal with a selected antigen (e.g., a polypeptide or polynucleotide of the present invention) in a manner sufficient to provide an immune response. Rodents such as mice and rats are preferred  
10 animals. Spleen cells from the immunized animal are then fused with cells of an immortal myeloma cell. Where the immunized animal is a mouse, a preferred myeloma cell is a murine NS-1 myeloma cell.

The fused spleen/myeloma cells are cultured in a selective medium to select fused spleen/myeloma cells from the parental cells. Fused cells are  
15 separated from the mixture of non-fused parental cells, for example, by the addition of agents that block the *de novo* synthesis of nucleotides in the tissue culture media. Representative and preferred agents are aminopterin, methotrexate, and azaserine. Aminopterin and methotrexate block *de novo* synthesis of both purines and pyrimidines, whereas azaserine blocks only  
20 purine synthesis. Where aminopterin or methotrexate is used, the media is supplemented with hypoxanthine and thymidine as a source of nucleotides. Where azaserine is used, the media is supplemented with hypoxanthine.

This culturing provides a population of hybridomas from which specific hybridomas are selected. Typically, selection of hybridomas is performed by  
25 culturing the cells by single-clone dilution in microtiter plates, followed by testing the individual clonal supernatants for reactivity with an antigen-polypeptides. The selected clones can then be propagated indefinitely to provide the monoclonal antibody.

By way of specific example, to produce an antibody of the present  
30 invention, mice are injected intraperitoneally with between about 1-200  $\mu$ g of an antigen comprising a polypeptide of the present invention. B lymphocyte cells are stimulated to grow by injecting the antigen in association with an

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adjuvant such as complete Freund's adjuvant (a non-specific stimulator of the immune response containing killed *Mycobacterium tuberculosis*). At some time (e.g., at least two weeks) after the first injection, mice are boosted by injection with a second dose of the antigen mixed with incomplete Freund's adjuvant.

5           A few weeks after the second injection, mice are tail bled and the sera titered by immunoprecipitation against radiolabeled antigen. Preferably, the process of boosting and titering is repeated until a suitable titer is achieved. The spleen of the mouse with the highest titer is removed and the spleen lymphocytes are obtained by homogenizing the spleen with a syringe.  
10          Typically, a spleen from an immunized mouse contains approximately  $5 \times 10^7$  to  $2 \times 10^8$  lymphocytes.

          Mutant lymphocyte cells known as myeloma cells are obtained from laboratory animals in which such cells have been induced to grow by a variety of well-known methods. Myeloma cells lack the salvage pathway of nucleotide  
15          biosynthesis. Because myeloma cells are tumor cells, they can be propagated indefinitely in tissue culture, and are thus denominated immortal. Numerous cultured cell lines of myeloma cells from mice and rats, such as murine NS-1 myeloma cells, have been established.

          Myeloma cells are combined under conditions appropriate to foster  
20          fusion with the normal antibody-producing cells from the spleen of the mouse or rat injected with the antigen/polypeptide of the present invention. Fusion conditions include, for example, the presence of polyethylene glycol. The resulting fused cells are hybridoma cells. Like myeloma cells, hybridoma cells grow indefinitely in culture.

25          Hybridoma cells are separated from unfused myeloma cells by culturing in a selection medium such as HAT media (hypoxanthine, aminopterin, thymidine). Unfused myeloma cells lack the enzymes necessary to synthesize nucleotides from the salvage pathway because they are killed in the presence of aminopterin, methotrexate, or azaserine. Unfused lymphocytes also do not  
30          continue to grow in tissue culture. Thus, only cells that have successfully fused (hybridoma cells) can grow in the selection media.

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Each of the surviving hybridoma cells produces a single antibody. These cells are then screened for the production of the specific antibody immunoreactive with an antigen/polypeptide of the present invention. Single cell hybridomas are isolated by limiting dilutions of the hybridomas. The hybridomas are serially diluted many times and, after the dilutions are allowed to grow, the supernatant is tested for the presence of the monoclonal antibody. The clones producing that antibody are then cultured in large amounts to produce an antibody of the present invention in convenient quantity.

By use of a monoclonal antibody of the present invention, specific polypeptides and polynucleotide of the invention can be recognized as antigens, and thus identified. Once identified, those polypeptides and polynucleotide can be isolated and purified by techniques such as antibody-affinity chromatography. In antibody-affinity chromatography, a monoclonal antibody is bound to a solid substrate and exposed to a solution containing the desired antigen. The antigen is removed from the solution through an immunospecific reaction with the bound antibody. The polypeptide or polynucleotide is then easily removed from the substrate and purified.

D. Detecting a Polynucleotide or a Polypeptide of the Present Invention

Alternatively, the present invention provides a method of detecting a polypeptide of the present invention, wherein the method comprises immunoreacting the polypeptides with antibodies prepared according to the method described above to form antibody-polypeptide conjugates, and detecting the conjugates.

In yet another embodiment, the present invention contemplates a method of detecting messenger RNA transcripts that encode a polypeptide of the present invention, wherein the method comprises hybridizing the messenger RNA transcripts with polynucleotide sequences that encode the polypeptide to form duplexes; and detecting the duplex. Alternatively, the present invention provides a method of detecting DNA molecules that encode a polypeptide of the present invention, wherein the method comprises

hybridizing DNA molecules with a polynucleotide that encodes that polypeptide to form duplexes; and detecting the duplexes.

#### D.1. Screening Assays for a Polypeptide of the Present Invention

5 The present invention provides a method of screening a biological sample for the presence of a STRAP polypeptide. Preferably, the STRAP polypeptide modulates the biological activity of TGF- $\beta$ . A biological sample to be screened can be a biological fluid such as extracellular or intracellular fluid or a cell or tissue extract or homogenate. A biological sample can also be an isolated cell (e.g., in culture) or a collection of cells such as in a tissue sample  
10 or histology sample. A tissue sample can be suspended in a liquid medium or fixed onto a solid support such as a microscope slide.

In accordance with a screening assay method, a biological sample is exposed to an antibody immunoreactive with the polypeptide whose presence is being assayed. Typically, exposure is accomplished by forming an  
15 admixture in a liquid medium that contains both the antibody and the candidate polypeptide. Either the antibody or the sample with the polypeptide can be affixed to a solid support (e.g., a column or a microtiter plate).

The biological sample is exposed to the antibody under biological reaction conditions and for a period of time sufficient for antibody-polypeptide  
20 conjugate formation. Biological reaction conditions include ionic composition and concentration, temperature, pH and the like.

Ionic composition and concentration can range from that of distilled water to a 2 molal solution of NaCl. Preferably, osmolality is from about 100 mosmols/l to about 400 mosmols/l and, more preferably from about 200  
25 mosmols/l to about 300 mosmols/l. Temperature preferably is from about 4°C. to about 100°C., more preferably from about 15°C. to about 50°C. and, even more preferably from about 25°C to about 40°C. pH is preferably from about a value of 4.0 to a value of about 9.0, more preferably from about a value of 6.5 to a value of about 8.5 and, even more preferably from about a value of 7.0 to  
30 a value of about 7.5. The only limit on biological reaction conditions is that the

conditions selected allow for antibody-polypeptide conjugate formation and that the conditions do not adversely affect either the antibody or the polypeptide.

Exposure time will vary inter alia with the biological conditions used, the concentration of antibody and polypeptide and the nature of the sample (e.g., fluid or tissue sample). Techniques for determining exposure time are well known to one of ordinary skill in the art. Typically, where the sample is fluid and the concentration of polypeptide in that sample is about  $10^{-10}$ M, exposure time is from about 10 minutes to about 200 minutes.

The presence of polypeptide in the sample is detected by detecting the formation and presence of antibody-polypeptide conjugates. Techniques for detecting such antibody-antigen (e.g., receptor polypeptide) conjugates or complexes are well known in the art and include such procedures as centrifugation, affinity chromatography and the like, binding of a secondary antibody to the antibody-candidate receptor complex.

In one embodiment, detection is accomplished by detecting an indicator affixed to the antibody. Representative and well known such indicators include radioactive labels (e.g.,  $^{32}\text{P}$ ,  $^{125}\text{I}$ ,  $^{14}\text{C}$ ), a second antibody or an enzyme such as horse radish peroxidase. Techniques for affixing indicators to antibodies are well known in the art. Commercial kits are available.

#### D.2. Screening Assay for Anti-Polypeptide Antibody

In another aspect, the present invention provides a method of screening a biological sample for the presence of antibodies immunoreactive with a STRAP polypeptide. Preferably the STRAP polypeptide modulates the biological activity of TGF- $\beta$ . In accordance with such a method, a biological sample is exposed to a STRAP polypeptide under biological conditions and for a period of time sufficient for antibody-polypeptide conjugate formation and the formed conjugates are detected.

#### D.3. Screening Assay for Polynucleotide That Encodes a STRAP Polypeptide of the Present Invention

A DNA molecule and, particularly a probe molecule, can be used for hybridizing as an oligonucleotide probe to a DNA source suspected of encoding a STRAP polypeptide of the present invention. Preferably the

STRAP polypeptide modulates the biological activity of TGF- $\beta$ . The probing is usually accomplished by hybridizing the oligonucleotide to a DNA source suspected of possessing a STRAP gene. In some cases, the probes constitute only a single probe, and in others, the probes constitute a collection of probes based on a certain amino acid sequence or sequences of the polypeptide and account in their diversity for the redundancy inherent in the genetic code.

A suitable source of DNA for probing in this manner is capable of expressing a polypeptide of the present invention and can be a genomic library of a cell line of interest. Alternatively, a source of DNA can include total DNA from the cell line of interest. Once the hybridization method of the invention has identified a candidate DNA segment, one confirms that a positive clone has been obtained by further hybridization, restriction enzyme mapping, sequencing and/or expression and testing.

Alternatively, such DNA molecules can be used in a number of techniques including their use as: (1) diagnostic tools to detect normal and abnormal DNA sequences in DNA derived from patient's cells; (2) reagents for detecting and isolating other members of the polypeptide family and related polypeptides from a DNA library potentially containing such sequences; (3) primers for hybridizing to related sequences for the purpose of amplifying those sequences; (4) primers for altering native STRAP DNA sequences; as well as other techniques which rely on the similarity of the DNA sequences to those of the DNA segments herein disclosed.

As set forth above, in certain aspects, DNA sequence information provided by the invention allows for the preparation of relatively short DNA (or RNA) sequences (e.g., probes) that specifically hybridize to encoding sequences of a selected STRAP gene. In these aspects, nucleic acid probes of an appropriate length are prepared based on a consideration of the encoding sequence for a polypeptide of this invention. The ability of such nucleic acid probes to specifically hybridize to other encoding sequences lend them particular utility in a variety of embodiments. Most importantly, the probes can be used in a variety of assays for detecting the presence of complementary sequences in a given sample. However, other uses are envisioned, including

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the use of the sequence information for the preparation of mutant species primers, or primers for use in preparing other genetic constructions.

To provide certain of the advantages in accordance with the invention, a preferred nucleic acid sequence employed for hybridization studies or assays includes probe sequences that are complementary to at least a 14 to 40 or so long nucleotide stretch of a nucleic acid sequence of the present invention, such as that shown in SEQ ID NO:1. A size of at least 14 nucleotides in length helps to ensure that the fragment is of sufficient length to form a duplex molecule that is both stable and selective. Molecules having complementary sequences over stretches greater than 14 bases in length are generally preferred, though, to increase stability and selectivity of the hybrid, and thereby improve the quality and degree of specific hybrid molecules obtained. One will generally prefer to design nucleic acid molecules having gene-complementary stretches of 14 to 20 nucleotides, or even longer where desired. Such fragments can be readily prepared by, for example, directly synthesizing the fragment by chemical techniques, by application of nucleic acid reproduction technology, such as the PCR technology of U.S. Pat. No. 4,683,202, herein incorporated by reference, or by introducing selected sequences into recombinant vectors for recombinant production.

Accordingly, a nucleotide sequence of the present invention can be used for its ability to selectively form duplex molecules with complementary stretches of the gene. Depending on the application envisioned, one employs varying conditions of hybridization to achieve varying degrees of selectivity of the probe toward the target sequence. For applications requiring a high degree of selectivity, one typically employs relatively stringent conditions to form the hybrids. For example, one selects relatively low salt and/or high temperature conditions, such as provided by 0.02M-0.15M NaCl at temperatures of 50°C to 70°C. Such conditions are particularly selective, and tolerate little, if any, mismatch between the probe and the template or target strand.

Of course, for some applications, for example, where one desires to prepare mutants employing a mutant primer strand hybridized to an underlying template or where one seeks to isolate polypeptide coding sequences from

related species, functional equivalents, or the like, less stringent hybridization conditions are typically needed to allow formation of the heteroduplex. Under such circumstances, one employs conditions such as 0.15M-0.9M salt, at temperatures ranging from 20°C to 55°C. Cross-hybridizing species can  
5 thereby be readily identified as positively hybridizing signals with respect to control hybridizations. In any case, it is generally appreciated that conditions can be rendered more stringent by the addition of increasing amounts of formamide, which serves to destabilize the hybrid duplex in the same manner as increased temperature. Thus, hybridization conditions can be readily  
10 manipulated, and thus will generally be a method of choice depending on the desired results.

In certain embodiments, it is advantageous to employ a nucleic acid sequence of the present invention in combination with an appropriate reagent, such as a label, for determining hybridization. A wide variety of appropriate  
15 indicator reagents are known in the art, including radioactive, enzymatic or other ligands, such as avidin/biotin, which are capable of giving a detectable signal. In preferred embodiments, one likely employs an enzyme tag such as urease, alkaline phosphatase or peroxidase, instead of radioactive or other environmentally undesirable reagents. In the case of enzyme tags, calorimetric  
20 indicator substrates are known which can be employed to provide a means visible to the human eye or spectrophotometrically, to identify specific hybridization with complementary nucleic acid-containing samples.

In general, it is envisioned that the hybridization probes described herein are useful both as reagents in solution hybridization as well as in embodiments  
25 employing a solid phase. In embodiments involving a solid phase, the sample containing test DNA (or RNA) is adsorbed or otherwise affixed to a selected matrix or surface. This fixed, single-stranded nucleic acid is then subjected to specific hybridization with selected probes under desired conditions. The selected conditions depend inter alia on the particular circumstances based on  
30 the particular criteria required (depending, for example, on the G+ C contents, type of target nucleic acid, source of nucleic acid, size of hybridization probe, etc.). Following washing of the hybridized surface so as to remove



nonspecifically bound probe molecules, specific hybridization is detected, or even quantified, by the label.

#### D.4. Assay Kits

5 In another aspect, the present invention contemplates diagnostic assay kits for detecting the presence of a polypeptide of the present invention in biological samples, where the kits comprise a first container containing a first antibody capable of immunoreacting with the polypeptide, with the first antibody present in an amount sufficient to perform at least one assay. Preferably, the assay kits of the invention further comprise a second container containing a  
10 second antibody that immunoreacts with the first antibody. More preferably, the antibodies used in the assay kits of the present invention are monoclonal antibodies. Even more preferably, the first antibody is affixed to a solid support. More preferably still, the first and second antibodies comprise an indicator, and, preferably, the indicator is a radioactive label or an enzyme.

15 The present invention also contemplates an kit for screening agents. Such a kit can contain a polypeptide of the present invention. The kit can contain reagents for detecting an interaction between an agent and a receptor of the present invention. The provided reagent can be radiolabeled. The kit can contain a known radiolabelled agent capable of binding or interacting with  
20 a receptor of the present invention.

In an alternative aspect, the present invention provides diagnostic assay kits for detecting the presence, in biological samples, of a polynucleotide that encodes a polypeptide of the present invention, the kits comprising a first container that contains a second polynucleotide identical or complementary to  
25 a segment of at least 10 contiguous nucleotide bases of, as a preferred example, SEQ ID NO:1.

In another embodiment, the present invention contemplates diagnostic assay kits for detecting the presence, in a biological sample, of antibodies immunoreactive with a polypeptide of the present invention, the kits comprising  
30 a first container containing a STRAP polypeptide, that immunoreacts with the antibodies, with the polypeptide present in an amount sufficient to perform at least one assay. Preferably, the STRAP polypeptide modulates the biological

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activity of TGF- $\beta$ . The reagents of the kit can be provided as a liquid solution, attached to a solid support or as a dried powder. Preferably, when the reagent is provided in a liquid solution, the liquid solution is an aqueous solution. Preferably, when the reagent provided is attached to a solid support, the solid support can be chromatograph media or a microscope slide. When the reagent provided is a dry powder, the powder can be reconstituted by the addition of a suitable solvent. The solvent can be provided.

#### E. Screening Assays

In yet another aspect, the present invention contemplates a method of screening substances for their ability to affect or modulate the biological activity of STRAP. Preferably, the present invention contemplates a method of screening substances for their ability to affect or modulate the biological activity of STRAP to thereby affect or modulate the biological activity of TGF- $\beta$ . Utilizing the methods and compositions of the present invention, screening assays for the testing of candidate substances can be derived. A candidate substance is a substance which potentially can promote or inhibit the biological activity of STRAP to thereby affect or modulate the biological activity of TGF- $\beta$ , by binding or other intramolecular interaction, with STRAP.

The biological activity of TGF- $\beta$  can be beneficial (e.g. as tumor suppressor and/or factor in wound healing) or detrimental (e.g. as a tumor promoter or promoter of undesired extracellular matrix production). Thus, a candidate substance identified according to the screening assay described herein is contemplated to have the ability to modulate STRAP biological activity and to thereby modulate the biological activity of TGF- $\beta$ , and thus have utility in the treatment of disorders associated with the biological activity of TGF- $\beta$ .

A representative method of screening candidate substances for their ability to modulate STRAP biological activity and to thereby modulate the biological activity of TGF- $\beta$  comprises the steps of: (a) establishing replicate test and control samples that comprise TGF- $\beta$  and a STRAP polypeptide capable modulating the biological activity of TGF- $\beta$ ; (b) administering a candidate substance to test sample but not the control sample; (c) measuring

the biological activity of TGF- $\beta$  in the test and the control samples; and (d) determining that the candidate substance modulates STRAP biological activity to thereby modulate the biological activity of TGF- $\beta$  if the biological activity of TGF- $\beta$  measured for the test sample is greater or less than the biological activity of TGF- $\beta$  level measured for the control sample. The replicate test and control samples can further comprise a cell that expresses a STRAP polypeptide capable of modulating the biological activity of TGF- $\beta$ . The present invention also contemplates a recombinant cell line suitable for use in the representative method.

Thus, a screening assay of the present invention generally involves determining the ability of a candidate substance to modulate STRAP biological activity and thereby modulate the biological activity of TGF- $\beta$  in a target cell, such as the screening of candidate substances to identify those that modulate, i.e. inhibit or promote, STRAP biological activity and thereby modulate the biological activity of TGF- $\beta$ . Target cells can be either naturally occurring cells known to contain a polypeptide of the present invention or transformed cell produced in accordance with a method of transformation set forth hereinbefore.

As is well known in the art, a screening assay provides a cell under conditions suitable for testing the modulation of STRAP biological activity and thereby, the modulation of the biological activity of TGF- $\beta$ . These conditions include but are not limited to pH, temperature, tonicity, the presence of relevant metabolic factors (e.g., metal ions such as for example  $\text{Ca}^{++}$ , growth factor, interleukins, or colony stimulating factors), and relevant modifications to the polypeptide such as glycosylation or prenylation. It is contemplated that a polypeptide of the present invention can be expressed and utilized in a prokaryotic or eukaryotic cell. The host cell can also be fractionated into sub-cellular fractions where the receptor can be found. For example, cells expressing the polypeptide can be fractionated into the nuclei, the endoplasmic reticulum, vesicles, or the membrane surfaces of the cell.

pH is preferably from about a value of 6.0 to a value of about 8.0, more preferably from about a value of about 6.8 to a value of about 7.8 and, most preferably about 7.4. In a preferred embodiment, temperature is from about

20°C. to about 50°C., more preferably from about 30°C. to about 40°C. and, even more preferably about 37°C. Osmolality is preferably from about 5 milliosmols per liter (mosm/L) to about 400 mosm/l and, more preferably from about 200 milliosmols per liter to about 400 mosm/l and, even more preferably from about 290 mosm/L to about 310 mosm/L. The presence of factors can be required for the proper testing of STRAP and TGF- $\beta$  modulation in specific cells. Such factors include, for example, the presence and absence (withdrawal) of growth factor, interleukins, or colony stimulating factors. U.S. Patent No. 5,645,999 also describes representative screening assays, and the entire contents of U.S. Patent No. 5,645,999 are herein incorporated by reference.

In one embodiment, a screening assay is designed to be capable of discriminating candidate substances having selective ability to interact with one or more of the polypeptides of the present invention but which polypeptides are without a substantially overlapping activity with another of those polypeptides identified herein. Representative assays including genetic screening assays and molecular biology screens such as a yeast two-hybrid screen which will effectively identify STRAP-interacting genes important for TGF- $\beta$  modulation or other STRAP-mediated biological activity. One version of the yeast two-hybrid system has been described (Chien et al., 1991, *Proc. Natl. Acad. Sci. USA*, 88:9578-9582) and is commercially available from Clontech (Palo Alto, Calif.). Representative yeast two-hybrid screens are also described in the Examples.

#### F. Therapeutic Methods

TGF- $\beta$  binds to cell surface receptors possessed by essentially all types of cells, causing profound changes in them. In some cells, TGF- $\beta$  promotes cell proliferation, in others it suppresses proliferation. A marked effect of TGF- $\beta$  is that it promotes the production of extracellular matrix proteins and their receptors by cells (for review see Keski-Oja et al., *J. Cell Biochem.* 33:95 (1987); Massague, *Cell* 49:437 (1987); Roberts and Sporn in "Peptides Growth Factors and Their Receptors", Springer-Verlag, Heidelberg (1989)).

While TGF- $\beta$  has many essential cell regulatory functions, improper TGF- $\beta$  activity can be detrimental to an organism. Since the growth of mesenchyme and proliferation of mesenchymal cells is stimulated by TGF- $\beta$ , some tumor cells may use TGF- $\beta$  as an autocrine growth factor. Therefore, if the growth factor activity of TGF- $\beta$  could be prevented, tumor growth could be controlled. In other cases the inhibition of cell proliferation by TGF- $\beta$  may be detrimental, in that it may prevent healing of injured tissues. The stimulation of extracellular matrix production by TGF- $\beta$  is important in situations such as wound healing. However, in some cases the body takes this response too far and an excessive accumulation of extracellular matrix ensues. An example of excessive accumulation of extracellular matrix is glomerulonephritis, a disease with a detrimental involvement of TGF- $\beta$ .

As used herein, the terms "TGF- $\beta$  activity" and "TGF- $\beta$  biological activity" are meant to be synonymous and are meant to refer to any biological activity of TGF- $\beta$ . The biological activity can be accomplished by endogenous TGF- $\beta$  or by TGF- $\beta$  administered to a subject. Indeed, an isolated and purified TGF- $\beta$  and/or recombinant TGF- $\beta$  as well as TGF- $\beta$  analog or peptidomimetic administered to a subject to impart TGF- $\beta$  biological activity in the subject. In such case the imparted TGF- $\beta$  biological activity comprises a TGF- $\beta$  biological activity in accordance with the therapeutic methods of the present invention. Therapeutic compositions including TGF- $\beta$  and TGF- $\beta$  analogs are described in U.S. Patent Nos. 4,686,283; 5,120,535; 5,436,288; 5,583,103; 5,693,607; 5,770,609; and 5,780,436, the contents of each of which are herein incorporated by reference.

The terms "TGF- $\beta$  activity" and "TGF- $\beta$  biological activity" are thus also meant to refer to activities mediated by the binding of TGF- $\beta$  to its receptor to produce the art-recognized TGF- $\beta$  cascade schematically presented in Figure 1. This cascade includes SMAD interactions, as well as STRAP interactions with SMADs as described in the Examples below. Representative activities are described above and include, but are not limited to, the anti-mitogenic activity,

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extracellular matrix producing activity, wound healing, growth inhibition, and tumor suppressor and tumor promoter activities.

As used herein, the terms "STRAP activity" and "STRAP biological activity" are meant to be synonymous and are meant to refer to any biological activity of STRAP. The biological activity can be accomplished by endogenous STRAP or by STRAP administered to a subject. Indeed, an isolated and purified STRAP, recombinant STRAP, and/or STRAP analog or peptidomimetic, each prepared as described above, can be administered to a subject to impart STRAP biological activity in the subject. In such case the imparted STRAP biological activity comprises a STRAP biological activity in accordance with the therapeutic methods of the present invention.

The terms "STRAP activity" and "STRAP biological activity" are thus also meant to refer to activities mediated by the interactions of STRAP within the art-recognized TGF- $\beta$  cascade schematically presented in Figure 1 and as described below. Such interactions include STRAP interactions with SMADs as described in the Examples below. Representative activities include, but are not limited to, binding with SMADs and modulating TGF- $\beta$  activity.

In view of the foregoing, a therapeutic method is contemplated according to the present invention. The therapeutic method comprises administering to a subject a substance that inhibits or promotes STRAP biological activity to thereby modulate the biological activity of TGF- $\beta$  by inhibiting or promoting the activity of STRAP. Such a substance may be identified according to the screening assay set forth above. With respect to the therapeutic methods of the present invention, a preferred subject is a vertebrate subject. A preferred vertebrate is warm-blooded; a preferred warm-blooded vertebrate is a mammal. A preferred mammal is a mouse or, most preferably, a human. As used herein and in the claims, the term "patient" is contemplated to include both human and animal patients. Thus, veterinary therapeutic uses are contemplated in accordance with the present invention.

Contemplated is the treatment of mammals such as humans, as well as those mammals of importance due to being endangered, such as Siberian tigers; of economical importance, such as animals raised on farms for

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consumption by humans; and/or animals of social importance to humans, such as animals kept as pets or in zoos. Examples of such animals include but are not limited to: carnivores such as cats and dogs; swine, including pigs, hogs, and wild boars; ruminants and/or ungulates such as cattle, oxen, sheep, giraffes, deer, goats, bison, and camels; and horses. Also contemplated is the treatment of birds, including the treatment of those kinds of birds that are endangered and/or kept in zoos, as well as fowl, and more particularly domesticated fowl, *i.e.*, poultry, such as turkeys, chickens, ducks, geese, guinea fowl, and the like, as they are also of economical importance to humans. Thus, contemplated is the treatment of livestock, including, but not limited to, domesticated swine, ruminants, ungulates, horses, poultry, and the like.

Thus, the method may comprise treating a subject suffering from a disorder associated with TGF- $\beta$  via modulating STRAP biological activity to thereby modulate the biological activity of TGF- $\beta$  by administering to the patient an effective STRAP modulating amount of a substance identified according to the screening assay described above. By the term "modulating", it is contemplated that the substance can either promote or inhibit the activity of STRAP, depending on the disorder to be treated.

A therapeutic method according to the present invention may also comprise administering a therapeutic composition which comprises a biologically active STRAP of the present invention in amount effective to modulate the biological activity of TGF- $\beta$  in the patient. Such a method is particularly contemplated when the inhibition of the biological activity of TGF- $\beta$  in the patient is desired.

#### F.1. Modulators of STRAP

Insofar as a STRAP modulator can take the form of a STRAP ligand or ligand mimetic, and an anti-STRAP monoclonal antibody, or fragment thereof, it is to be appreciated that the potency, and therefore an expression of a "therapeutically effective" amount can vary. However, as shown by the present assay methods, one skilled in the art can readily assess the potency of a candidate STRAP modulator of this invention.

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A STRAP modulator can be measured by a variety of means including through the use of a TGF- $\beta$  responsive reporter, p3TP-Lux, which contains elements from the PAI-1 promoter, and drives expression of a luciferase reporter gene, as described herein; interaction of STRAP with T $\beta$ RI, T $\beta$ RII, SMADs, and/or other endogenous protein in the TGF- $\beta$  cascade or monoclonal antibody to a STRAP as described herein; and the like assays.

A preferred STRAP modulator has the ability to substantially interact with STRAP in solution at modulator concentrations of less than one (1) micro molar ( $\mu$ M), preferably less than 0.1  $\mu$ M, and more preferably less than 0.01  $\mu$ M. By "substantially" is meant that at least a 50 percent reduction in STRAP biological activity is observed by modulation in the presence of the STRAP modulator, and at 50% reduction is referred to herein as an IC50 value.

A therapeutically effective amount of a STRAP modulator of this invention in the form of a monoclonal antibody, or fragment thereof, is typically an amount such that when administered in a physiologically tolerable composition is sufficient to achieve a plasma concentration of from about 0.01 microgram ( $\mu$ g) per milliliter (ml) to about 100  $\mu$ g/ml, preferably from about 1  $\mu$ g/ml to about 5  $\mu$ g/ml, and usually about 5  $\mu$ g/ml.

A therapeutically effective amount of a STRAP modulator of this invention in the form of a polypeptide is typically an amount of polypeptide such that when administered in a physiologically tolerable composition is sufficient to achieve a plasma concentration of from about 0.001 microgram ( $\mu$ g) per milliliter (ml) to about 10  $\mu$ g/ml, preferably from about 0.05  $\mu$ g/ml to about 1.0  $\mu$ g/ml. Based on a polypeptide having a mass of about 38,523 grams per mole (i.e. 38,523 Da), the preferred plasma concentration in molarity is from about 0.013 micro molar ( $\mu$ M) to about 0.026 micro molar ( $\mu$ M).

The monoclonal antibodies or polypeptides of the invention can be administered parenterally by injection or by gradual infusion over time. Although the tissue to be treated can typically be accessed in the body by systemic administration and therefore most often treated by intravenous administration of therapeutic compositions, other tissues and delivery methods are contemplated where there is a likelihood that the tissue targeted contains



the target molecule. Thus, monoclonal antibodies or polypeptides of the invention can be administered intravenously, intraperitoneally, intramuscularly, subcutaneously, intra-cavity, transdermally, and can be delivered by peristaltic apparatus or technique.

5           The therapeutic compositions containing a monoclonal antibody or a polypeptide of this invention are conventionally administered intravenously, as by injection of a unit dose, for example. The term "unit dose" when used in reference to a therapeutic composition of the present invention refers to physically discrete units suitable as unitary dosage for the subject, each unit  
10           containing a predetermined quantity of active material calculated to produce the desired therapeutic effect in association with the required diluent; i.e., carrier or vehicle.

          The compositions are administered in a manner compatible with the dosage formulation, and in a therapeutically effective amount. The quantity to  
15           be administered depends on the subject to be treated, capacity of the subject's system to utilize the active ingredient, and degree of therapeutic effect desired. Precise amounts of active ingredient required to be administered depend on the judgement of the practitioner and are peculiar to each individual. However, suitable dosage ranges for systemic application are disclosed herein and  
20           depend on the route of administration. Suitable regimes for administration are also variable, but are typified by an initial administration followed by repeated doses at one or more hour intervals by a subsequent injection or other administration. Alternatively, continuous intravenous infusion sufficient to maintain concentrations in the blood in the ranges specified for in vivo  
25           therapies are contemplated.

#### F.1.1.           Polypeptides

          In one embodiment, the invention contemplates STRAP modulators in the form of polypeptides. A polypeptide (peptide) STRAP modulator can have the sequence characteristics of either an endogenous ligand of the STRAP or  
30           the STRAP itself at the region involved in STRAP-ligand interaction. A preferred STRAP modulator peptide corresponds in sequence to an endogenous ligand of STRAP, such as T $\beta$ RI, T $\beta$ RII or a SMAD.

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In one embodiment, a polypeptide of the present invention comprises no more than about 100 amino acid residues, preferably no more than about 60 residues, more preferably no more than about 30 residues. Peptides can be linear or cyclic.

5 It should be understood that a subject polypeptide need not be identical to the amino acid residue sequence of an endogenous ligand of STRAP, such as T $\beta$ RI, T $\beta$ RII or a SMAD, so long as it includes required binding sequences and is able to function as a STRAP modulator in an assay such as is described herein.

10 A subject polypeptide includes any analog, fragment or chemical derivative of a polypeptide which is a STRAP modulator. Such a polypeptide can be subject to various changes, substitutions, insertions, and deletions where such changes provide for certain advantages in its use. In this regard, a STRAP modulator polypeptide of this invention corresponds to, rather than  
15 is identical to, the sequence of the endogenous ligand where one or more changes are made and it retains the ability to function as a STRAP modulator in one or more of the assays as defined herein. Thus, a polypeptide can be in any of a variety of forms of peptide derivatives, that include amides, conjugates with proteins, cyclized peptides, polymerized peptides, analogs, fragments,  
20 chemically modified peptides, and the like derivatives.

The term "analog" includes any polypeptide having an amino acid residue sequence substantially identical to a sequence of an endogenous ligand of STRAP in which one or more residues have been conservatively substituted with a functionally similar residue and which displays the STRAP  
25 modulator activity as described herein. Examples of conservative substitutions include the substitution of one non-polar (hydrophobic) residue such as isoleucine, valine, leucine or methionine for another; the substitution of one polar (hydrophilic) residue for another such as between arginine and lysine, between glutamine and asparagine, between glycine and serine; the  
30 substitution of one basic residue such as lysine, arginine or histidine for another; or the substitution of one acidic residue, such as aspartic acid or

glutamic acid for another. Such substitutions are described in detail above with respect to the isolated and purified STRAP of the present invention.

5 The phrase "conservative substitution" also includes the use of a chemically derivatized residue in place of a non-derivatized residue provided that such polypeptide displays the requisite inhibition activity.

"Chemical derivative" refers to a subject polypeptide having one or more residues chemically derivatized by reaction of a functional side group. Such derivatized molecules include for example, those molecules in which free amino groups have been derivatized to form amine hydrochlorides, p-toluene sulfonyl groups, carbobenzoxy groups, t-butyloxycarbonyl groups, chloroacetyl groups or formyl groups. Free carboxyl groups may be derivatized to form salts, methyl and ethyl esters or other types of esters or hydrazides. Free hydroxyl groups may be derivatized to form O-acyl or O-alkyl derivatives. The imidazole nitrogen of histidine may be derivatized to form N-im-benzylhistidine.

10 Also included as chemical derivatives are those peptides which contain one or more naturally occurring amino acid derivatives of the twenty standard amino acids. For examples: 4-hydroxyproline may be substituted for proline; 5-hydroxylysine may be substituted for lysine; 3-methylhistidine may be substituted for histidine; homoserine may be substituted for serine; and ornithine may be substituted for lysine. Polypeptides of the present invention also include any polypeptide having one or more additions and/or deletions or residues relative to the sequence of a polypeptide whose sequence is shown herein, so long as the requisite activity is maintained.

15 20

The term "fragment" refers to any subject polypeptide having an amino acid residue sequence shorter than that of a polypeptide whose amino acid residue sequence is shown herein.

25

When a polypeptide of the present invention has a sequence that is not identical to the sequence of a STRAP endogenous ligand, it is typically because one or more conservative or non-conservative substitutions have been made, usually no more than about 30 percent, and preferably no more than 10 percent of the amino acid residues are substituted. Additional residues may also be added at either terminus of a polypeptide for the purpose of

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providing a "linker" by which the polypeptides of this invention can be conveniently affixed to a label or solid matrix, or carrier. Labels, solid matrices and carriers that can be used with the polypeptides of this invention are described hereinbelow.

5           Amino acid residue linkers are usually at least one residue and can be 40 or more residues, more often 1 to 10 residues, but do not form STRAP ligand epitopes. Typical amino acid residues used for linking are tyrosine, cysteine, lysine, glutamic and aspartic acid, or the like. In addition, a subject polypeptide can differ, unless otherwise specified, from the natural sequence  
10 of a STRAP ligand by the sequence being modified by terminal-NH<sub>2</sub> acylation, e.g., acetylation, or thioglycolic acid amidation, by terminal-carboxylamidation, e.g., with ammonia, methylamine, and the like terminal modifications. Terminal modifications are useful, as is well known, to reduce susceptibility by proteinase digestion, and therefore serve to prolong half life of the polypeptides  
15 in solutions, particularly biological fluids where proteases may be present. In this regard, polypeptide cyclization is also a useful terminal modification, and is particularly preferred also because of the stable structures formed by cyclization and in view of the biological activities observed for such cyclic peptides as described herein.

20           Any peptide of the present invention may be used in the form of a pharmaceutically acceptable salt. Suitable acids which are capable of the peptides with the peptides of the present invention include inorganic acids such as trifluoroacetic acid (TFA), hydrochloric acid (HCl), hydrobromic acid, perchloric acid, nitric acid, thiocyanic acid, sulfuric acid, phosphoric acid,  
25 propionic acid, glycolic acid, lactic acid, pyruvic acid, oxalic acid, malonic acid, succinic acid, maleic acid, fumaric acid, anthranilic acid, cinnamic acid, naphthalene sulfonic acid, sulfanilic acid or the like. HCl and TFA salts are particularly preferred.

30           Suitable bases capable of forming salts with the peptides of the present invention include inorganic bases such as sodium hydroxide, ammonium hydroxide, potassium hydroxide and the like; and organic bases such as mono-di- and tri-alkyl and aryl amines (e.g. triethylamine, diisopropyl amine, methyl

amine, dimethyl amine and the like), and optionally substituted ethanolamines (e.g. ethanolamine, diethanolamine and the like).

5 A peptide of the present invention, also referred to herein as a subject polypeptide, can be synthesized by any of the techniques that are known to those skilled in the polypeptide art, including recombinant DNA techniques. Synthetic chemistry techniques, such as a solid-phase Merrifield-type synthesis, are preferred for reasons of purity, antigenic specificity, freedom from undesired side products, ease of production and the like. An excellent summary of the many techniques available can be found in Steward et al.,  
10 "Solid Phase Peptide Synthesis", W. H. Freeman Co., San Francisco, 1969; Bodanszky, et al., "Peptide Synthesis", John Wiley & Sons, Second Edition, 1976; J. Meienhofer, "Hormonal Proteins and Peptides", Vol. 2, p. 46, Academic Press (New York), 1983; Merrifield, *Adv Enzymol*, 32:221-96, 1969; Fields et al., *Int. J. Peptide Protein Res.*, 35:161-214, 1990; and U.S. Pat. No.  
15 4,244,946 for solid phase peptide synthesis, and Schroder et al., "The Peptides", Vol. 1, Academic Press (New York), 1965 for classical solution synthesis, each of which is incorporated herein by reference. Appropriate protective groups usable in such synthesis are described in the above texts and in J. F. W. McOmie, "Protective Groups in Organic Chemistry", Plenum  
20 Press, New York, 1973, which is incorporated herein by reference.

In general, the solid-phase synthesis methods contemplated comprise the sequential addition of one or more amino acid residues or suitably protected amino acid residues to a growing peptide chain. Normally, either the amino or carboxyl group of the first amino acid residue is protected by a  
25 suitable, selectively removable protecting group. a different, selectively removable protecting group is utilized for amino acids containing a reactive side group such as lysine.

Using a solid phase synthesis as representative, the protected or derivatized amino acid is attached to an inert solid support through its  
30 unprotected carboxyl or amino group. The protecting group of the amino or carboxyl group is then selectively removed and the next amino acid in the sequence having the complimentary (amino or carboxyl) group suitably

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protected is admixed and reacted under conditions suitable for forming the amide linkage with the residue already attached to the solid support. The protecting group of the amino or carboxyl group is then removed from this newly added amino acid residue, and the next amino acid (suitably protected) is then added, and so forth. After all the desired amino acids have been linked in the proper sequence, any remaining terminal and side group protecting groups (and solid support) are removed sequentially or concurrently, to afford the final linear polypeptide.

The resultant linear polypeptides prepared for example as described above may be reacted to form their corresponding cyclic peptides. A representative method for cyclizing peptides is described by Zimmer et al., *Peptides* 1992, pp. 393-394, ESCOM Science Publishers, B. V., 1993. Typically, tertbutoxycarbonyl protected peptide methyl ester is dissolved in methanol and sodium hydroxide solution are added and the admixture is reacted at 20°C to hydrolytically remove the methyl ester protecting group. After evaporating the solvent, the tertbutoxycarbonyl protected peptide is extracted with ethyl acetate from acidified aqueous solvent. The tertbutoxycarbonyl protecting group is then removed under mildly acidic conditions in dioxane cosolvent. The unprotected linear peptide with free amino and carboxy termini so obtained is converted to its corresponding cyclic peptide by reacting a dilute solution of the linear peptide, in a mixture of dichloromethane and dimethylformamide, with dicyclohexylcarbodiimide in the presence of 1-hydroxybenzotriazole and N-methylmorpholine. The resultant cyclic peptide is then purified by chromatography.

#### F.1.2. Monoclonal Antibodies

The present invention describes, in one embodiment, STRAP modulators in the form of monoclonal antibodies which immunoreact with STRAP and bind the STRAP to modulate receptor activity as described herein. The invention also describes above cell lines which produce the antibodies, methods for producing the cell lines, and methods for producing the monoclonal antibodies.

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A monoclonal antibody of this invention comprises antibody molecules that 1) immunoreact with isolated STRAP, and 2) bind to the STRAP to modulate its biological function.

5 The term "antibody or antibody molecule" in the various grammatical forms is used herein as a collective noun that refers to a population of immunoglobulin molecules and/or immunologically active portions of immunoglobulin molecules, i.e., molecules that contain an antibody combining site or paratope. An "antibody combining site" is that structural portion of an antibody molecule comprised of heavy and light chain variable and  
10 hypervariable regions that specifically binds antigen.

Representative antibodies for use in the present invention are intact immunoglobulin molecules, substantially intact immunoglobulin molecules, single chain immunoglobulins or antibodies, those portions of an immunoglobulin molecule that contain the paratope, including those portions  
15 known in the art as Fab, Fab', F(ab')<sub>2</sub> and F(v), and also referred to as antibody fragments. Indeed, it is contemplated to be within the scope of the present invention that a monovalent modulator may optionally be used in the present method. Thus, the terms "modulate", "modulating", and "modulator" are meant to be construed to encompass such promotion.

20 The phrase "monoclonal antibody" in its various grammatical forms refers to a population of antibody molecules that contain only one species of antibody combining site capable of immunoreacting with a particular epitope. A monoclonal antibody thus typically displays a single binding affinity for any epitope with which it immunoreacts. A monoclonal antibody may therefore  
25 contain an antibody molecule having a plurality of antibody combining sites, each immunospecific for a different epitope, e.g., a bispecific monoclonal antibody. Methods of producing a monoclonal antibody, a hybridoma cell, or a hybridoma cell culture are described above.

30 It is also possible to determine, without undue experimentation, if a monoclonal antibody has the same (i.e., equivalent) specificity (immunoreaction characteristics) as a monoclonal antibody of this invention by ascertaining whether the former prevents the latter from binding to a preselected target

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molecule. If the monoclonal antibody being tested competes with the monoclonal antibody of the invention, as shown by a decrease in binding by the monoclonal antibody of the invention in standard competition assays for binding to the target molecule when present in the solid phase, then it is likely that the two monoclonal antibodies bind to the same, or a closely related, epitope.

Still another way to determine whether a monoclonal antibody has the specificity of a monoclonal antibody of the invention is to pre-incubate the monoclonal antibody of the invention with the target molecule with which it is normally reactive, and then add the monoclonal antibody being tested to determine if the monoclonal antibody being tested is inhibited in its ability to bind the target molecule. If the monoclonal antibody being tested is inhibited then, in all likelihood, it has the same, or functionally equivalent, epitopic specificity as the monoclonal antibody of the invention.

An additional way to determine whether a monoclonal antibody has the specificity of a monoclonal antibody of the invention is to determine the amino acid residue sequence of the CDR regions of the antibodies in question. Antibody molecules having identical, or functionally equivalent, amino acid residue sequences in their CDR regions have the same binding specificity. "CDRs" (complementarity determining regions) mean the three subregions of the light or heavy chain variable regions which have hypervariable sequences and form loop structures that are primarily responsible for making direct contact with antigen. Antibody molecules having identical, or functionally equivalent, amino acid residue sequences in their CDR regions have the same binding specificity. Methods for sequencing polypeptides are well known in the art.

The immunospecificity of an antibody, its target molecule binding capacity, and the attendant affinity the antibody exhibits for the epitope, are defined by the epitope with which the antibody immunoreacts. The epitope specificity is defined at least in part by the amino acid residue sequence of the variable region of the heavy chain of the immunoglobulin that comprises the antibody, and in part by the light chain variable region amino acid residue sequence. Use of the terms "having the binding specificity of" or "having the



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binding preference of" indicates that equivalent monoclonal antibodies exhibit the same or similar immunoreaction (binding) characteristics and compete for binding to a preselected target molecule.

Humanized monoclonal antibodies offer particular advantages over murine monoclonal antibodies, particularly insofar as they can be used therapeutically in humans. Specifically, human antibodies are not cleared from the circulation as rapidly as "foreign" antigens, and do not activate the immune system in the same manner as foreign antigens and foreign antibodies. Methods of preparing "humanized" antibodies are generally well known in the art, and can readily be applied to the antibodies of the present invention. Thus, the invention contemplates, in one embodiment, a monoclonal antibody of this invention that is humanized by grafting to introduce components of the human immune system without substantially interfering with the ability of the antibody to bind antigen.

The use of a molecular cloning approach to generate antibodies, particularly monoclonal antibodies, and more particularly single chain monoclonal antibodies, is also contemplated. The production of single chain antibodies has been described in the art, see e.g., U.S. Patent No. 5,260,203, the contents of which are herein incorporated by reference. For this, combinatorial immunoglobulin phagemid libraries are prepared from RNA isolated from the spleen of the immunized animal, and phagemids expressing appropriate antibodies are selected by panning on endothelial tissue. The advantages of this approach over conventional hybridoma techniques are that approximately  $10^4$  times as many antibodies can be produced and screened in a single round, and that new specificities are generated by H and L chain combination in a single chain, which further increases the chance of finding appropriate antibodies. Thus, an antibody of the present invention, or a "derivative" of an antibody of the present invention pertains to a single polypeptide chain binding molecule which has binding specificity and affinity substantially similar to the binding specificity and affinity of the light and heavy chain aggregate variable region of an antibody described herein.

### F.1.3. Other Modulators

Given the disclosure of the STRAP activity in tissues herein, it is also contemplated that as yet undefined chemical compounds may be used to modulate STRAP activity in tissues in accordance with the methods of the present invention. The identification of such compounds is facilitated by the description of screening assays directed to STRAP activity in tissues presented above.

### F.2. Antisense Oligonucleotide Therapy

A therapeutic method according to the present invention may alternatively comprise promoting or inhibiting STRAP in a vertebrate subject by administering an effective amount of a substance that inhibits or promotes expression of a STRAP-encoding nucleic acid segment in the vertebrate. Examples of such a substance, include, for example, an antisense oligonucleotide derived from SEQ ID NO:1. Therapeutic methods utilizing antisense oligonucleotides have been described in the art, for example in U.S. Patent Nos. 5,627,158 and 5,734,033, the contents of each of which are herein incorporated by reference.

### F.3. Gene Therapy

A STRAP gene can be used for gene therapy in accordance with the present invention. Representative gene therapy methods, including liposomal transfection of nucleic acids into host cells, are described in U.S. Patent Nos. 5,279,833; 5,286,634; 5,399,346; 5,646,008; 5,651,964; 5,641,484; and 5,643,567, the contents of each of which are herein incorporated by reference.

Briefly, STRAP gene therapy directed toward modulation of TGF- $\beta$  activity in a target cell is described. Target cells include but are not limited to cancerous or tumorous cells. In one embodiment, a therapeutic method of the present invention contemplates a method for modulating of TGF- $\beta$  activity in a cell comprising the steps of: (a) delivering to the cell an effective amount of a DNA molecule comprising a polynucleotide that encodes a STRAP polypeptide that modulates TGF- $\beta$  activity; and (b) maintaining the cell under conditions sufficient for expression of said polypeptide.

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In a preferred embodiment, the STRAP polypeptide is that essentially as set forth in SEQ ID NO:2. Delivery is preferably accomplished by injecting a DNA molecule into the cell. Where the cell is in a subject delivering is preferably administering the DNA molecule into the circulatory system of the subject. In a preferred embodiment, administering comprises the steps of: (a) providing a vehicle that contains the DNA molecule; and (b) administering the vehicle to the subject.

For introduction of, for example, the STRAP gene, it is proposed that one will desire to preferably employ a vector construct that will deliver the desired gene to the affected cells. This will, of course, generally require that the construct be delivered to the targeted cells, for example, mammalian smooth muscle cells. It is proposed that this may be achieved most preferably by introduction of the desired gene through the use of a viral vector to carry the STRAP sequence to efficiently infect the cells. These vectors will preferably be an adenoviral, a retroviral, a vaccinia viral vector or adeno-associated virus. These vectors are preferred because they have been successfully used to deliver desired sequences to cells and tend to have a high infection efficiency.

A vehicle is preferably a cell transformed or transfected with the DNA molecule or a transfected cell derived from such a transformed or transfected cell. A representative and preferred transformed or transfected cell is a lymphocyte or a tumor cell from the tumor being treated. Techniques for transforming or transfecting a cell with a DNA molecule of the present invention are set forth above.

Alternatively, the vehicle is a virus or an antibody that specifically infects or immunoreacts with an antigen of the target tissue or tumor. An advantage of a viral infection system is that it allows for a very high level of infection into the appropriate recipient cell. Also, antibodies have been used to target and deliver DNA molecules.

It is also envisioned that this embodiment of the present invention can be practiced using alternative viral or phage vectors, including retroviral vectors and vaccinia viruses whose genome has been manipulated in alternative ways so as to render the virus non-pathogenic. Methods for creating such a viral

mutation are set forth in detail in U.S. Pat. No. 4,769,331, incorporated herein by reference.

#### F.4. Dosages

5 As used herein, an "effective" dose refers to one that is administered in doses tailored to each individual patient manifesting symptoms of improper TGF- $\beta$  activity sufficient to cause an improvement therein. After review of the disclosure herein of the present invention, one of ordinary skill in the art can tailor the dosages to an individual patient, taking into account the particular formulation and method of administration to be used with the composition as well as patient height, weight, severity of symptoms, and stage of the disorder to be treated.

10 An effective dose and a therapeutically effective dose are generally synonymous. However, compounds may be administered to patients having reduced symptoms or even administered to patients as a preventative measure. Hence, the composition may be effective in therapeutic treatment even in the absence of symptoms of the disorder.

15 A unit dose can be administered, for example, 1 to 4 times per day. Most preferably, the unit dose is administered twice a day (BID). The dose depends on the route of administration and the formulation of a composition containing the compound or compounds. Further, it will be appreciated by one of ordinary skill in the art after receiving the disclosure of the present invention that it may be necessary to make routine adjustments or variations to the dosage depending on the combination of agents employed, on the age and weight of the patient, and on the severity of the condition to be treated.

20 Such adjustments or variations, as well as evaluation of when and how to make such adjustments or variations, are well known to those of ordinary skill in the art of medicine. Evaluation parameters and techniques may vary with the patient and the severity of the disease. Particularly useful evaluative techniques are disclosed in the Examples.

##### 30 F.4.1. Gene Therapy Vector Construct Dosing

Maximally tolerated dose (MTD) of vector construct when administered directly into the affected tissue is determined. Primary endpoints are: 1) the

rate of transduction in abnormal and/or normal cells, 2) the presence and stability of this vector in the systemic circulation and in affected cells, and 3) the nature of the systemic (fever, myalgias) and local (infections, pain) toxicities induced by the vector. A secondary endpoint is the clinical efficacy of the vector construct.

For example, a 4 ml serum-free volume of viral (e.g. adenoviral, retroviral, etc.) vector construct (containing up to  $5 \times 10^7$  viral particles in AIM V media) is administered daily per session. During each session, 1 ml of medium containing the appropriate titer of vector construct is injected into 4 regions of the affected tissue for a total of 4 ml per session in a clinical examination room. This is repeated daily for 4 days (4 sessions). This 16 ml total inoculum volume over 4 days is proportionally well below the one safely tolerated by nude mice (0.5 ml/20 g body weight).

Patient evaluation includes history and physical examination prior to initiation of therapy and daily during the 4 day period of vector construct injection. Toxicity grading is done using the ECOG Common Toxicity Criteria. CBC, SMA-20, urinalysis, and conventional studies are performed daily during this period.

#### F.4.2. Dose escalation and MTD.

Patients are treated with  $3 \times 10^6$  viral particles x 4. Once they have all recovered from all grade 2 or less toxicities (except alopecia), and as long as grade 3-4 toxicity is not encountered, a subsequent dose level is initiated in patients. As one grade 3 or 4 toxicity occurs at a given dose level, a minimum of 6 patients are enrolled at that level. As only 1 of 6 patients has grade 3 or 4 toxicity, dose escalation continues. The MTD of vector construct is defined as the dose where 2 of 6 patients experience grade 3 or 4 toxicity. If 2 of 3, or if 3 of 6 patients experience grade 3 or 4 toxicity, the MTD is defined as the immediately lower dose level.

The following escalation schema is followed: 1) level 1,  $3 \times 10^6$  viral particles; 2) level 2,  $1 \times 10^7$ ; 3) level 3,  $3 \times 10^7$ ; 4) level 4,  $5 \times 10^7$ . Patients with measurable disease are evaluated for a clinical response to vector construct. Histology and local symptoms are followed. NE clearance, tyramine

administration and other standard tests such as are disclosed in the Examples are employed.

#### F.5. Formulation of Therapeutic Compositions

5 The STRAP modulating substance and the substance that inhibits or promotes expression of a STRAP protein or a STRAP-encoding nucleic acid segment are thus adapted for administration as a pharmaceutical composition. Formulation and dose preparation techniques have been described in the art, see for example, those described in U.S. Patent No. 5,326,902 issued to Seipp et al. on July 5, 1994, U.S. Patent No. 5,234,933 issued to Marnett et al. on 10 August 10, 1993, and PCT Publication WO 93/25521 of Johnson et al. published December 23, 1993, the entire contents of each of which are herein incorporated by reference.

For the purposes described above, the identified substances may normally be administered systemically or partially, usually by oral or parenteral 15 administration. The doses to be administered are determined depending upon age, body weight, symptom, the desired therapeutic effect, the route of administration, and the duration of the treatment etc. In a human adult, the doses per person per administration are generally between 1 mg and 500 mg, by oral administration, up to several times per day, and between 1 mg and 100 20 mg, by parenteral administration up to several times per day. Since the doses to be used depend upon various conditions, as mentioned above, there may be a case in which doses are lower than or greater than the ranges specified above.

Solid compositions for oral administration include compressed tablets, 25 pills, dispersible powders, capsules, and granules. In such compositions, one or more of the active substance(s) is or are, admixed with at least one inert diluent (lactose, mannitol, glucose, hydroxypropylcellulose, microcrystalline cellulose, starch, polyvinylpyrrolidone, magnesium metasilicate alminate, etc.). The compositions may also comprise, as is normal practice, additional 30 substances other than inert diluents: e.g. lubricating agents (magnesium stearate, etc.), disintegrating agents (cellulose, calcium glycolate etc.), and assisting agent for dissolving (glutamic acid, aspartic acid, etc.) stabilizing

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agent (lactose etc.). The tablets or pills may, if desired, be coated with gastric or enteric material (sugar, gelatin, hydroxypropylcellulose or hydroxypropylmethyl cellulose phthalate, etc.). Capsules include soft ones and hard ones.

5           Liquid compositions for oral administration include pharmaceutically-acceptable emulsions, solutions, suspensions, syrups and elixirs. In such compositions, one or more of the active substance(s) is or are admixed with inert diluent(s) commonly used in the art (purified water, ethanol etc.). Besides  
10           inert diluents, such compositions may also comprise adjuvants (wetting agents, suspending agents, etc.), sweetening agents, flavoring agents, perfuming agents and preserving agents.

          Other compositions for oral administration include spray compositions which may be prepared by known methods and which comprise one or more of the active substance(s). Spray compositions may comprise additional  
15           substances other than inert diluents: e.g. preserving agents (sodium sulfite, etc.), isotonic buffer (sodium chloride, sodium citrate, citric acid, etc.). For preparation of such spray compositions, for example, the method described in U.S. Patent Nos. 2,868,691 or 3,095,355 may be used.

          Injections for parenteral administration include sterile aqueous or non-  
20           aqueous solution, suspensions and emulsions. In such compositions, one or more of active substance(s) is or are admixed with at least one inert aqueous diluent(s) (distilled water for injection, physiological salt solution etc.) or inert non-aqueous diluent(s) (propylene glycol, polyethylene glycol, olive oil, ethanol, POLYSOLBATE 80® etc.). Injections may comprise additional other than inert  
25           diluents: e.g. preserving agents, wetting agents, emulsifying agents, dispersing agents, stabilizing agents (lactose, etc.), assisting agents such as for dissolving (glutamic acid, aspartic acid, etc.). They may be sterilized, for example, by filtration through a bacteria-retaining filter, by incorporation of sterilizing agents in the compositions or by irradiation. They also be manufactured in the form  
30           of sterile solid compositions, for example, by freeze-drying, and which can be dissolved in sterile water or some other sterile diluents for injection immediately before use.

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Other compositions for administration include liquids for external use, and endermic linaments (ointment, etc.), suppositories and pessaries which comprise one or more of the active substance(s) and may be prepared by known methods.

5

### EXAMPLES

The following Examples have been included to illustrate preferred modes of the invention. Certain aspects of the following Examples are described in terms of techniques and procedures found or contemplated by the present inventors to work well in the practice of the invention. These Examples are exemplified through the use of standard laboratory practices of the inventors. In light of the present disclosure and the general level of skill in the art, those of skill will appreciate that the following Examples are intended to be exemplary only and that numerous changes, modifications and alterations can be employed without departing from the spirit and scope of the invention.

15

#### Example 1

##### Isolation and Purification of STRAP Protein

Cloning of STRAP, Northern blot and Southern blot analysis - Y190 containing pAS2-R4C was transformed with a pACT-mouse embryonic cDNA library, and transformants were subjected to selection for histidine prototrophy on SC-his, -trp, -leu plates containing 25 mM 3-aminotriazole (as described in Harper et al., *Cell* 75: 805-816 (1993) and Durfee et al., *Genes Dev.* 7:555-569 (1993)) and rare surviving colonies were then screened for  $\beta$ -galactosidase activity using a colony filter lift assay. Resulting clones, after second screening, were mated with Y187 containing the unrelated proteins p53, lamin, SNF1 and CDK2 fused to the GAL4 DNA-binding domain and tested for  $\beta$ -galactosidase activity. Positive clones were recovered from yeast and retransformed into Y190 with one of several unrelated molecules including lamin, SNF1, p53 or CDK2 in pAS2, for further testing of the specific interaction.

A color photograph was prepared showing STRAP or FKBP12.6 in pACT transformed into yeast strain Y190 containing R4C or one of several



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unrelated proteins including lamin, SNF1, p53 or CDK2 in pAS2. Transformants were tested for  $\beta$ -galactosidase activity by colony filter lift assay. A blue color indicated a positive interaction.

STRAP cDNA was used as a probe to screen a mouse embryonic (8.5-  
5 9.0 dpc) cDNA library in  $\lambda$ ZAP II (Stratagene). Five clones showed an in-frame stop codon (TGA) 72 bp upstream from the ATG start codon and kozak consensus sequence. A blot (Clontech) with mRNA (2  $\mu$ g per lane) from multiple mouse tissues was probed with  $^{32}$ P-labeled STRAP and Actin cDNAs. A membrane (Clontech) containing genomic DNA (4  $\mu$ g per lane) digested with  
10 EcoR1, from various eukaryotic species was probed with  $^{32}$ P-labeled STRAP.

Thus, to search for intracellular signal mediators, a modified version of the yeast two-hybrid system (as described in Harper et al., *Cell* 75: 805-816 (1993) and Durfee et al., *Genes Dev.* 7:555-569 (1993)) was utilized to screen  
15 a mouse embryonic cDNA library using the cytoplasmic domain of type I TGF- $\beta$  receptor from rat (R4C) (Bassing et al., *Science* 263:87-89 (1994)) as the bait. Fourteen positive clones that specifically interacted with R4C were subdivided into three groups. Twelve clones encoded FKBP12, a binding protein for FK506 and rapamycin (Standaert et al., *Nature* 346:671-674 (1990)), which was previously shown to interact specifically with type I receptors. See e.g.,  
20 Wang et al., *Cell* 86:435-444 (1996). One clone encoded FKBP12.6, which shares many structural and functional similarities with FKBP12 (Lam et al., *J. Biol. Chem.* 270:26511-26522 (1995)), and also showed strong interaction with R4C in yeast.

The remaining clone encoded the novel WD-domain containing protein  
25 according to the present invention, designated STRAP (Serine-Threonine Kinase Receptors Associated Protein). The specificity of the interaction between R4C and STRAP in yeast was tested using a panel of unrelated proteins, none of which interacted with STRAP. When the cytoplasmic domain of R4 was tested, positive interaction was detected with STRAP and  
30 FKBP12.6. The specificity and strength of the interaction between R4C and STRAP in the yeast system were further confirmed by a quantitative  $\beta$ -galactosidase assay.

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Table 2 summarizes yeast strain Y190 transformed with various plasmids as indicated. Four independent colonies of each transformation were first grown in appropriate selection media.  $\beta$ -galactosidase assays were performed using o-nitrophenyl- $\beta$ -D-galactopyranoside as substrate as described in Ausubel et al., *Current Protocols in Molecular Biology*, (J. Wylie & Sons, N.Y.) (1992). Averages from four independent transformants were expressed in a relative scale. Experiments were repeated four times with similar results.

Table 2

Interaction of STRAP with the Cytoplasmic Domain of the  
Type 1 TGF- $\beta$  Receptor

<u>Transforming</u>	<u><math>\beta</math>-galactosidase</u>
<u>Plasmids</u>	<u>Activity</u>
STRAP+R4C	24.3
STRAP+p53	<1.0
STRAP+CDK2	1.2
STRAP+SNF1	1.4
FKBP12.6+R4C	181.8
FKBP12.6+p53	1.1

The STRAP clone yielded a 1.6 kb cDNA that contained an open reading frame. Screening of another mouse embryonic cDNA library with the STRAP cDNA as probe resulted in the isolation of five clones that yielded ~1.8 kb cDNAs with an in-frame termination codon preceding the putative initiating methionine of the STRAP open reading frame. This open reading frame predicted a protein of 351 amino acids with a Mr of 38,523 (Fig. 2A and SEQ ID NO:2). The *in vitro* translated STRAP migrates with a molecular mass of about 39 kD on SDS-PAGE. Sequence analysis indicates that STRAP contains six WD domains and shows ~19% amino acid similarity with TRIP-1, a TGF- $\beta$  type II receptor associated protein, which is described in Chen et al., *Nature* 377:548-552 (1995). Some of these similarities are among the conserved amino acid residues within the WD repeats (Fig. 2B).

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To analyze the expression pattern of the STRAP gene, Northern blot analysis of poly(A)+ RNA from different mouse tissues was performed (Fig. 2C). A major transcript of ~1.6 kb was detected in all tissues examined, with the highest levels in liver and testis and lesser abundance in spleen. In some tissues larger transcripts were detected. Southern blot analysis of genomic DNA from different species using the STRAP cDNA as a probe revealed conservation of the STRAP gene from yeast to mammals (Fig. 2D).

### Example 2

#### Evaluation of the Association of STRAP with T $\beta$ R-1 *In Vivo*

Expression plasmids - The whole or partial coding region (N-terminal 294 amino acids) of STRAP were amplified by PCR and subcloned into a mammalian expression vector, pcDNA3 (Invitrogen) with one copy of the Flag epitope in-frame to the C-terminus to generate STRAP-Flag and STRAP (1-294)-Flag, respectively. Similarly STRAP-HA was made. The entire cytoplasmic domain of R4 (amino acids 146-501) was fused in-frame to the 3' end of the GAL4 DNA-binding domain into pAS2 as described in Harper et al., *Cell* 75: 805-816 (1993) and Durfee et al., *Genes Dev.* 7:555-569 (1993). Expression constructs for TGF- $\beta$  receptors, Smad1, Smad6, and Smad7 have been described. See e.g., Kretzschmar et al., *Genes Dev.* 11:984-995 (1997); Imamura et al. *Nature* 389:622-626 (1997); Nakao et al., *Nature* 389:631-635 (1997); Wieser et al., *EMBO J.* 14:2199-2208 (1995); and Chen et al., *EMBO J.* 16:3866-3876 (1997).

Immunoprecipitation, immunoblotting and phosphate labeling - All of these procedures were performed essentially as described in Hoodless et al., *Cell* 85:489-500 (1996). In brief, COS-1 cells were transiently transfected with the indicated constructs and cell lysates were subjected to immunoprecipitation with anti-Flag M2 monoclonal antibody (IBI, Eastman Kodak Company, Rochester, New York), anti-HA polyclonal antibody (Y11, Santa Cruz Biotechnology, Inc., Santa Cruz, California), anti-T $\beta$ R-I polyclonal antibody (Santa Cruz) or anti-T $\beta$ R-II polyclonal antibody (Santa Cruz Biotechnology, Inc., Santa Cruz, California) followed by adsorption to protein G-Sepharose

(Pharmacia Biosystems Aktiebolaget, Uppsala, Sweden). Immunoprecipitates were washed, separated by SDS-PAGE, and transferred to membranes. Tagged proteins were detected using anti-Flag antibody or anti-HA antibody and chemiluminescence. For  $^{32}\text{P}$ -phosphate labeling, transiently transfected COS-1 cells were metabolically labeled with 1 mCi/ml  $^{32}\text{P}$ -orthophosphate for 3 hours at  $37^\circ\text{C}$  and equal amount of extracts immunoprecipitated with anti-Flag antibody. Phosphorylated proteins were detected by SDS-PAGE and autoradiography. Quantitation of STRAP phosphorylation was performed using IMAGEQUANT<sup>®</sup> software (Molecular Dynamics, Inc., Sunnyvale, California).

Affinity crosslinking - Transfected COS-1 cells were affinity labeled with 200 pM  $^{125}\text{I}$ -TGF- $\beta$ 1 by chemical crosslinking as described in Wrana et al., *Cell* 71:1003-1014 (1992) and lysates were immunoprecipitated with anti-Flag antibody. Affinity labeled receptor complexes co-precipitated with STRAP were visualized by SDS-PAGE and autoradiography.

To evaluate the association of STRAP with T $\beta$ R-I *in vivo*, HA-tagged wild type and mutant forms of T $\beta$ R-I with Flag-tagged STRAP in COS-1 cells were expressed. Cell lysates were subjected to immunoprecipitation with antibodies to Flag and each immunoprecipitate was then probed with antibodies to HA. A comparable amount of T $\beta$ R-I was detected in each immunoprecipitate (Fig. 3A, first panel, lanes 4-7), revealing that T $\beta$ R-I can be co-immunoprecipitated with STRAP. Reciprocal experiments, in which proteins immunoprecipitated by antibodies to T $\beta$ R-I were blotted with an anti-Flag antibody (Fig. 3A, second panel), confirmed the association of STRAP with ligand-free T $\beta$ R-I and this association was not detectably affected by the mutations K232R (kinase negative), T204D (constitutively active) or 185-204 (activation incompetent) in T $\beta$ R-I.

To determine whether STRAP can also interact with T $\beta$ R-II, similar experiments were performed using T $\beta$ R-II coexpressed with STRAP (Fig. 3B). Co-immunoprecipitation of T $\beta$ R-II with STRAP and vice versa demonstrated the specific interaction between these proteins. Additionally, co-immunoprecipitation of both receptors with STRAP was not affected by the treatment of cells with TGF- $\beta$ 1 (Fig. 3C, lane 4). Finally,  $^{125}\text{I}$ -TGF- $\beta$ -bound T $\beta$ R-

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I-T $\beta$ R-II heteromeric complexes could be co-immunoprecipitated with STRAP in affinity crosslinking experiments (Fig. 3D). Thus, STRAP associates with T $\beta$ R-I and T $\beta$ R-II in the presence or absence of ligand in mammalian cells.

5 Since the inhibitory SMADs, Smad6 and Smad7 show stable interaction with type I receptors (e.g. Imamura et al. *Nature* 389:622-626 (1997); Nakao et al., *Nature* 389:631-635 (1997)), it was examined whether STRAP could associate with these SMADs in co-immunoprecipitation experiments. Co-precipitation of STRAP with Smad6 or Smad7 and in reciprocal experiments, co-precipitation of Smad6 or Smad7 with STRAP demonstrated that STRAP  
10 stably associates with Smad6 and Smad7 (Fig. 3E). No interaction was observed between STRAP and Smad1 in similar experiments, indicating the specificity of the interactions.

Thus, *in vivo* association of STRAP with T $\beta$ R-I and T $\beta$ R-II in presence or absence of TGF- $\beta$ 1 was examined. STRAP can also associate with Smad6  
15 or Smad7 but not with Smad1. An autoradiograph of an immunoblot showing interaction of STRAP and T $\beta$ R-I was prepared (Fig. 3A). COS-1 cells were transiently transfected with the indicated combinations of STRAP-Flag and HA-tagged wild type (wt) and mutants of T $\beta$ R-I as indicated. Cell lysates were subjected to immunoprecipitation followed by immunoblotting. Expression of  
20 T $\beta$ R-I proteins and STRAP was determined by immunoblotting.

An autoradiograph of an immunoblot showing that STRAP interacts with T $\beta$ R-II was also prepared and is presented in Fig. 3B. COS-1 cells were transiently transfected with the above-described combinations of STRAP-Flag and HA-tagged T $\beta$ R-II constructs. Cell lysates were immunoprecipitated and  
25 then immunoblotted as described above. An autoradiograph of an immunoblot showing that TGF- $\beta$  does not affect the association of STRAP with T $\beta$ R-I and T $\beta$ R-II was also prepared and is presented in Fig. 3C. A similar experiment was performed in the absence or presence of TGF- $\beta$ 1 (240 pM) for 20 min.

An autoradiograph of a protein gel showing the interaction of STRAP  
30 with TGF- $\beta$ 1-bound receptors was also prepared and is presented in Fig. 3D. COS-1 cells were transfected with STRAP-Flag or Flag-Smad6 (serves as positive control) in combination with the wild-type (wt) or kinase-defective

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(K→R) HA-tagged T $\beta$ R-I and hexahistidine-tagged T $\beta$ R-II as described above. Affinity labeled receptor complexes co-precipitated with STRAP were observed at about 71 kD (T $\beta$ R-I) and about 102 kD (T $\beta$ R-II). Similar levels of receptor expression were observed in analyzing aliquots of total cell lysates by SDS-PAGE (about 71 kD (T $\beta$ R-I) and about 102 kD (T $\beta$ R-II)).

An autoradiograph of an immunoblot showing the association of STRAP with Smad6 and Smad7 but not with Smad1 was also prepared and is presented in Fig. 3E. COS-1 cells were transfected with the above-indicated combinations of STRAP-HA and Flag-tagged SMADs. In similar experiments cell lysates were subjected to immunoprecipitation followed by immunoblotting. Expression levels of SMAD proteins were monitored by immunoblotting.

For downstream signaling from the receptor kinases to culminate in transcriptional regulation of target genes, the phosphorylation of signaling components is often essential. To test whether STRAP is a substrate for the serine-threonine kinase receptors the phosphorylation of STRAP *in vivo* was analyzed when it was coexpressed with different combinations of TGF- $\beta$  receptors. Metabolic labeling of transfected cells with  $^{32}$ P-orthophosphate followed by immunoprecipitation of STRAP with anti-Flag antibody indicated a basal level of STRAP phosphorylation in COS-1 cells without exogenous receptor expression (Fig. 4A, lane 1). An increase in STRAP phosphorylation was detected in COS-1 cells expressing T $\beta$ R-I (lane 2). This increase was dependent on T $\beta$ R-I kinase activity because a point mutation (K232R) that abolishes T $\beta$ R-I kinase activity prevented the increase in STRAP phosphorylation (lane 3). Co-transfection of STRAP with T $\beta$ R-II resulted in a significant increase in STRAP phosphorylation (lane 4) but a kinase inactive mutant (K277R) was unable to induce the phosphorylation of STRAP (lane 5). A further increase in the phosphorylation of STRAP was observed in cells expressing both T $\beta$ R-I and T $\beta$ R-II (lane 6) and the kinase activity of both was required for this increase (lane 7). Deletion of the C-terminal 57 amino acids of STRAP abolished both its basal and receptor-induced phosphorylation (lanes 8,9), indicating that the C-terminus of STRAP is required for its phosphorylation.

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Double immunoprecipitation from  $^{32}\text{P}$ -orthophosphate labeled cells with anti-Flag antibody confirmed the identity of phosphorylated STRAP as the ~40 kD band (Fig. 4B). At least two other phosphoproteins were also detected in the anti-Flag immunoprecipitates, consistent with the presence of alternate  
5 kinases that might phosphorylate STRAP. A low level of phosphorylation of STRAP was observed in R1B/L17 mink lung epithelial cells deficient in T $\beta$ R-I. STRAP phosphorylation in these cells was stimulated when T $\beta$ R-I was co-transfected with STRAP. These data suggest that the increase in STRAP phosphorylation may be mediated by either TGF- $\beta$  receptors, receptor  
10 associated kinases or STRAP associated kinases that are activated by TGF- $\beta$  receptors in a multimeric complex.

Thus, an autoradiograph (Fig. 4A) of a protein gel showing COS-1 cells transiently transfected with STRAP-Flag or STRAP (1-294)-Flag in combination with wild-type or kinase-defective HA-tagged T $\beta$ R-I and/or hexahistidine-tagged  
15 T $\beta$ R-II as indicated, and showing that the C-terminus of STRAP is required for its TGF- $\beta$  receptor-dependent phosphorylation was prepared. Cells were metabolically labeled with  $^{32}\text{P}$ -orthophosphate and equal amount of extracts immunoprecipitated with anti-Flag antibody. Phosphorylated STRAP was detected by SDS-PAGE and autoradiography. Equivalent expression of  
20 STRAP-Flag and STRAP (1-294)-Flag proteins was confirmed by immunoblotting. Phosphate incorporated into STRAP is plotted in relative units. The result presented in Fig. 4A is representative of five independent experiments.

An autoradiograph (Fig. 4B) of a protein gel providing confirmation of the  
25 phosphorylated band as STRAP was also prepared. The immunoprecipitate from lane 6 of Fig. 4A (lane 1) was boiled with Laemmli sample buffer to disrupt the complex and then subjected to second IP with anti-Flag antibody (lane 2).

A bar graph (Fig. 4C) showing TGF- $\beta$ -induced transcriptional activation of the 3TP promoter is inhibited by STRAP was also prepared. R1B/L17 cells  
30 deficient in T $\beta$ R-I were transiently transfected with p3TP-Lux reporter,  $\beta$ -gal reporter, T $\beta$ R-I and increasing amounts of STRAP. Luciferase activity was normalized to  $\beta$ -galactosidase activity. These experiments were performed in

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triplicate four times with similar results. Data are means  $\pm$  SEM of triplicate determinations from a representative experiment.

### Example 3

#### Interactions of STRAP with TGF- $\beta$ Receptors and Inhibitory SMADs

5        Transcriptional response assay - The mink lung epithelial cell line derivative R-1B/L17 was transiently transfected with an appropriate combination of reporters, expression plasmids, and empty vector using a DEAE-dextran method. In each experiment total equal amounts of DNA were transfected. Cells were subsequently incubated in the absence or presence  
10 of 100 pM TGF- $\beta$ 1 for 20 hours. The relative luciferase activity was measured in cell lysates as described in Wrana et al., *Cell* 71:1003-1014 (1992), and normalized for transfection efficiency.

To explore the functional significance of the interactions of STRAP with TGF- $\beta$  receptors and inhibitory SMADs, analyses on a TGF- $\beta$  responsive  
15 reporter, p3TP-Lux, which contains elements from the PAI-1 promoter, and drives expression of a luciferase reporter gene, was performed. See Wrana et al., *Cell* 71:1003-1014 (1992). T $\beta$ R-I restores the responsiveness to TGF- $\beta$  in transfected RIB/L17 cells (Fig. 4C). Overexpression of STRAP suppressed the TGF- $\beta$ -induced increase in luciferase activity in a dose-dependent manner. In  
20 HepG2 cells, which possess receptors and are TGF- $\beta$  responsive, the stimulation of the 3TP promoter by TGF- $\beta$  was inhibited by STRAP. The same effect was seen in Mv1Lu mink lung epithelial cells. These results suggest that STRAP participates in the negative regulation of transcription by TGF- $\beta$ .

These results strongly suggest that STRAP interacts specifically with the  
25 TGF- $\beta$  receptors and the inhibitory SMADs, and is involved in an early step in TGF- $\beta$  signaling. The increase in the phosphorylation of STRAP is dependent on the kinase activity of the receptors.

Many WD-repeat proteins help to assemble macromolecular complexes, as described in Clapham et al., *Nature* 365:403-406 (1993). Such proteins  
30 present a changeable surface for protein-protein interactions and are capable of protein-induced conformational changes. See e.g., Neer et al. *Nature*



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371:297-300 (1994). STRAP forms homo-oligomers most likely through the WD-repeats and may be required for recruiting the negative regulatory SMADs, Smad6 and Smad7 to the receptor complex. Thus, STRAP is involved in the negative regulation of TGF- $\beta$  signaling. Analogous to the recruitment of signaling components to receptor tyrosine kinases as described in Marshall, *Cell* 80:179-185 (1995), it is thus contemplated that STRAP is involved generally in recruiting downstream regulatory molecules to the receptor serine-threonine kinases.

#### Example 4

##### STRAP and Smad7 Synergize in the Inhibition of Transforming Growth Factor- $\beta$ Signaling

Smad proteins play a key role in the intracellular signaling of the transforming growth factor- $\beta$  (TGF- $\beta$ ) superfamily of extracellular polypeptides that initiate signaling from the cell surface through serine/threonine kinase receptors. A subclass of Smad proteins, Smad6 and Smad7, has been shown to function as intracellular antagonists of TGF- $\beta$  family signaling. The identification of a WD40 repeat protein, STRAP that associates with both type I and type II TGF- $\beta$  receptors and is involved in TGF- $\beta$  signaling is disclosed herein above. In this Example it is demonstrated that STRAP synergizes specifically with Smad7, but not with Smad6 (an antagonist of BMP signaling), in the inhibition of TGF- $\beta$ -induced transcriptional responses.

STRAP does not show any cooperation with a C-terminal deletion mutant of Smad7, that does not bind with the receptor and consequently has no inhibitory activity. STRAP associates stably with Smad7, but not with the Smad7 mutant. STRAP recruits Smad7 to the activated type I receptor and forms a complex. Moreover, STRAP stabilizes the association between Smad7 and the activated receptor, thus assisting Smad7 in preventing Smad2/Smad3 access to the receptor. The C-terminus of STRAP is required for its phosphorylation *in vivo* which is dependent upon the TGF- $\beta$  receptor kinases, and is further potentiated by Smad7. However, STRAP is not a direct substrate of the receptors. Thus, a mechanism is characterized with respect to how

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STRAP and Smad7 function synergistically to block TGF- $\beta$ -induced transcriptional activation.

### Materials and Methods

5        Cell lines and transfections. Mv1Lu and HepG2 cells were obtained from the American Type Culture Collection (ATCC) and maintained in Dulbecco's modified Eagle's medium (DMEM) supplemented with 10% fetal bovine serum (FBS) and nonessential amino acids. COS-1 cells were grown in DMEM containing 10% FBS. For transient transfections, HepG2 cells were seeded at 20% confluency and transfected overnight using the calcium phosphate -DNA precipitation method as described by Datta, P. K., and S. Bagchi (1994) *J. Biol. Chem.* 269: 25392-25399. For transfections in COS-1, cells were seeded at 50% confluency and were transfected using the calcium phosphate-DNA precipitation method for five hours, or using FuGENE 6 (Boehringer Mannheim, Indianapolis, Indiana) transfection reagents, according to the manufacturer's instructions. Mv1Lu cells were transfected using a DEAE-dextran transfection method (Promega, Madison, Wisconsin) following manufacturer's instructions.

20        Plasmid constructs. The complete coding region of STRAP was amplified by polymerase chain reaction (PCR) and subcloned into a mammalian expression vector, pcDNA3 (Invitrogen), with one copy of the epitope in-frame to the C-terminus of STRAP to generate pcDNA3-STRAP-Flag or pcDNA3-STRAP-HA. The truncation mutant, pcDNA3-STRAP(1-294)-Flag was constructed similarly by amplifying the coding sequence of STRAP from amino acid 1 to 294 by PCR. The full coding sequence of STRAP was amplified by PCR and subcloned in-frame into BamHI/XhoI sites of pGEX-4T-1 GST expression vector (Pharmacia Biosystems Aktiebolaget, Uppsala, Sweden). The cytoplasmic domain of T $\beta$ R-I was amplified from pcDNA3-T $\beta$ R-I(T204D) and subcloned in-frame into SmaI/XhoI sites of pGEX-4T-1. All constructs were verified by sequencing.

30        Immunoprecipitation and Immunoblot Analysis. COS-1 cells were transfected with expression constructs as indicated. After 40 hours, cells were

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washed, scraped and solubilized in lysis buffer (50 mM Tris-HCl, pH 7.5, 150 mM NaCl, 10 mM EDTA, 0.5% Nonidet P-40, 0.5 mM dithiothreitol, 5 mM sodium fluoride, 0.5 mM sodium orthovanadate, 1.0 mM phenylmethylsulfonyl fluoride, and 2 µg/ml of each of leupeptin, pepstatin and aprotinin). Cleared  
5 cell lysates were incubated with anti-Flag M2 monoclonal antibody (Sigma Chemical Company, St. Louis, Missouri), anti-HA polyclonal antibody (Y11, Santa Cruz Biotechnology, Inc., Santa Cruz, California) or anti-Myc 9E10 monoclonal antibody (Santa Cruz Biotechnology, Inc., Santa Cruz, California) for 2 hr at 4°C, followed by incubation with protein G-Sepharose (Sigma  
10 Chemical Company, St. Louis, Missouri) for 1 hr. Immunoprecipitates were washed four times with lysis buffer. The immune complexes were eluted by boiling for 3 min in SDS sample buffer and analyzed by SDS-PAGE. Proteins were electrotransferred to polyvinylidene fluoride membranes (Millipore Corporation, Bedford, Massachusetts) and immunoblotted with either anti-Flag  
15 antibody or anti-HA antibody followed by the detection using an enhanced chemiluminescence system. Expression of different proteins was monitored by immunoblotting after SDS-PAGE and electrotransfer of proteins in total cell lysates.

In vivo phosphorylation. COS-1 cells were cotransfected with  
20 expression plasmids as indicated. After 40 hr, cells were washed and preincubated with phosphate-free media containing 0.2% FBS. The cells were then incubated with media containing 1 mCi/ml <sup>32</sup>P-orthophosphate for 2 hr at 37°C. The cells were washed and solubilized in lysis buffer. <sup>32</sup>P-labeled proteins were immunoprecipitated with either anti-Flag antibody or anti-HA  
25 antibody and the immunoprecipitates were washed six times with lysis buffer containing 1% Nonidet P-40 and 0.1% SDS (wash buffer). Phosphorylated proteins in the immunoprecipitates were detected by SDS-PAGE and autoradiography. For double immunoprecipitation of phosphorylated STRAP, the immune complexes from the first immunoprecipitations were eluted by  
30 boiling for 3 min in SDS sample buffer and the eluants were diluted 20 fold by lysis buffer for the second immunoprecipitation. The immunoprecipitates were washed thoroughly with wash buffer containing 0.1% sodium deoxycholate and

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then analyzed by SDS-PAGE. Quantitation of STRAP phosphorylation was performed using IMAGEQUANT® software (Molecular Dynamics, Inc., Sunnyvale, California).

In vitro kinase assays. Full length STRAP, Smad2, and the cytoplasmic domain of T $\beta$ R-I and T $\beta$ R-I(TD) fused to GST were overexpressed in *E. coli* by induction with 0.4 mM IPTG for 6 hr. After sonication, the GST fusion proteins were collected on glutathione-agarose beads (Sigma Chemical Company, St. Louis, Missouri), washed extensively, eluted in buffer containing 20 mM reduced glutathione and dialysed. GST-STRAP was cleaved by thrombin (Pharmacia Biosystems Aktiebolaget, Uppsala, Sweden) according to manufacturer's instructions. 2  $\mu$ g of either GST, GST-STRAP, GST-Smad2, or STRAP (as substrate) was incubated with 0.5  $\mu$ g of GST-T $\beta$ R-I fusion proteins (as kinase) in 30  $\mu$ l of kinase buffer (50 mM Tris, pH 7.5, 100 mM NaCl, 10 mM MgCl<sub>2</sub>, 1mM dithiothreitol, 0.05% Triton-X 100, and 10 nM Microcystin) containing 2  $\mu$ M ATP and 15  $\mu$ Ci [ $\gamma$ -<sup>32</sup>P]ATP at room temperature for 30 min. Reactions were stopped by addition of SDS sample buffer and protein phosphorylation was analyzed by SDS-PAGE and autoradiography. For phosphorylation by immunoprecipitated kinases, COS-1 cells were transfected with the receptor constructs. Overexpressed receptors immunoprecipitated from cell lysates were washed three times with lysis buffer followed by two washes in kinase buffer. These immune complexes were used for kinase assay with GST or GST-STRAP as substrate.

Transcriptional response assays. Mv1Lu or HepG2 cells were transiently transfected with the indicated constructs and pCMV- $\beta$ gal. Twenty hr after transfection, cells were incubated in appropriate media containing 0.2% FBS with or without 100 pM TGF- $\beta$ 1 for 20 hr. Luciferase activity and  $\beta$ -galactosidase activity were measured in an Analytical Luminescence Laboratory (Ann Arbor, Michigan) Monolight 2010 Luminometer. Luciferase activity was normalized to  $\beta$ -galactosidase activity for transfection efficiency.

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### Results

STRAP synergizes with Smad7, not with Smad6, in inhibiting TGF- $\beta$ -induced transcription. The induction of extracellular matrix protein genes is one

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of the best characterized responses to TGF- $\beta$  (Keeton, M. R., et al. (1991) *J. Biol. Chem.* 266:23048-23052). This response can be used to evaluate the involvement of a gene in TGF- $\beta$  signal transduction using transient transfection assays. Transcription of a luciferase reporter containing a PAI-I promoter fragment is frequently used to measure the induction of extracellular matrix protein synthesis in response to TGF- $\beta$  (Keeton, M. R., et al. (1991) *J. Biol. Chem.* 266:23048-23052).

The role of STRAP in Smad7-mediated inhibition of transcriptional responses was evaluated in Mv1Lu and HepG2 cells, which are highly TGF- $\beta$  responsive. Initially, the analyses focused on a TGF- $\beta$ -responsive reporter, p3TP-Lux (Wieser, R., et al., *EMBO J.* 14:2199-2208), which contains elements from the PAI-1 promoter and drives expression of a luciferase reporter gene. Transient transfection of p3TP-Lux into Mv1Lu cells resulted in low basal levels of transcription which was strongly induced in response to TGF- $\beta$  signaling. Overexpression of STRAP suppressed the TGF- $\beta$ -induced increase in luciferase activity moderately in a dose-dependent manner. Smad7 showed appreciable inhibition of TGF- $\beta$ -induced transcription as expected (Hayashi, H., et al. (1997) *Cell* 89:1165-1173; Souchelnytskyi, S. et al. (1998) *J. Biol. Chem.* 273:25364-25370). Coexpression of Smad7 and STRAP synergistically inhibited the p3TP promoter activity in response to TGF- $\beta$  (Fig. 5A). In contrast, only a slight inhibition was observed in the absence of TGF- $\beta$  signaling.

To examine whether this synergy in inhibiting TGF- $\beta$  signals was specific, a mutant of Smad7, Smad7- $\Delta$ 408, was employed. This mutant can not bind the receptor complex and has little effect in blocking TGF- $\beta$  signals (Hayashi, H., et al. (1997) *Cell* 89:1165-1173; Souchelnytskyi, S. et al. (1998) *J. Biol. Chem.* 273:25364-25370). Consistent with these observations, Smad7- $\Delta$ 408 had little effect on the p3TP promoter activity, either in the absence or presence of STRAP in response to TGF- $\beta$ . Importantly, STRAP did not show any synergy with Smad6, an antagonist of BMP signaling (Fig. 5A). A STRAP mutant, STRAP(1-294), was also constructed by deleting C-terminal 57 amino acids and keeping all WD40 domains intact. This mutant was not

phosphorylated *in vivo*, whereas STRAP was phosphorylated through its C-terminus mediated by TGF- $\beta$  receptors. STRAP(1-294) showed the same inhibitory effect as wild-type STRAP, either in absence or presence of Smad7 (Fig. 5B), suggesting that phosphorylation of STRAP is dispensable for this transcriptional response.

To further examine the synergistic inhibition by STRAP and Smad7, another TGF- $\beta$ -responsive reporter (CAGA)<sub>9</sub> MLP-Luc, which contains multiple copies of Smad3/Smad4 binding CAGA box element upstream of a minimal adenovirus major late promoter (Dennler, S., et al. (1998) *EMBO J.* 17:3091-3100), was used. This reporter was induced by 150-fold in response to TGF- $\beta$  signaling. STRAP alone had little effect on (CAGA)<sub>9</sub> MLP promoter activity (Fig. 6A). Smad7 alone showed 57 fold repression of the promoter activity, but in the presence of STRAP it showed a maximum of 105 fold repression in the presence of TGF- $\beta$  signaling in a dose-dependent manner (Fig. 6A). However, in cells expressing STRAP and Smad7- $\Delta$ 408, there was no synergy in the inhibition of TGF- $\beta$ -mediated transcriptional activation of the promoter activity. The phosphorylation incompetent mutant of STRAP showed synergy with Smad7 similar to wild type STRAP. These data suggest that STRAP synergistically inhibits TGF- $\beta$  signaling with Smad7, but not with the Smad7 mutant or Smad6.

To determine whether this inhibitory effect of STRAP in cooperation with Smad7 was direct in TGF- $\beta$  signaling, another reporter, pAR3-lux (Hayashi, H., et al. (1997) *Cell* 89:1165-1173) that contains three copies of the activin response element from the *Xenopus* Mix.2 promoter (Chen, X., et al. (1996) *Nature* 383:691-696), was used. This construct had minimal basal activity in HepG2 cells due to the lack of endogenous FAST-like activity (Henis, Y. I., et al. (1994) *J. Cell Biol.* 126:139-154; Liu, F., et al. (1997) *Genes Dev.* 11:3157-3167). Since activin and TGF- $\beta$  activate common downstream signaling pathways to regulate common biological processes (Cañrcamo, J., et al. (1994) *Mol. Cell Biol.* 14:3810-3821; Kretzschmar, M., and J. Massague (1998) *Curr. Opin. Genet. Dev.* 8:103-111), this reporter was utilized to investigate STRAP-mediated synergy with Smad7 in blocking immediate early responses to TGF- $\beta$ .

pAR3-lux was activated approximately 32-fold by FAST2 (Labbeç, E., et al. (1998) *Mol. Cell* 2:109-120) when transfected HepG2 cells were treated with 100 pM TGF- $\beta$ . Although STRAP alone had little effect on the promoter activity, it suppressed the TGF- $\beta$ -dependent activation strongly in concert with Smad7 (Fig. 6B). However, co-expression of STRAP and Smad7- $\Delta$ 408 did not show any synergy in the inhibition of pAR3-lux transactivation in response to TGF- $\beta$ . Both amino and carboxy terminal tags were used in Smad7. These tagged versions of Smad7 were same as the untagged protein in blocking TGF- $\beta$ -dependent signaling (Hayashi, H., et al. (1997) *Cell* 89:1165-1173). Similarly, tagged and untagged versions of STRAP are indistinguishable in inhibitory function.

To investigate whether STRAP has a similar effect on a natural promoter, transient transfection assays were performed with a reporter plasmid (pGLuc 834)(Chen, Y-Q, et al. (1998) *J. Biol. Chem.* 273:8225-8231) containing the luciferase gene under the control of the TGF- $\beta$ -inducible PAI-1 gene promoter. This reporter was strongly induced in HepG2 cells in response to TGF- $\beta$  signaling initiated either by treatment of the cells with 100 pM TGF- $\beta$  (Fig. 6C) or by co-expression with a constitutively active version of TGF- $\beta$  type I receptor, T $\beta$ R-I(TD) (Fig. 6D). A weak suppression of TGF- $\beta$  dependent induction of the PAI-1 promoter by STRAP was observed. STRAP showed a synergy in the inhibition of PAI-1 promoter with wild-type Smad7, but not with the mutant, Smad7- $\Delta$ 408 (Fig. 6C). Taken together, these results show a functional synergy between STRAP and Smad7 in the negative regulation of transcription mediated by TGF- $\beta$ , and a mutant of Smad7 that fails to associate with the receptor does not synergize with STRAP.

STRAP interacts with Smad6 and Smad7. Smad6 and Smad7 are known to be intracellular antagonists of signaling by TGF- $\beta$  family members. To explore the mechanism by which STRAP is exhibiting the synergistic inhibition of TGF- $\beta$  signaling with Smad7, whether STRAP could interact with the inhibitory Smads was tested using co-immunoprecipitation and immunoblot analyses. STRAP-HA was transiently transfected into COS-1 cells alone or in combination with Flag-tagged Smads. STRAP was detected specifically in the

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immune complex of either Smad7 or Smad6 (Fig. 7A, first panel, lanes 3 and 4). In a reciprocal experiment, it was observed that Smad7 or Smad6 coimmunoprecipitated with STRAP (Fig. 7A, second panel) demonstrating the association of STRAP with Smad7 or Smad6. any physical association of STRAP with Smad1(AAVA) was not detected. (lane 6). Under similar conditions, STRAP can bind with Smad2 and Smad3, but not with Smad4.

STRAP showed functional synergy with Smad7 and not with the mutant of Smad7, Smad7- $\Delta$ 408 in the inhibition of TGF- $\beta$ -dependent transcription (Fig. 5A, 6A, 6B, 6C). To examine whether this truncation in Smad7 has any effect in the association with STRAP, HA-tagged Smad7- $\Delta$ 408 was co-expressed with Flag-tagged STRAP in COS-1 cells. Cell lysates were then subjected to immunoprecipitation with an anti-Flag antibody and the immunoprecipitates were analyzed by immunoblotting with an anti-HA antibody (Fig. 7B, first panel) and vice versa (second panel). A very low level of interaction between STRAP and Smad7- $\Delta$ 408 could be detected. This supports the specificity of the interaction between STRAP and Smad7.

On the other hand, Smad7 was detected in the immune complex of STRAP(1-294) and this was co-immunoprecipitated with Smad7 (Fig. 7B, lane 5), indicating that the association of STRAP with Smad7 was not affected by deleting the C-terminal 57 amino acids from STRAP. These findings were consistent with the observation that STRAP does not synergize with Smad7- $\Delta$ 408 and STRAP(1-294) behaves like wild type STRAP in the transcriptional responses. Both Flag- and HA-tagged STRAP and Smad7 were used in the co-immunoprecipitation experiments, demonstrating that the association was independent of the epitope tag employed and the amino or carboxy terminal tags did not alter the association of the proteins. Together, these data indicate that STRAP interacts with Smad6 and Smad7, but not with the mutant of Smad7, and C-terminal deletion of STRAP does not affect its association with Smad7.

Thus, the interaction of STRAP with Smad6 and Smad7 in mammalian cells is demonstrated. COS-1 cells were transfected with HA-tagged STRAP either alone or together with the indicated Flag-tagged Smad constructs,



including Smad1(AAVA), Smad6, and Smad7. Cell lysates were subjected to an anti-Flag immunoprecipitation (IP), and coprecipitating STRAP was detected by immunoblotting (Blot) with anti-HA antibodies. Total lysates were immunoprecipitated using anti-HA antibodies and then immunoblotted with anti-Flag antibodies. To confirm expression of Smads, aliquots of total cell lysates were immunoblotted with anti-Flag antibodies.

STRAP(1-294) interacts with Smad7, and Smad7- $\Delta$ 408 does not interact with STRAP. COS-1 cells were transiently transfected with the indicated combinations of Flag-tagged STRAP constructs and HA-tagged Smad7 constructs. Cell lysates were immunoprecipitated with anti-Flag antibody and the immunoprecipitates were analyzed by anti-HA antibody immunoblotting. Cell lysates were then subjected to immunoprecipitation with anti-HA antibody and the precipitates were analyzed by anti-Flag antibody. Expression of the proteins was confirmed by the direct immunoblotting of the total cell lysates.

Homo-oligomerization of STRAP. Several components of the TGF- $\beta$  signaling cascade, including receptors and Smad proteins, are known to homo- and hetero-oligomerize (Chen, R.-H. and R. Derynck (1994) *J. Biol. Chem.* 269:22868-22874; Henis, Y. I., et al. (1994) *J. Cell Biol.* 126:139-154; Kawabata, M. et al. (1998) *EMBO J.* 17:4056-4065; Lagna, G. et al. (1996) *Nature* 383:832-836; Yamashita, H., et al. (1994) *J. Biol. Chem.* 269:20172-20178). WD40 repeat proteins homo- and hetero-oligomerize presumably to stabilize their structure and to serve regulatory functions in various cellular processes (Neer, E. J., et al. (1994) *Nature* 371:297-300). As described above, STRAP has six WD40 domains.

To determine whether it can form homo-oligomers, COS-1 cells were co-transfected with two different STRAP constructs, one tagged with Flag epitope and the other tagged with HA epitope. Cell lysates were subjected to immunoprecipitation with antibodies to Flag, and each immunoprecipitate was then probed with antibodies to HA (Fig. 7C, lanes, 1-4). Reciprocal experiments in which proteins immunoprecipitated by antibodies to HA were blotted with an anti-Flag antibody (Fig. 7C, lanes 5, 6) confirmed the association of STRAP with itself in a ligand-independent manner. As described

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in Examples 1-3 above, STRAP was detected in the immunocomplex of T $\beta$ R-II under similar conditions (lane 4). This illustrates that different epitope tags do not affect the homo-oligomerization and the overall tertiary structure of STRAP.

The homo-oligomerization of STRAP has thus been demonstrated. Cells were transfected with STRAP-HA alone or together with STRAP-Flag or T $\beta$ R-II-Flag (serves as positive control). Cell lysates were subjected to immunoprecipitation with a Flag antibody and co-precipitated proteins were detected by immunoblotting with HA antibody. Reciprocal experiments were also performed.

STRAP stabilizes the complex between Smad7 and activated type I TGF- $\beta$  receptor. Smad7 blocks TGF- $\beta$  signaling by preventing heteromeric complex formation between Smad2 or Smad3 and Smad4 and nuclear accumulation of Smad2 or Smad3 in response to TGF- $\beta$  signaling (Hayashi, H., et al. (1997) *Cell* 89:1165-1173; Nakao, A., et al. (1997) *Nature* 389:631-635). Smad7 is also known to block BMP signaling by inhibiting the phosphorylation of Smad1 and Smad5 (Souchelnytskyi, S., et al. (1998) *J. Biol. Chem.* 273:25364-25370). Smad6 has been shown to inhibit BMP signaling by distinct mechanism (Hata, A. et al. (1998) *Genes Dev.* 12:186-197). It prevents formation of an active Smad4/Smad1 signaling complex by directly competing with Smad4 for binding to Smad1. The mechanism of inhibition is not well known, although it seems to be primarily mediated through the ability of Smad6 and Smad7 to interact with the type I receptor. Smad7 functions by associating stably with the activated type I receptor to block the interaction, phosphorylation and subsequent activation of Smad2 and Smad3 (Hayashi, H., et al. (1997) *Cell* 89:1165-1173; Nakao, A., et al. (1997) *Nature* 389:631-635). Therefore, stable association of Smad7 with the type I receptor plays a role in blocking TGF- $\beta$  family signaling.

To explore the mechanism of STRAP function in the synergistic inhibition of TGF- $\beta$  signaling, whether STRAP could stabilize the complex between Smad7 and activated T $\beta$ R-I was evaluated. COS-1 cells were transiently transfected with Flag-Smad7, T $\beta$ R-I(TD)-HA and increasing amounts of STRAP as described above. Cell lysates were subjected to

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immunoprecipitation with antibodies to Flag followed by immunoblotting with anti-HA antibodies. In cells expressing Smad7 and T $\beta$ R-I(TD), association between these two proteins was detected, similar to previous observations (Fig. 8A, lane 3) (Hayashi, H., et al. (1997) *Cell* 89:1165-1173). Interestingly, Smad7/T $\beta$ R-I heteromeric complex formation was increased strongly with increasing amounts of STRAP in a dose-dependent manner in the presence of TGF- $\beta$  signals (lanes 4-7). A pronounced stimulation of Smad7/T $\beta$ R-I(TD) interaction was observed when the STRAP-Smad7 concentration ratio was less than one or one (lanes 4, 5). Similarly, Smad7 increases the interaction between STRAP and T $\beta$ R-I(TD). Together, these data demonstrate that STRAP associates stably with Smad7 and it stabilizes complexes between Smad7 and T $\beta$ R-I in the presence of TGF- $\beta$  signaling.

Thus, an autoradiograph of a protein gel was prepared (Fig. 8A), and it depicted that STRAP stabilizes the association between Smad7 and activated T $\beta$ R-I and that STRAP stabilizes Smad7-T $\beta$ R-I(TD) complexes. COS-1 cells were transiently transfected with the following plasmids as indicated: Flag-Smad7 (0.4  $\mu$ g), T $\beta$ R-I(TD)-HA (0.6  $\mu$ g), and increasing amounts of STRAP (0.2, 0.4, 1, and 2  $\mu$ g). Cell lysates were subjected to immunoprecipitation with anti-Flag antibody and the presence of T $\beta$ R-I(TD) in the immunoprecipitates was detected by immunoblotting with anti-HA antibody. To confirm equivalent expression of Smad7 and T $\beta$ R-I(TD), aliquots of total cell lysates were immunoblotted with anti-Flag antibody and anti-HA antibody.

STRAP forms a ternary complex with Smad7 and T $\beta$ R-I(TD). The data presented above shows that STRAP binds to Smad7 as well as the interaction between STRAP and the receptor complex. To determine whether these components were present in the same inhibitory complex, COS-1 cells were co-transfected with Flag tagged STRAP, HA tagged T $\beta$ R-I(TD) and Myc tagged Smad7. Cell lysates were subjected to immunoprecipitation with anti-Flag antibody. Immune complexes were then eluted with Flag peptide and the eluate was used in the second immunoprecipitation with anti-Myc antibody. Finally, the immunoprecipitate was analyzed by immunoblotting with anti-HA antibody. According to Fig. 8B, a ternary complex was detected when cells

were co-transfected with all three constructs , but not when any one construct was omitted. Thus, both STRAP and Smad7 can coexist in the same receptor containing complex. Taken together, these results suggest that STRAP functions to recruit Smad7 to the activated receptor forming a ternary complex and to stabilize Smad7-receptor complex, thus assisting Smad7 to prevent Smad2/Smad3 access to the receptor.

Thus, an autoradiograph of a protein gel was prepared (Fig. 8B), and it depicted that STRAP is present in an inhibitory complex with Smad7 and T $\beta$ R-I(TD). Cells were transfected with indicated combinations STRAP-Flag, Myc-Smad7, and T $\beta$ R-I(TD)-HA . Cell lysates were immunoprecipitated with anti-Flag antibody, proteins were eluted with Flag peptide, and the eluate re-precipitated by anti-Myc antibody followed by anti-HA antibody immunoblotting. Expression of the proteins was monitored by immunoblotting.

Phosphorylation of STRAP *in vivo* requires its C-terminus. For downstream signaling from receptor kinases to culminate in transcriptional regulation of target genes, the phosphorylation of some signaling components is often essential. To test whether STRAP is a substrate for the serine/threonine kinase receptors, the phosphorylation of STRAP *in vivo* was analyzed in COS-1 cells where it was coexpressed with different combinations of TGF- $\beta$  receptors. Metabolic labeling of transfected cells with  $^{32}$ P-orthophosphate followed by immunoprecipitation of STRAP with anti-Flag antibody indicated a low basal level of STRAP phosphorylation in COS-1 cells without exogenous receptor expression (Fig. 4A, lane 1). An increase in STRAP phosphorylation was detected in cells expressing T $\beta$ R-I (lane 2). This increase was dependent on T $\beta$ R-I kinase activity because a point mutation (K232R), that abolishes T $\beta$ R-I kinase activity, prevented the increase in STRAP phosphorylation (lane 3).

Co-expression of STRAP with T $\beta$ R-II resulted in a significant increase in STRAP phosphorylation (lane 4), but a kinase inactive mutant (K277R) was unable to induce the phosphorylation of STRAP (lane 5). It is possible that type I receptor was mediating the enhancement of STRAP phosphorylation *in vivo* and in lane 4 the over-expressed type II receptor was increasing STRAP

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phosphorylation through low levels of endogenous type I receptor (Hayashi, H., et al. (1997) *Cell* 89:1165-1173; Labbeç, E., et al. (1998) *Mol. Cell* 2:109-120). A further increase in the phosphorylation of STRAP was observed in cells expressing both T $\beta$ R-I and T $\beta$ R-II (lane 6) and the kinase activity of both was required for this increase (lane 7). Deletion of the C-terminal 57 amino acids of STRAP abolished both its basal and receptor-induced phosphorylation (lanes 8, 9), indicating that the C-terminus of STRAP is required for its phosphorylation. Double immunoprecipitation from <sup>32</sup>P-orthophosphate labeled cells with anti-Flag antibody confirmed the identity of phosphorylated STRAP as the 40 kD band (Fig. 4B). At least two other phosphoproteins were detected in the STRAP immunoprecipitates, and not in the STRAP(1-294) immunoprecipitates.

A low level of phosphorylation of STRAP was also observed in R1B/L17 mink lung epithelial cells deficient in T $\beta$ R-I. STRAP phosphorylation in these cells was stimulated when T $\beta$ R-I was coexpressed with STRAP. Finally, whether STRAP phosphorylation could be regulated by TGF- $\beta$  was evaluated. Only marginal increase in STRAP phosphorylation in transfected Mv1Lu cells was observed when cells were stimulated by TGF- $\beta$ . These data suggest that the increase in STRAP phosphorylation *in vivo* can be mediated by either TGF- $\beta$  receptors, a receptor associated kinase or a STRAP associated kinase that is activated by TGF- $\beta$  receptors in a multimeric complex.

Influence of Smad7 in the phosphorylation of STRAP. Since Smad7 can associate with active TGF- $\beta$  receptor complexes and inhibit the phosphorylation of Smad2/Smad3 (Hayashi, H., et al. (1997) *Cell* 89:1165-1173; Nakao, A., et al. (1997) *Nature* 389:631-635), it is possible that Smad7 can also regulate the phosphorylation of STRAP when they are in a complex. This assertion was tested via co-expression of STRAP and Smad7 with or without T $\beta$ R-I and T $\beta$ R-II and analyzed the *in vivo* <sup>32</sup>P-phosphorylation level of STRAP. Kinase activities of the receptors are necessary for the stimulation of STRAP phosphorylation (Fig. 9A, lanes 2, 3) as shown above. In the presence of Smad7, little increase in STRAP phosphorylation was observed (lane 4), but in concert with the TGF- $\beta$  receptors, Smad7 strongly stimulated the

phosphorylation of STRAP (lane 5). This is consistent with the observation that Smad7 stabilizes the STRAP-receptor interaction. Thus, Smad7 potentially stimulates the phosphorylation of STRAP mediated by TGF- $\beta$  receptors.

STRAP expression does not affect receptor phosphorylation. STRAP  
5 binds with the receptor complex as shown in Examples 1-3 above and its phosphorylation is regulated by receptors. Whether STRAP might alter the phosphorylation state of the receptors in the presence of TGF- $\beta$  signaling was investigate via co-expression of T $\beta$ R-I and T $\beta$ R-II with or without STRAP in  
10 COS-1 cells. Cells were metabolically labeled with  $^{32}$ P-orthophosphate and stimulated by TGF- $\beta$ . Phosphorylation of receptors was assessed after immunoprecipitation. The level of phosphorylation of T $\beta$ R-I or T $\beta$ R-II, however, was not detectably altered with STRAP expression (Fig. 9B), although the expression level of two receptors was different. Therefore, association of STRAP with the receptors does not affect overall receptor phosphorylation.

15 An autoradiograph of a protein gel depicting that Smad7 induces receptor-dependent phosphorylation of STRAP was thus prepared (Fig. 9A). Cells were transfected with the indicated combinations of STRAP, Smad7, and wild type (Wt) and kinase-negative (KR) versions of receptors. After labeling with  $^{32}$ P-orthophosphate, STRAP-Flag was immunoprecipitated and detected  
20 by SDS-PAGE and autoradiography. Expression of STRAP was monitored by immunoblotting total cell lysates.

An autoradiograph of a protein gel depicting that over-expression of STRAP does not change receptor phosphorylation was also prepared (Fig. 9B). Cells were transfected with HA-tagged receptors and STRAP as indicated,  
25 metabolically labeled with  $^{32}$ P-orthophosphate, and stimulated by TGF- $\beta$  for 20 min. Equal amounts of lysates were immunoprecipitated with anti-HA antibody and receptors were detected by SDS-PAGE followed by autoradiography.

TGF- $\beta$  receptors cannot phosphorylate STRAP directly *in vitro*. STRAP phosphorylation mediated by the receptors *in vivo* could be due to other  
30 kinases and not due to the receptors directly. Therefore, whether STRAP is a substrate for the kinase activity of TGF- $\beta$  receptors was evaluated via expression of full length STRAP, Smad2, and cytoplasmic domains of T $\beta$ R-I(wt)

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and T $\beta$ R-I(TD) as glutathione-S-transferase (GST) fusion proteins in bacteria. These products were purified to near-homogeneity (Fig. 10A, lane 1-5). The STRAP portion was cleaved from the GST-STRAP fusion protein by thrombin (Fig. 10A, lane 6). These receptor kinases were incubated with either GST, GST-STRAP, GST-Smad2, or STRAP in a kinase assay. As shown in Fig. 10B, both GST-T $\beta$ R-I and GST-T $\beta$ R-I(TD) were auto-phosphorylated but there is no phosphorylated band of either GST or GST-STRAP. The constitutively active mutant of T $\beta$ R-I, T $\beta$ R-I(TD) showed elevated level of kinase activity as expected (Wieser, R. et al. (1995) *EMBO J.* 14:2199-2208).

The GST portion of recombinant STRAP should not block its phosphorylation by the receptor because STRAP is phosphorylated on its C-terminus *in vivo*. Moreover, STRAP, thrombin cleaved product of GST-STRAP, was not phosphorylated by the receptors in this kinase assay (Fig. 10B, lanes 8, 9). Under similar conditions GST-Smad2 was phosphorylated by the receptors (lanes 6, 7). The fast migrating band was a degradation product of T $\beta$ R-I as shown previously (Kawabata, M. et al. (1995) *J. Biol. Chem.* 270:29628-29631).

It is possible that bacterially expressed receptor kinases were not properly modified post-translationally or the GST portion of the receptor kinases might hinder this phosphorylation. Therefore, T $\beta$ R-I and T $\beta$ R-II were over-expressed in COS-1 cells either separately or together. The receptors, individually (Fig. 10C, lanes 1-4) or in complex (lanes 5, 6), were immunoprecipitated and were subjected to kinase assay with either GST or GST-STRAP as substrate. T $\beta$ R-I and T $\beta$ R-II showed auto-phosphorylation, but we did not see any indication of STRAP phosphorylation. Together, these data suggest that STRAP is not a direct substrate of the receptors, and that TGF- $\beta$  receptors induce STRAP phosphorylation *in vivo* via a kinase.

Thus, an autoradiograph of a protein gel was prepared (Fig. 10A), and it depicted that STRAP is not a direct substrate of the TGF- $\beta$  receptors *in vitro*. GST fusions of STRAP, T $\beta$ R-I(wt), T $\beta$ R-I(TD), and Smad2 were overexpressed in bacteria, purified, resolved by SDS-PAGE, and visualized by Coomassie

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Blue staining. The STRAP portion was cleaved from the GST-STRAP fusion protein by thrombin and was visualized in lane.

5 An autoradiograph of a protein gel that included equivalent amounts of GST, GST-STRAP, GST-Smad2 (serves as positive control), or STRAP (as substrate) incubated with either GST-T $\beta$ R-I(wt) or GST-T $\beta$ R-I(TD) in a kinase assay was also prepared (Fig. 10B). The fast migrating band is the degradate of the autophosphorylated receptor. There was no phosphorylated band in the arrow-head position indicating that STRAP (cleaved from GST-STRAP) was not phosphorylated by the receptors in in vitro kinase assay.

10 An autoradiograph of a protein gel depicting similar kinase reactions using full length, tagged TGF- $\beta$  receptors, immunoprecipitated from overexpressing COS-1 cells, as kinase and GST or GST-STRAP as substrate, was also prepared (Fig. 10C). For immunoprecipitation, anti-HA antibody was used for HA-tagged receptors and anti-T $\beta$ R-II antibody (C16, Santa Cruz  
15 Biotechnology, Inc., Santa Cruz, California) was used for hexahistidine-tagged type II receptor. The autophosphorylated receptor bands are indicated.

### Discussion

TGF- $\beta$  family members initiate their cellular actions by binding to a heteromeric complex of type I and type II serine/threonine kinase receptors.  
20 The multi-functional nature of this family of ligands clearly implicates the need for tight control of their biological activity by positive and negative regulation of signaling (Engel, M. E., et al. (1998) *J. Cell. Biochem.* 30/31:111-122; Heldin, C.-H. et al. (1997) *Nature* 390:465-471; Kretzschmar, M., and J. Massague $\phi$  (1998) *Curr. Opin. Genet. Dev.* 8:103-111). Smad proteins play a key role in  
25 mediating TGF- $\beta$  signals at the intracellular level. R-Smads are activated by specific activated type I receptors and form heteromeric complex with the common mediator Smad4. A distinct subfamily of Smads, which function to directly inhibit TGF- $\beta$  family signaling by preventing formation of an active signal-transducing Smad complex, has been identified. Smad7 has been  
30 shown to inhibit signaling from TGF- $\beta$ , activin and BMP by blocking the receptor-mediated activation of R-Smads (Hayashi, H., et al. (1997) *Cell* 89:1165-1173; Nakao, A., et al. (1997) *Nature* 389:631-635, 47). Therefore,



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a stable association between the receptor and Smad7 plays a role in the function as an inhibitor.

5 A distinct mechanism of action for Smad6 in blocking BMP signals has recently been reported in which Smad6 competes with Smad4 for binding to Smad1 and forms an inactive Smad6-Smad1 complex (Hata, A., et al. (1998) *Genes Dev.* 12:186-197). In Examples 1-3, it is shown that the novel WD40 repeat protein, STRAP, of the present invention associates with both T $\beta$ R-I and T $\beta$ R-II and has a role in TGF- $\beta$  signaling. In Example 4, the molecular mechanism by which STRAP inhibits the transcriptional responses mediated by TGF- $\beta$  is further characterized. A synergistic relationship between STRAP and Smad7, but not Smad6, in the inhibition of TGF- $\beta$ -dependent transcription is demonstrated. A mutant of Smad7, Smad7- $\Delta$ 408 that fails to associate with type I receptor, does not inhibit TGF- $\beta$  signaling (Hayashi, H., et al. (1997) *Cell* 89:1165-1173). STRAP does not show any cooperation with this mutant of Smad7 demonstrating the specificity in the synergy between Smad7 and STRAP in the inhibition of TGF- $\beta$  signaling. STRAP forms a ternary complex with Smad7 and the type I receptor in response to TGF- $\beta$  signaling, and the association between Smad7 and activated type I receptor is stabilized by STRAP.

20 Functional synergy between STRAP and Smad7 is observed in Example 4. Smad7 has previously been shown to inhibit signal transduction downstream of TGF- $\beta$ , activin and BMP receptors (Hayashi, H., et al. (1997) *Cell* 89:1165-1173; Soucheinytskyi, S., et al. (1998) *J. Biol. Chem.* 273:25364-25370). Overexpression of STRAP alone has little effect on the repression of TGF- $\beta$ -induced p3TP-Lux, (CAGA)<sub>9</sub> MLP-Luc, pAR3-Lux, and pGLuc 884 reporter activities. Co-expression of STRAP and Smad7 showed a synergistic relationship in the inhibition of these reporter activities in the presence of TGF- $\beta$  signaling. The fold repression by STRAP and Smad7 acting together is greater than the sum or product of the fold-repressions caused by each protein alone. 25 Importantly, STRAP did not show any synergistic cooperation with a deletion mutant of Smad7, Smad7- $\Delta$ 408, which has previously been shown not to inhibit TGF- $\beta$  signaling (Hayashi, H., et al. (1997) *Cell* 89:1165-1173). In contrast, 30

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STRAP was inactive in the inhibitory cooperation with Smad6, an antagonist of BMP signaling. This is consistent with both a distinct mechanism of inhibition for Smad6 and its primary role in regulating BMP signals (Hata, A., et al. (1998) *Genes Dev.* 12:186-197; Ishisak, A., et al. 1999 *J. Biol. Chem.* 274:13637-13642). While it is not applicants' desire to be bound by a particular mechanism of operation, these results suggest that the synergistic relationship between STRAP and Smad7 is specific and that STRAP can also inhibit BMP signaling in cooperation with Smad7.

WD40 repeat proteins appear to serve regulatory functions in various cellular processes including cell division, gene transcription, cell-fate determination, signal transduction, mRNA modification and vesicle fusion. These proteins are sometimes stabilized by forming intramolecular dimers or tetramers and some WD40 repeat proteins require all repeats for their stability (Neer, E. J., et al. (1994) *Nature* 371:297-300). STRAP can homo-oligomerize, as assessed by co-immunoprecipitation analyses presented above. Several mutants of STRAP were constructed by deleting one or two WD repeats with or without intervening regions from both N-terminus and C-terminus. Two of them did not express the proteins, three mutants showed ten to fifteen fold less expression when compared with the wild type protein, and the C-terminal mutant, STRAP(1-294) having all the WD40 repeats intact, showed comparable expression of the protein. These results suggest that all WD40 repeats of STRAP might participate in pairwise interactions within the molecule for its stability. This is consistent with the structure and stability of WD40 repeat proteins (Neer, E. J., et al. (1994) *Nature* 371:297-300). STRAP(1-294) exhibited synergistic inhibition of the promoter activities in response to TGF- $\beta$  signaling, thus resembling the effect of full-length STRAP protein. While it is not applicants' desire to be bound by a particular mechanism of operation, these findings suggest that the functional cooperation between STRAP and Smad7 plays a role in controlling the activity of TGF- $\beta$ .

STRAP stabilizes the complex between Smad7 and type I receptor. Example 4 demonstrates that STRAP synergizes with Smad7 and not with Smad6 or a mutant of Smad7. Previous studies have shown that Smad7

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functions as an inhibitor at a very early step in the TGF- $\beta$  signaling by associating stably with activated type I receptor to block the interaction and subsequent activation of Smad2 and Smad3 (Hayashi, H., et al. (1997) *Cell* 89:1165-1173, Nakao, A., et al. (1997) *Nature* 389:631-635). While it is not applicants' desire to be bound by a particular mechanism of operation, contemplated mechanisms by which such synergy between STRAP and Smad7 is achieved include binding with Smad7 and recruiting it to the receptor to form an inhibitory complex, stabilizing the association of Smad7 with the receptor, or STRAP acting synergistically Smad7 without physical interactions, or combinations of the foregoing mechanisms.

Many WD40 repeat proteins form multi-protein complexes, sometimes interacting with other proteins through the WD40 repeat region. Such proteins present a changeable surface for protein-protein interaction and are capable of protein-induced conformational changes. Interaction of WD40 repeat proteins with partner proteins have been shown to require residues that are distributed along the length of the protein but come close together in the folded protein, as described previously for Tup-1 and G $\beta$  subunit (Komachi, K., and A. D. Johnson (1997) *Mol. Cell Biol.* 17:6023-6028; Lambright, D. G., et al (1996) *Nature* 379:311-319).

In Example 4 it was observed that STRAP associates with Smad7 and not with the mutant of Smad7, Smad7- $\Delta$ 408, which is consistent with the functional cooperation of STRAP with Smad7, and not with the mutant in transcriptional repression. This is expected because this mutation in Smad7 interferes with receptor binding and disrupts its inhibitory activity. STRAP(1-294) can also associate with Smad7 and shows synergistic inhibition. Other regions of Smad7 including the C-terminus or the overall three-dimensional structure of this protein are also contemplated for binding with STRAP. In contrast, STRAP also binds with Smad6, but shows no cooperation with Smad6 in transcriptional repression. While it is not applicants' desire to be bound by a particular mechanism of operation, these observations suggest that direct protein-protein interaction is an aspect of the observed functional cooperation between STRAP and Smad7.

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STRAP forms a ternary complex with Smad7 and type I receptor in presence of TGF- $\beta$  signaling, suggesting that binding of Smad7 to the receptor occurs cooperatively with STRAP. In the absence of ligand, Smad7 is found to be predominantly localized in the nucleus and accumulates in cytoplasm upon TGF- $\beta$  receptor activation (Itoh, S., et al. (1998) *J. Biol. Chem.* 273:29195-29201). Thus, while it is not applicants' desire to be bound by a particular mechanism of action, it is envisioned that STRAP recruits Smad7 from the cytosol to facilitate its association with the activated receptor complex. Furthermore, STRAP stabilizes the interaction between Smad7 and the activated type I receptor in a dose-dependent manner.

Similarly, Smad7 can also enhance the binding between STRAP and the receptor. This is supported by the observation that Smad7 strongly induces receptor-mediated phosphorylation of STRAP *in vivo*. Examples 1-4 indicate that by interacting with the receptor complex and Smad7, STRAP recruits Smad7 to the activated receptor to form a complex and stabilizes Smad7-receptor complexes, which is critical for Smad7 to prevent Smad2/Smad3 access to the receptor. While it is not applicants' desire to be bound by a particular mechanism of action, it is envisioned that these observations characterize an aspect of how STRAP synergizes with Smad7 to block TGF- $\beta$ -mediated transcriptional responses.

As the number of serine/threonine kinase receptors per cell are low depending on the cell type and only a small fraction must be activated for biological responses (Dyson, S. and J. B. Gurdon (1998) *Cell* 93:557-568), facilitating interactions between the receptor complex and Smad7 is envisioned to be of significance *in vivo*. Many WD40 repeat proteins are involved in signal transduction, such as the  $\beta$ -subunit of heterotrimeric G proteins, RACK1, FAN, PLAP, the B $\alpha$  subunit of protein phosphatase 2A, and TRIP-1 (Chen, R.-H., et al. (1995) *Nature* 377:548-552; Griswold-Prenner, I., et al. (1998) *Mol. Cell Biol.* 18:6595-6604; Klages, S. A., et al. (1996) *Cell* 86:937-947). The proteins also help to assemble macromolecular complexes necessary for signaling, as shown for G $\beta$  subunit (Clapham, D. E., and E. J. Neer (1993) *Nature* 365:403-406). Analogous to the recruitment of signaling components to receptor

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tyrosine kinases, it is contemplated that STRAP has a role generally in recruiting downstream regulatory molecules to receptor serine/threonine kinases.

5 Example 4 also shows that the C-terminus of STRAP is required for its phosphorylation *in vivo*. The physical interaction of STRAP with the receptor complex raises the possibility that STRAP is a substrate of the receptors. This Example shows that increase in the phosphorylation of STRAP requires the kinase activity of receptors *in vivo*, but STRAP does not appear to be a direct substrate of the receptors in *in vitro* kinase assays. It is possible that only T $\beta$ R-  
10 I is capable of enhancing this phosphorylation *in vivo*, and the increase in STRAP phosphorylation by T $\beta$ R-II is through activation of the endogenous type I receptor. Smad7 alone has little effect on the phosphorylation of STRAP but it can strongly stimulate this receptor-mediated phosphorylation, perhaps by stabilizing the complex between the receptors and STRAP.

15 The C-terminus of STRAP is required for its phosphorylation and for binding with other phosphoproteins, suggesting the presence of an alternate kinase that might phosphorylate STRAP. STRAP(1-294) interacts with Smad7 and synergizes with it in the inhibition of TGF- $\beta$  signaling. Thus, phosphorylation of STRAP is dispensible for this function. These data suggest  
20 that the increase in STRAP phosphorylation might be mediated by either TGF- $\beta$  receptors indirectly *in vivo*, receptor associated kinases or STRAP associated kinases that are activated by TGF- $\beta$  receptors in a multimeric complex involving Smad7.

STRAP synergizes with Smad7, and not with Smad6, for blocking the  
25 transcriptional responses initiated by TGF- $\beta$ . While it is not applicants' desire to be bound by a particular mechanism of operation, this could be an aspect of a mechanism to maintain specificity and suppress cross talk between signaling pathways. However, it is contemplated that STRAP can function differently with Smad6 and that it can also cooperate with Smad7 for inhibiting  
30 activin and BMP signaling. Although STRAP is expressed in a wide variety of tissues and cell lines, its expression level varies significantly. It is possible that Smad7 requires STRAP for its natural inhibitory activity.

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Recently, Smad7 has been reported to be predominantly localized in the nucleus in the absence of ligand (Itoh, S., et al. (1998) *J. Biol. Chem.* 273:29195-29201), and thus STRAP is contemplated to synergize with Smad7 in this aspect. Additionally, STRAP could form complexes with other components, known or as yet unidentified, of the TGF- $\beta$  signaling pathway and could recruit them to the activated receptor complex. A scaffolding function of STRAP is thus also envisioned to be an aspect of regulating the biological functions of TGF- $\beta$ .

#### Example 5

##### STRAP Potentiates TGF- $\beta$ -mediated Growth Inhibition

Mv1Lu cells alone or stably transfected with vector (PC1) or STRAP-Flag (F2, F13) or STRAP-HA(H4) were incubated with the indicated concentration of TGF- $\beta$ 1 for 20 hours, then labeled with  $^3\text{H}$ -thymidine for 2 hours. The cells were fixed and solubilized in NaOH at room temperature. The radioactivity incorporated into DNA was determined by liquid scintillation counting. The data are presented in the graph of Figure 11, which plots relative growth (% of control sample) versus TGF- $\beta$  concentration in picograms (pg) per milliliter (ml). Thus, STRAP enhances the anti-proliferative effect of TGF- $\beta$ .

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- 20    U.S. Patent No. 5,436,288

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- 25    U.S. Patent No. 4,683,202

U.S. Patent No. 4,686,283

U.S. Patent No. 4,736,866

U.S. Patent No. 5,120,535

U.S. Patent No. 5,780,436

- 30    U.S. Patent No. 5,234,933

U.S. Patent No. 5,279,833

U.S. Patent No. 5,286,634

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- U.S. Patent No. 5,326,902  
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U.S. Patent No. 5,625,125  
U.S. Patent No. 5,489,742  
5 U.S. Patent No. 5,550,316  
U.S. Patent No. 5,770,609  
U.S. Patent No. 5,573,933  
U.S. Patent No. 5,614,396  
U.S. Patent No. 5,651,964  
10 U.S. Patent No. 5,734,033  
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It will be understood that various details of the invention may be changed without departing from the scope of the invention. Furthermore, the  
30 foregoing description is for the purpose of illustration only, and not for the purpose of limitation--the invention being defined by the claims.

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CLAIMS

What is claimed is:

1. An isolated and purified biologically active STRAP polypeptide capable of modulating TGF- $\beta$  biological activity.
- 5 2. The polypeptide of claim 1, wherein the polypeptide comprises a vertebrate STRAP.
3. The polypeptide of claim 1, further comprising the amino acid sequence of SEQ ID NO:2.
4. The polypeptide of claim 1, further comprising:
  - 10 (a) a polypeptide encoded by a nucleic acid sequence as set forth in SEQ ID NO:1;
  - (b) a polypeptide encoded by a nucleic acid having at least about 75% or greater homology to a nucleic acid sequence as set forth in any of SEQ ID NOs:1, 3 and 5;
  - 15 (c) a polypeptide encoded by a nucleic acid capable of hybridizing under stringent conditions to a nucleic acid comprising a sequence or the complement of a sequence as set forth in SEQ ID NO:1;
  - (d) a polypeptide which is a biologically functional equivalent of a peptide as set forth in SEQ ID NO:2;
  - 20 (e) a polypeptide which is immunologically cross-reactive with antibodies which are immunologically reactive with peptides encoded by the nucleic acid sequences as set forth in SEQ ID NO:2;
  - 25 (f) a polypeptide encoded by a nucleic acid molecule capable of hybridizing to a nucleic acid molecule having the sequence of SEQ ID NO:1, or fragments or variants or complementary sequences thereof, under high stringency conditions; or
  - (g) a polypeptide comprising a portion of a polypeptide of a), b), c),  
30 d), e), or f).
5. The polypeptide of claim 1, modified to be in detectably labeled form.

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6. An isolated and purified antibody capable of specifically binding to the polypeptide of claim 1.

7. The antibody of claim 6 which is a monoclonal antibody.

8. The antibody of claim 6 which is a polyclonal antibody.

5 9. A hybridoma cell line which produces the monoclonal antibody of claim 7.

10. An isolated and purified antibody capable of neutralizing the biological activity of the polypeptide of claim 1.

11. The antibody of claim 10 which is a monoclonal antibody.

10 12. The antibody of claim 10 which is a polyclonal antibody.

13. A hybridoma cell line which produces the monoclonal antibody of claim 11.

14. An isolated and purified nucleic acid segment comprising an isolated gene encoding a biologically active STRAP polypeptide capable of modulating TGF- $\beta$  biological activity.

15 15. The nucleic acid segment of claim 14, wherein the isolated gene encodes a vertebrate STRAP.

16. The nucleic acid segment of claim 15, wherein the isolated gene encodes a mammalian STRAP.

20 17. The nucleic acid segment of claim 14, wherein the isolated gene encodes STRAP comprising the amino acid sequence of SEQ ID NO:2.

18. The nucleic acid segment of claim 14, further comprising the STRAP-encoding nucleic acid sequence of SEQ ID NO:1.

25 19. The nucleic acid molecule of claim 18, wherein the isolated nucleic acid molecule selected from the group consisting of:

- (a) an isolated nucleic acid molecule which hybridizes to the nucleic acid sequence given herein as SEQ ID NO:1 under wash stringency conditions represented by a wash solution having less than about 200 mM salt concentration and a wash temperature of greater than about 45°C, and which encodes a STRAP; and
- 30 (b) an isolated nucleic acid molecule differing from the isolated nucleic acid molecule of (a) above in nucleic acid sequence due

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to the degeneracy of the genetic code, and which encodes a STRAP encoded by the isolated nucleic acid of (a) above.

20. The nucleic acid segment of claim 14, further defined as a DNA segment.

5 21. The nucleic acid segment of claim 14, wherein the isolated gene is positioned under the control of a promoter.

22. The nucleic acid segment of claim 14, further defined as a recombinant vector which comprises the isolated gene.

10 23. The nucleic acid segment of claim 22, wherein the vector is a recombinant expression vector.

24. The nucleic acid segment of claim 22, further defined as a nucleic acid fragment of up to 10,000 basepairs in length.

15 25. The nucleic acid segment of claim 24, further defined as comprising at least a 1,000 nucleotide long contiguous stretch of the nucleic acid sequence of SEQ ID NO:1.

26. A recombinant host cell comprising the nucleic acid segment of claim 14.

27. The recombinant host cell of claim 26, wherein the host cell is a procaryotic cell.

20 28. The recombinant host cell of claim 26, wherein the host cell is a eukaryotic cell.

29. A method of preparing a STRAP polypeptide, comprising: transforming a cell with the nucleic acid segment of claim 14 to produce STRAP under conditions suitable for the expression of said polypeptide.

25 30. A method of detecting in a sample an RNA that encodes the STRAP polypeptide encoded by the nucleic acid of claim 14, said method comprising the steps of:

(a) contacting said sample under hybridizing conditions with the nucleic acid segment of claim 14 to form a duplex; and

30 (b) detecting the presence of said duplex.

31. A method of producing an antibody immunoreactive with a STRAP polypeptide, the method comprising steps of:

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- (a) transfecting a recombinant host cell with the nucleic acid segment of claim 14, which encodes a STRAP polypeptide;
- (b) culturing the host cell under conditions sufficient for expression of the polypeptide;
- 5 (c) recovering the polypeptide; and
- (d) preparing the antibody to the polypeptide.

32. The method of claim 31, wherein the polypeptide comprises SEQ ID NO:2.

10 33. The method of claim 31, wherein the nucleic acid segment comprises SEQ ID NO:1.

34. An antibody produced by the method of claim 31.

15 35. A method of detecting a STRAP polypeptide, the method comprising immunoreacting the polypeptide with an antibody prepared according to the method of claim 31 to form an antibody-polypeptide conjugate; and detecting the conjugate.

36. A method of detecting a messenger RNA transcript that encodes a STRAP polypeptide, the method comprising the steps of hybridizing the messenger RNA transcript with the nucleic acid segment of claim 14 to form a duplex; and detecting the duplex.

20 37. A method of detecting a DNA molecule that encodes a STRAP polypeptide, the method comprising the steps of hybridizing DNA molecules with the nucleic acid segment of claim 14 to form a duplex; and detecting the duplex.

25 38. An assay kit for detecting the presence of a STRAP polypeptide in a biological sample, the kit comprising a first container containing a first antibody capable of immunoreacting with a STRAP polypeptide of claim 1, wherein the first antibody is present in an amount sufficient to perform at least one assay.

30 39. The assay kit of claim 38, further comprising a second container containing a second antibody that immunoreacts with the first antibody.

40. The assay kit of claim 39, wherein the first antibody and the second antibody comprise monoclonal antibodies.

41. The assay kit of claim 39, wherein the first antibody is affixed to a solid support.

42. The assay kit of claim 39, wherein the first and second antibodies each comprise an indicator.

5 43. The assay kit of claim 42, wherein the indicator is a radioactive label or an enzyme.

44. An assay kit for detecting the presence, in biological samples, of a STRAP polypeptide, the kit comprising a first container that contains a nucleic acid segment identical or complimentary to a segment of at least ten  
10 contiguous nucleotide bases of the nucleic acid segment of claim 14.

45. An assay kit for detecting the presence, in a biological sample, of an antibody immunoreactive with a STRAP polypeptide, the kit comprising a first container containing a STRAP polypeptide of claim 1 that immunoreacts with the antibody, with the polypeptide present in an amount sufficient to  
15 perform at least one assay.

46. A method of screening candidate substances for an ability to modulate STRAP biological activity and to thereby modulate TGF- $\beta$  biological activity, the method comprising the steps of:

- 20 (a) establishing replicate test and control samples that comprise TGF- $\beta$  and a STRAP polypeptide capable modulating the biological activity of TGF- $\beta$ ;
- (b) administering a candidate substance to the test sample but not the control sample;
- 25 (c) measuring the biological activity of TGF- $\beta$  in the test and the control samples; and
- (d) determining that the candidate substance modulates STRAP biological activity and thereby modulates the biological activity of TGF- $\beta$  if the biological activity of TGF- $\beta$  measured for the test sample is greater or less than the  
30 biological activity of TGF- $\beta$  level measured for the control sample.

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47. The method of claim 46, wherein the replicate test and control samples further comprise a cell that expresses a vertebrate STRAP polypeptide capable of modulating the biological activity of TGF- $\beta$ .

48. A recombinant cell line suitable for use in the method of claim 46.

5 49. A method of modulating STRAP polypeptide activity in a vertebrate, the method comprising the step of administering to the vertebrate an effective amount of a substance capable of modulating the STRAP polypeptide activity in the vertebrate, whereby modulation of the STRAP polypeptide activity is accomplished.

10 50. The method of claim 49, wherein the step of administering further comprises administering an effective amount of a substance that modulates expression of a STRAP-encoding nucleic acid segment in the vertebrate.

15 51. The method of claim 50, wherein the substance that modulates expression of a STRAP-encoding nucleic acid segment comprises an antisense oligonucleotide.

52. The method of claim 49, where the substance that modulates the STRAP activity comprises an anti-STRAP antibody.

53. The method of claim 52, where the anti-STRAP antibody comprises a monoclonal activity.

20 54. The method of claim 49, wherein the STRAP activity comprises modulating TGF- $\beta$  activity, and wherein the step of administering comprises administering to the vertebrate an effective STRAP-modulating amount of a substance capable of modulating STRAP modulation of TGF- $\beta$  activity.

55. The method of claim 49, wherein the vertebrate is a mammal.

25 56. The method of claim 55, wherein the mammal is a human.

57. A method of treating a subject suffering from a disorder associated with TGF- $\beta$  activity in the subject, the method comprising the steps of:

30 (a) administering to the subject an effective amount of a substance capable of modulating STRAP activity in the subject, whereby modulation of the STRAP polypeptide activity is accomplished; and



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- (b) modulating the TGF- $\beta$  activity in the subject through the modulation of the STRAP activity, whereby treatment of the disorder is accomplished.

5 58. The method of claim 57, wherein the step of administering further comprises administering an effective amount of a substance that modulates expression of a STRAP-encoding nucleic acid segment in the patient.

59. The method of claim 58, wherein the substance that modulates expression of a STRAP-encoding nucleic acid segment comprises an antisense oligonucleotide.

10 60. The method of claim 57, wherein the substance capable of modulating STRAP activity in the vertebrate comprises an anti-STRAP antibody.

61. The method of claim 60, wherein the anti-STRAP antibody comprises a monoclonal antibody.

15 62. A method of treating a subject suffering from a disorder associated with TGF- $\beta$  activity in the subject, the method comprising the step of administering to the subject a therapeutic composition which comprises a biologically active STRAP in amount effective to modulate the biological activity of TGF- $\beta$  in the subject, whereby treatment of disorder associated with TGF- $\beta$   
20 activity in the subject is accomplished.

63. The method of claim 62, wherein the therapeutic composition comprises STRAP as essentially set forth in SEQ ID NO:2 and a pharmaceutically acceptable carrier.

25 64. A pharmaceutical composition comprising an isolated and purified biologically active STRAP polypeptide and a pharmaceutically acceptable carrier.

65. The pharmaceutical composition of claim 64, wherein the polypeptide comprises a polypeptide as essentially set forth in SEQ ID NO:2.

30 66. The pharmaceutical composition of claim 64, wherein the polypeptide is encoded by a polynucleic acid sequence as essentially set forth in SEQ ID NO:1.

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67. The pharmaceutical composition of claim 64, further comprising a cell expressing the polypeptide.

5 68. A pharmaceutical composition comprising an isolated and purified polynucleic acid encoding a biologically active STRAP polypeptide and a pharmaceutically acceptable carrier.

69. The pharmaceutical composition of claim 68, wherein the encoded polypeptide comprises an amino acid sequence as essentially set forth in SEQ ID NO:2.

10 70. The pharmaceutical composition of claim 68, further comprising a STRAP-encoding polynucleic acid sequence as essentially set forth in any of SEQ ID NO:1.

71. The pharmaceutical composition of claim 68, wherein the polynucleic acid is a DNA segment.

15 72. The pharmaceutical composition of claim 68, wherein the polynucleic acid is positioned under the control of a promoter.

73. The pharmaceutical composition of claim 68, wherein the polynucleic acid further comprises a recombinant vector.

74. The pharmaceutical composition of claim 68, further comprising a cell expressing the encoded polypeptide.

20 75. A transgenic non-human animal having incorporated into its genome a nucleic acid segment comprising an isolated gene encoding a biologically active STRAP polypeptide capable of modulating TGF- $\beta$  activity, the nucleic acid segment being present in said genome in a copy number effective to confer expression in the animal of the STRAP polypeptide.

25 76. The transgenic non-human animal of claim 75, wherein said nucleic acid segment is further defined as a mammalian STRAP-encoding segment.

30 77. The transgenic non-human animal of claim 75, wherein the expression of the STRAP polypeptide is conferred in smooth muscle tissue of the animal.

Smads in TGF- $\beta$  Signal Transduction

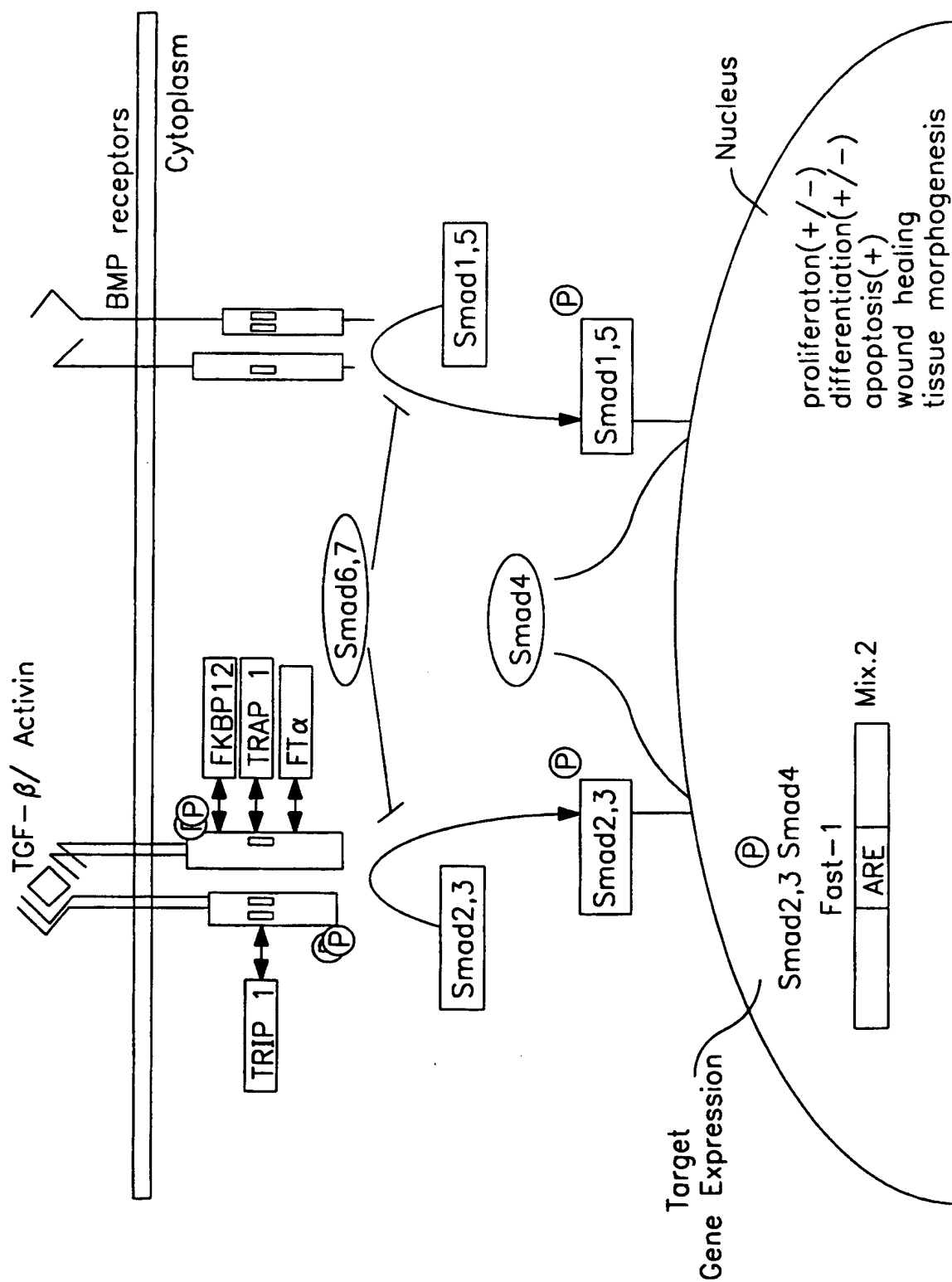


FIG. 1

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FIG. 2A

NAMRQTPLTCSGHTRPVVDLAFSGITPYGYFLISACKDGKPM~~LR~~OGDTGDWIGTFLGHKGAVWGATLNKDATKAA 75  
 TAAADETAKVWDVAVSGDELMTLAHKHIVKTVDFTODSNYLLTGGODKLLRIYDLNKNPEAEPKEISGHTSGIKKAL 150  
 WCSDDKOILSADDKTVRLWDHATMTVEVKSLNFMNSVSSMEYIPEGEILVITYGRSIAFHSAVSLEPIKSFEEAPAT 225  
 INSASLHPEKEFLVAGGEDFKLYKYDYNSGEELESYKGFHGPICHVRFSPDGELYASGSEDTGLRLWOTVVGKTY 300  
 STRAP (1-294)  
 GLWKCVLPEDDSGELAKPKIGFPETAEEELAEELIASENSDSIYSSTPEVKA 351

FIG. 2B

1 NAMRQTPLTCSGHTRPVVDLAFSGITPYGYFLISACKDGKPM~~LR~~OGDTGDWIGTFLGHKGAVWGATLNKDATKAA STRAP  
 1 M-----KEILLQGHESITQIKYN---REGDILFTVAKDPIVNWVSNVNGERLGIYMGHITCAVWCVDADWDTKHVL TRIP-1  
 76 TAAADETAKVWDVAVSGDELMTLAHKHIVKTVDFTODSNYLLTGGODKL-----LRITYDLNKP-----EAEP-KEIS STRAP  
 69 TGSADNSCRIMDCETGKQALAKINSASAVRICGEDFGGNLIMFSTDKQMGYQCFCVSVFFDLRDPSSQIDNNEPYMKIP TRIP-1  
 141 GHTSCIKKALACSDDKQILSADDKTVRLWDHATMT-----MKSINFMNSVSSMEYIPEGEILVITYGRSIA-FHSA STRAP  
 145 CNDSKLITSAVAGPLGEOILAGHE-SGELNQYSAKSGEVLNVKHSRQINDIQLSRDMIMFVTFASKDNTAKLFDSTRIP-1  
 212 VSLIPIKSFEEAPATINSASLHPEKEFLVAGGED-----FKLYKYDYNSGEELESYKGFHGPICHVSTRAP  
 219 TILHOKIERTERPVSAAALSPNVDHVWLGGGQEAAMDVITTSIRIGKEEARFFHLAFEEFGFVKGHFGCPINSVATRIP-1  
 273 FSPDGEIVASGSEDTGLRLWQTVVGKTYGLWKCVLPEDDSGELAKPKIGFPETAEEELAEELIASENSDSIYSSTP STRAP  
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 348 EVKA STRAP  
 322 EFEA TRIP-1

3 / 18

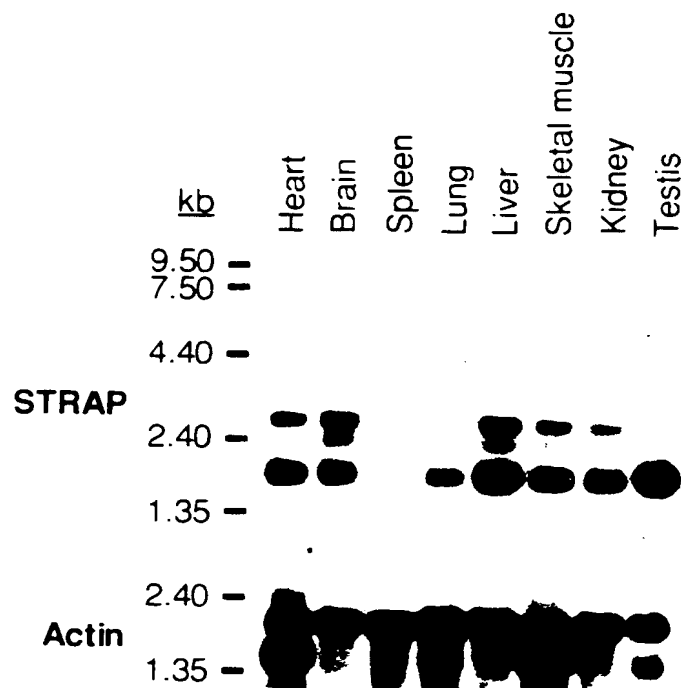


FIG. 2C

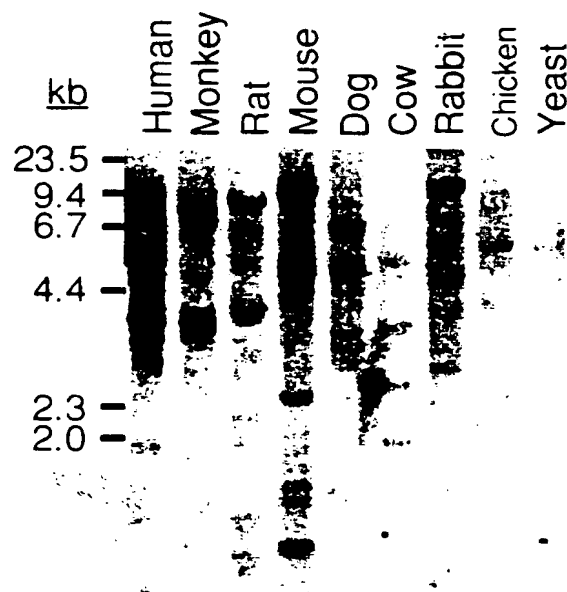


FIG. 2D

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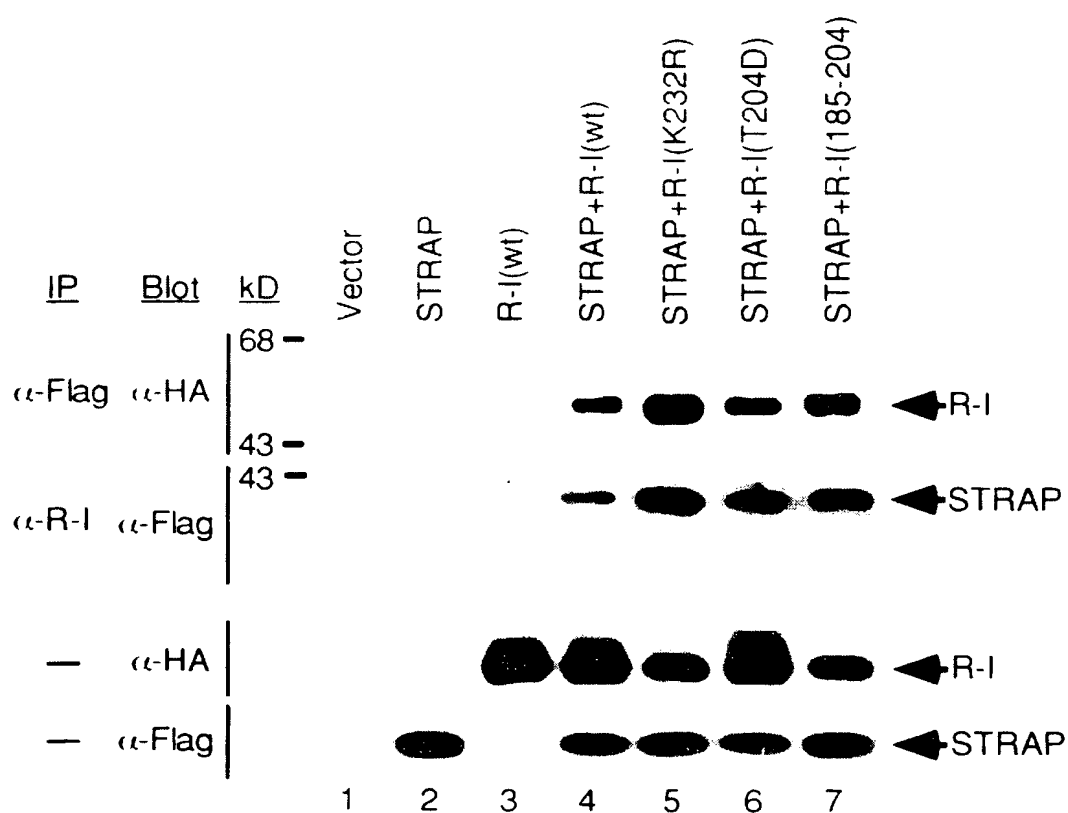


FIG. 3A

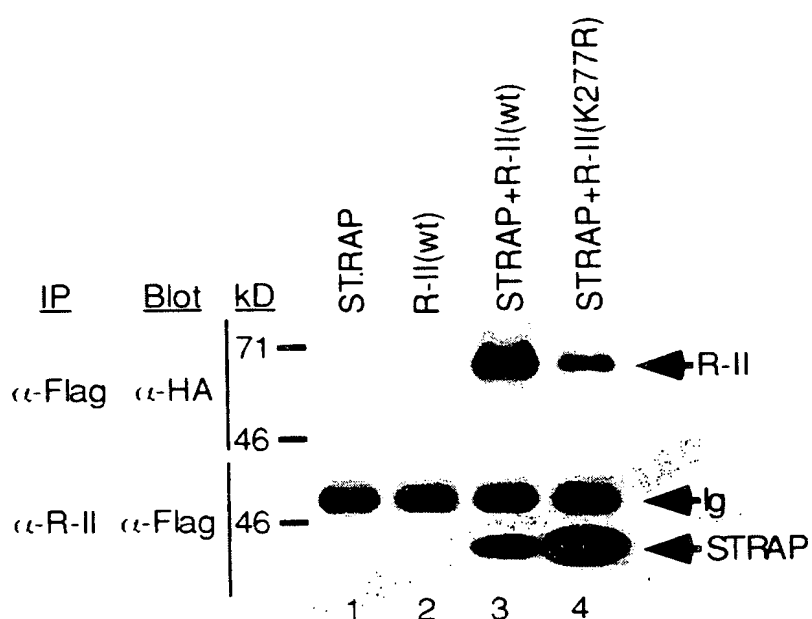


FIG. 3B

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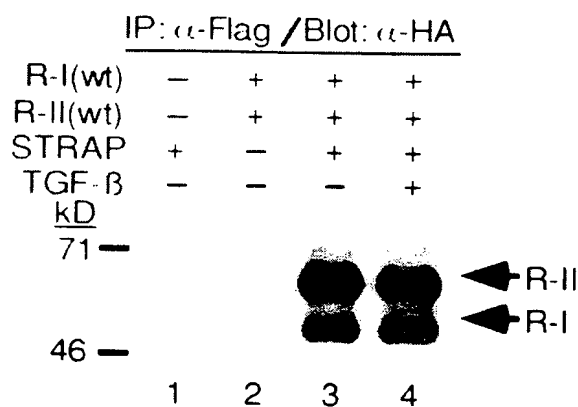


FIG. 3C

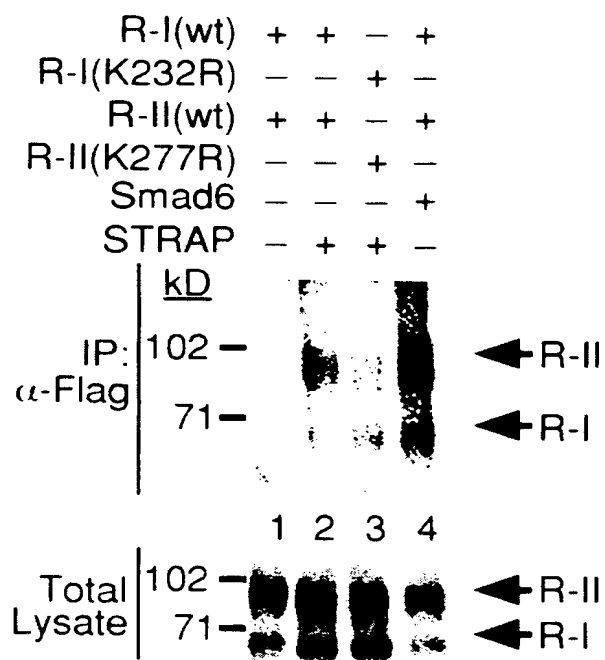


FIG. 3D

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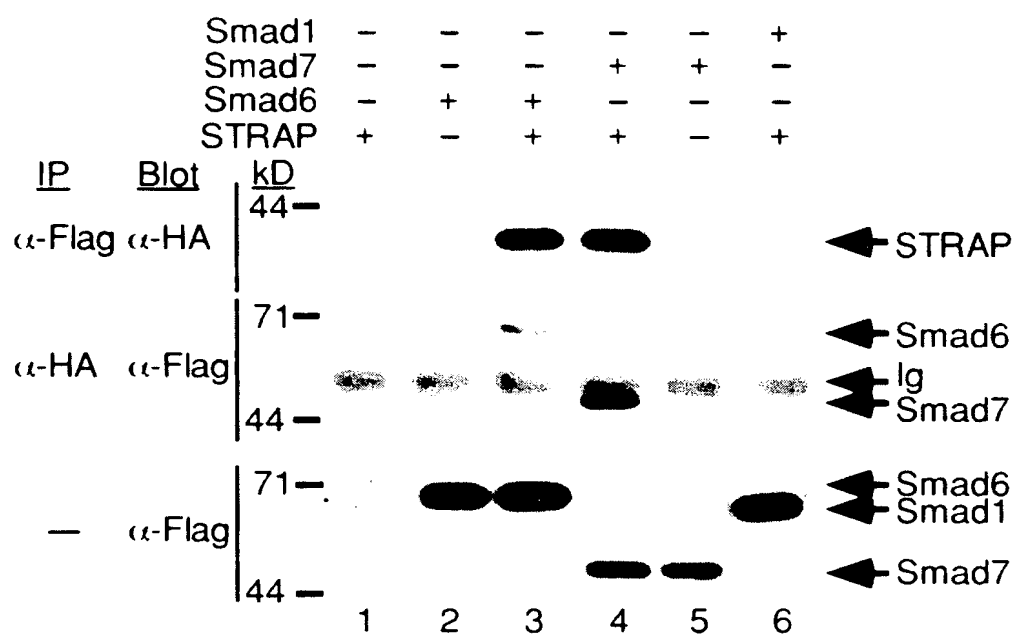


FIG. 3E



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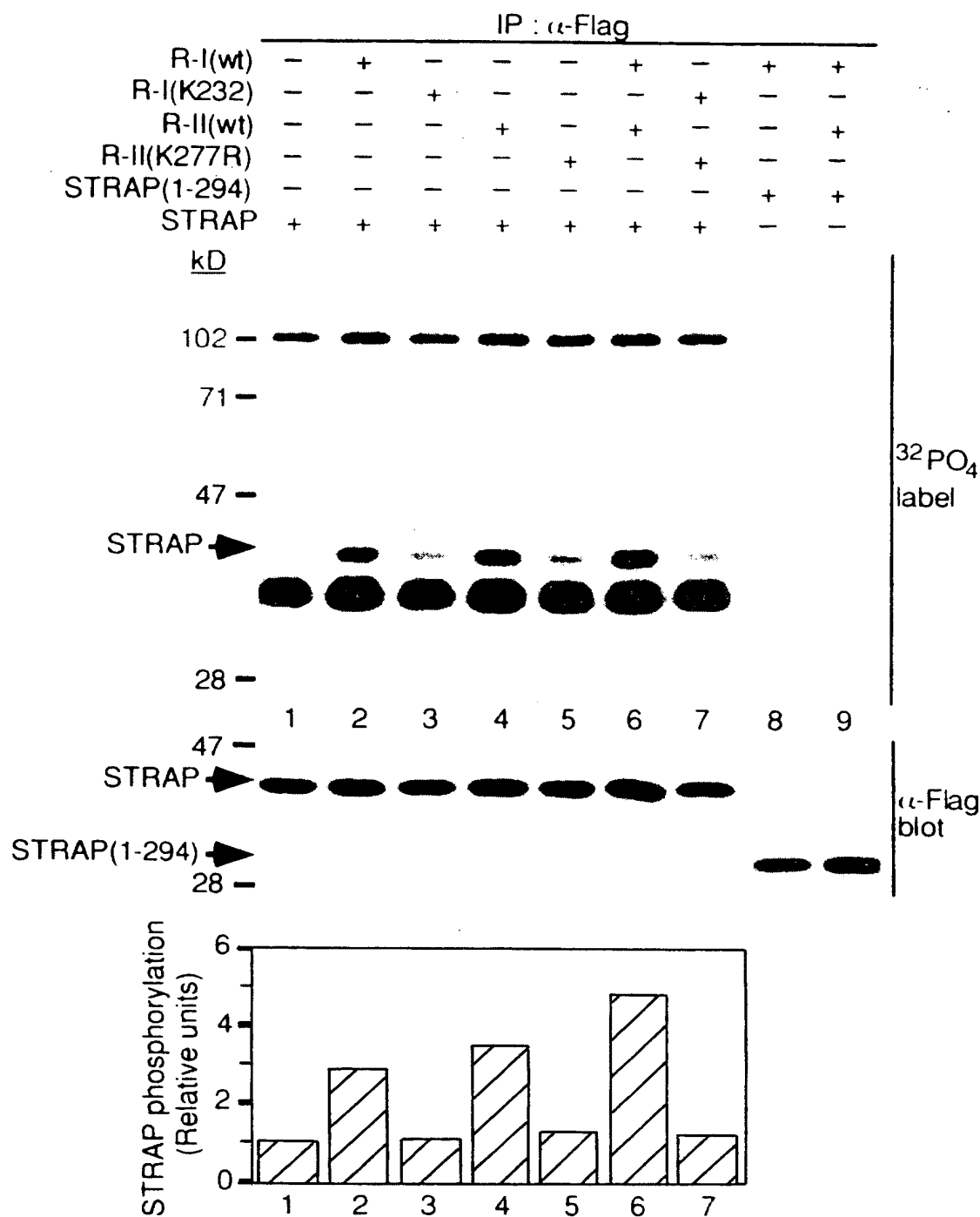


FIG. 4A

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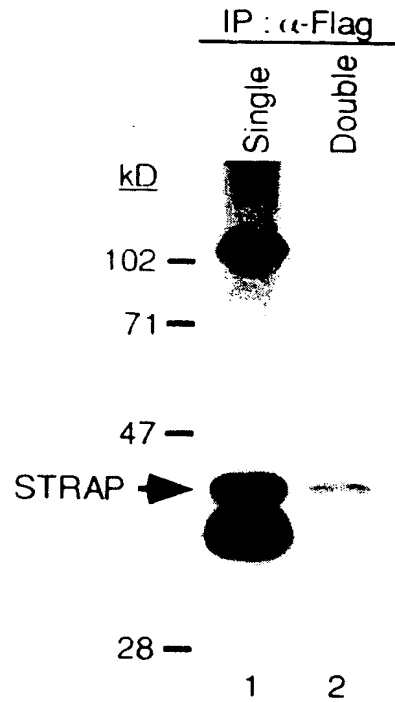


FIG. 4B

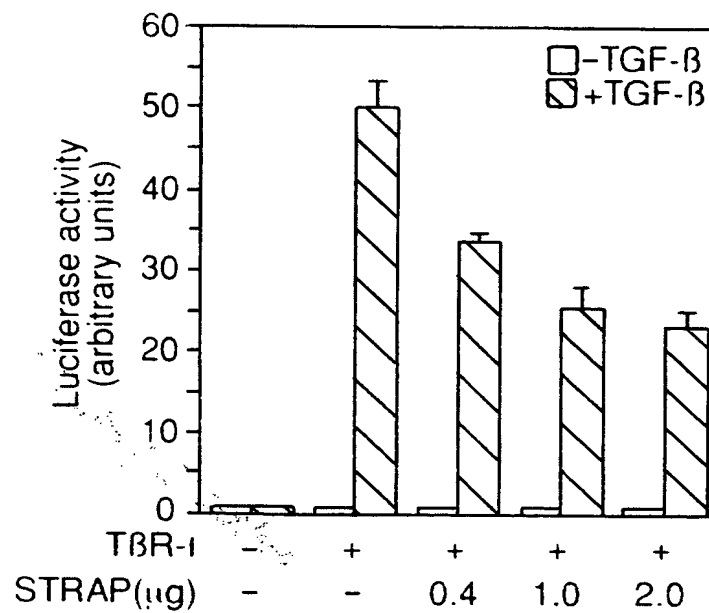


FIG. 4C

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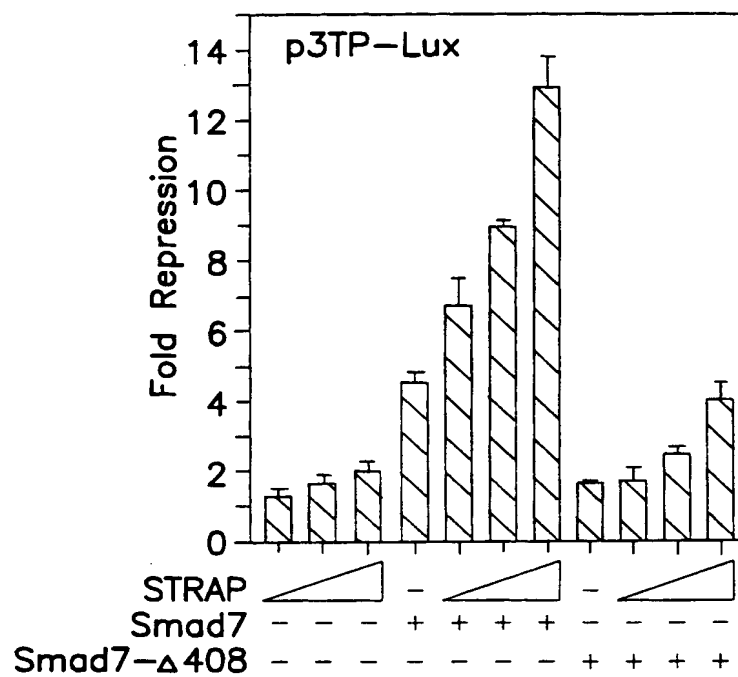


FIG. 5A

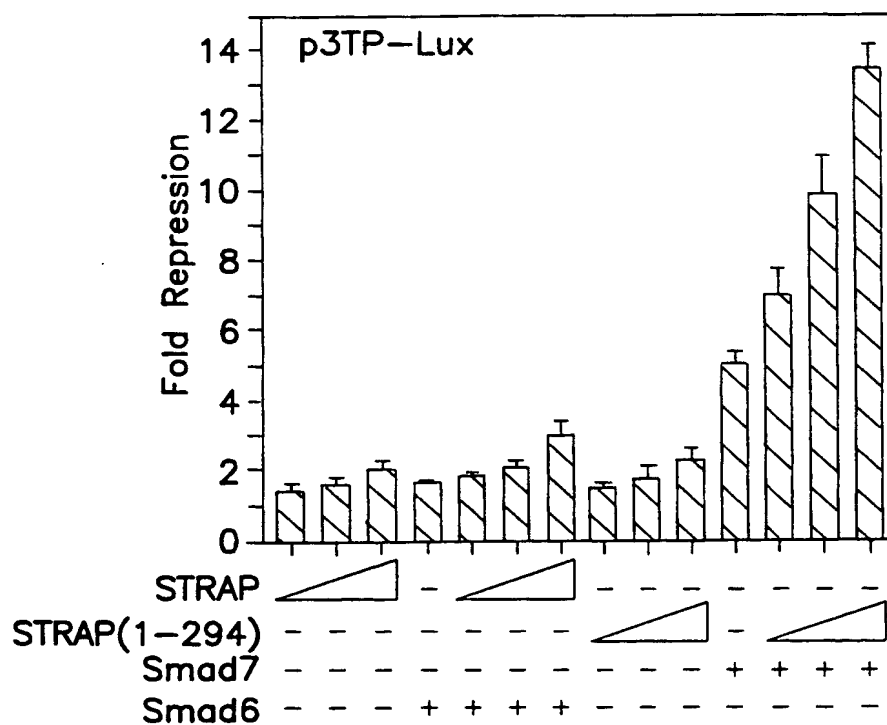


FIG. 5B

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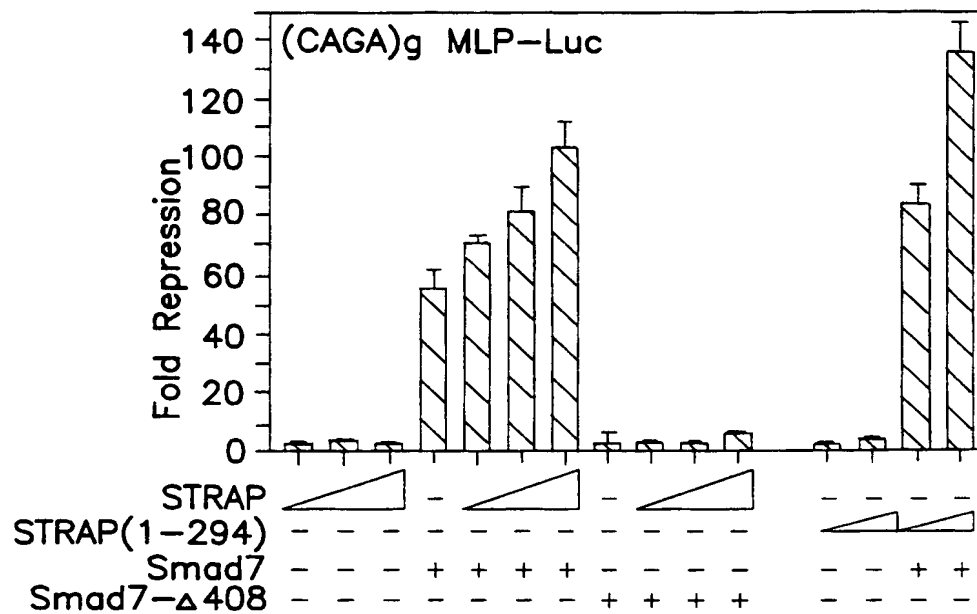


FIG. 6A

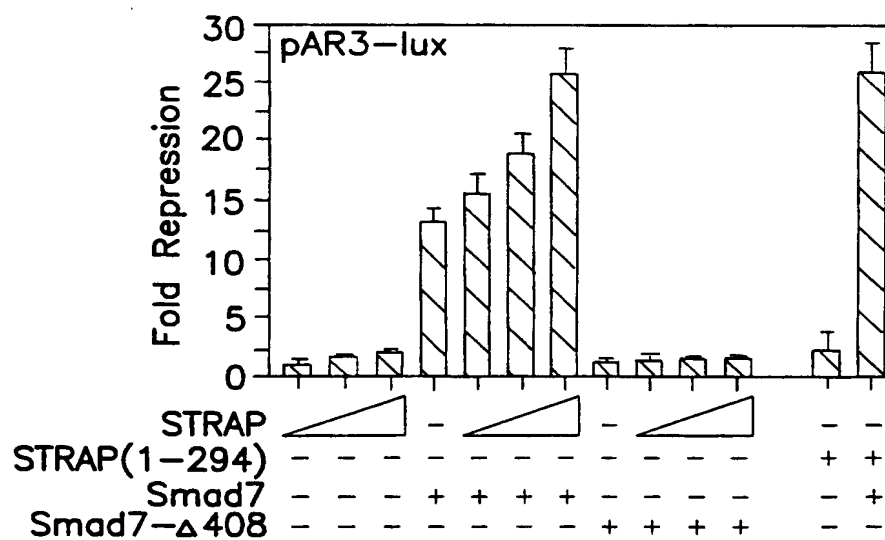


FIG. 6B

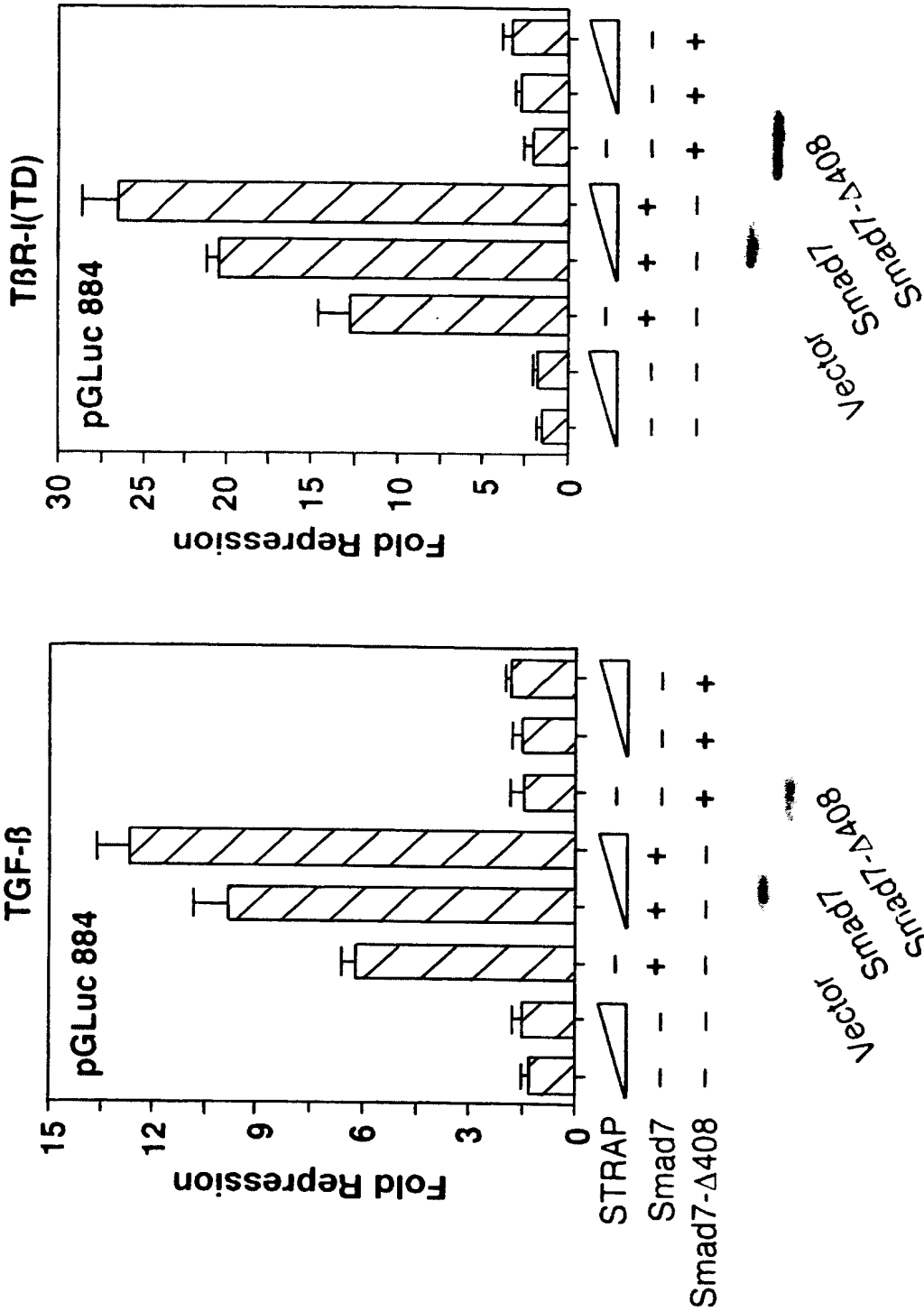


FIG. 6D

FIG. 6C

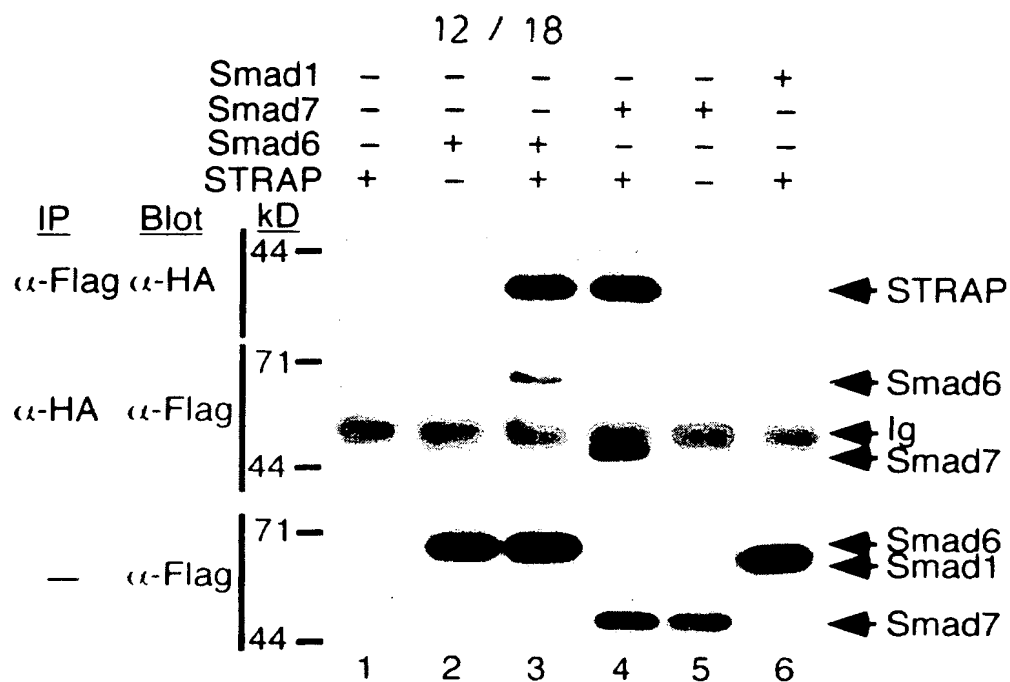


FIG. 7A

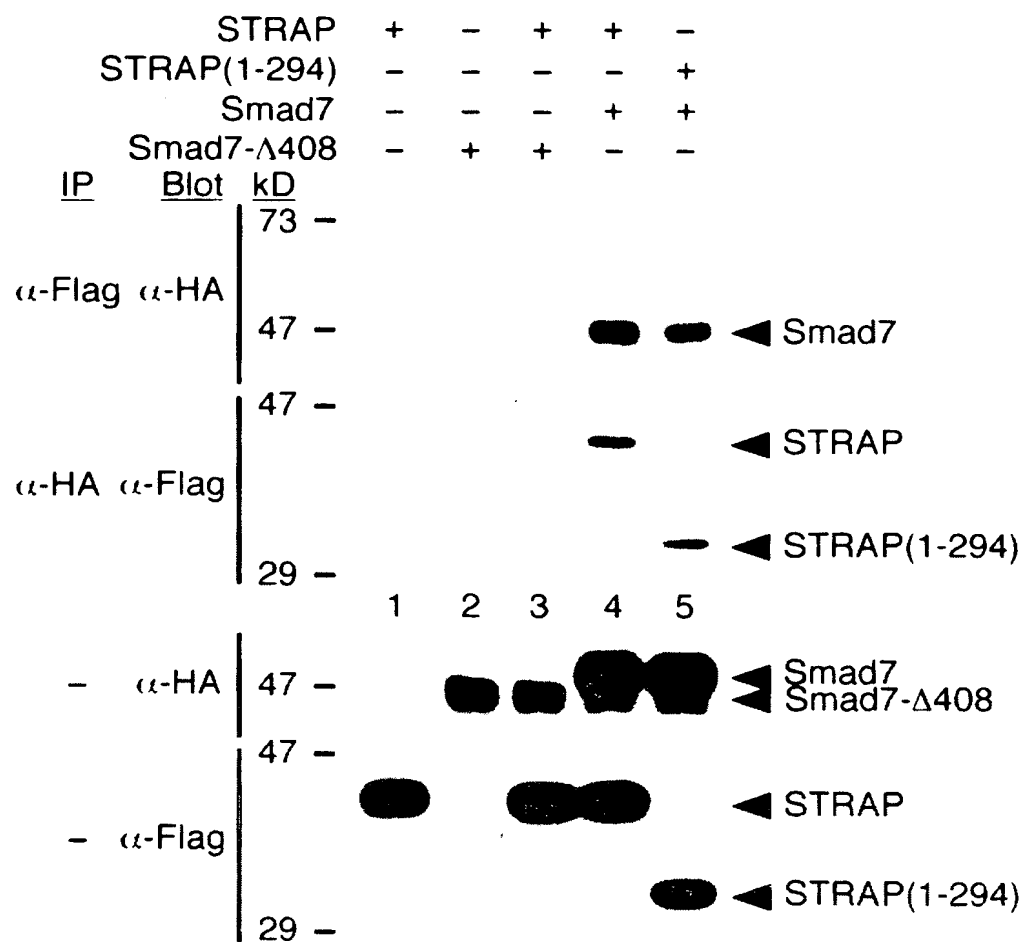


FIG. 7B

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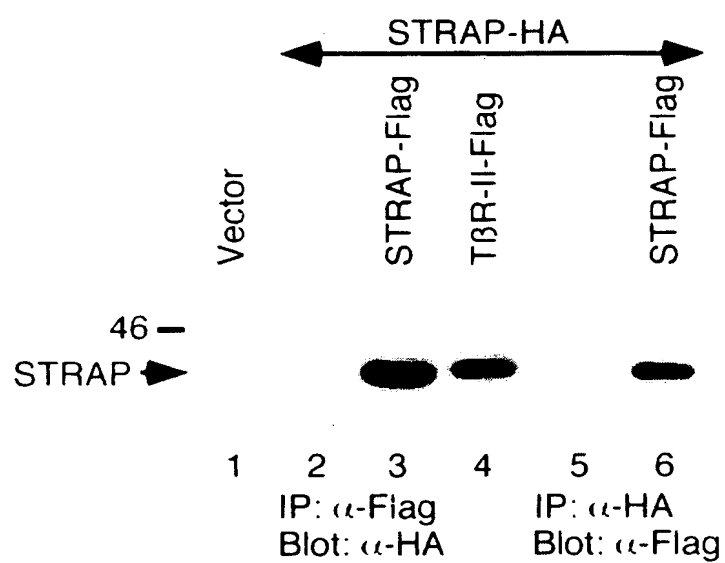


FIG. 7C

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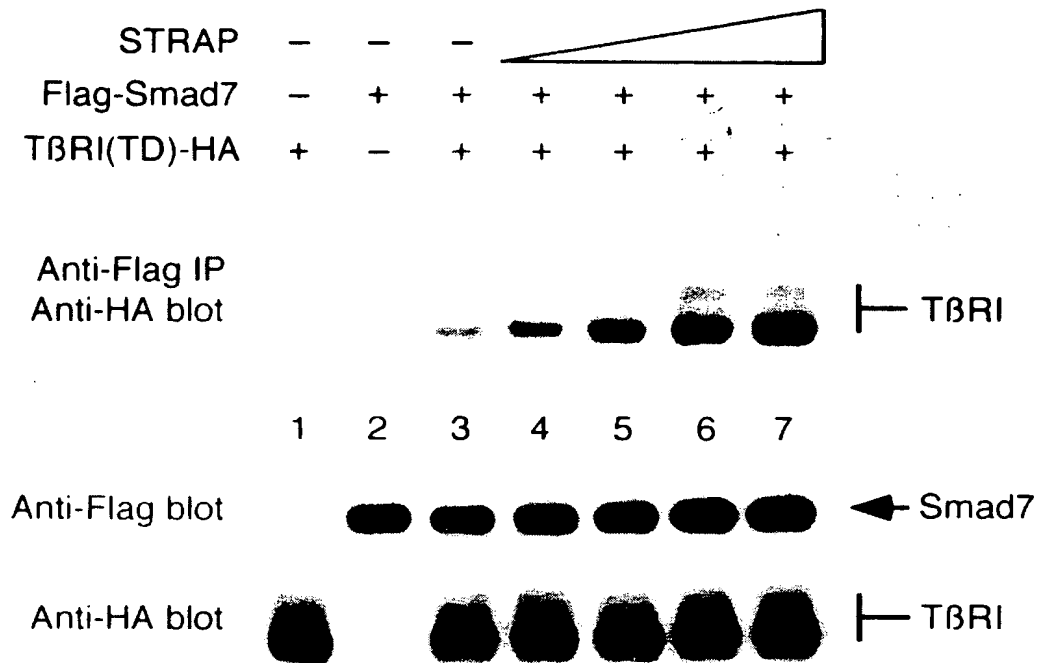
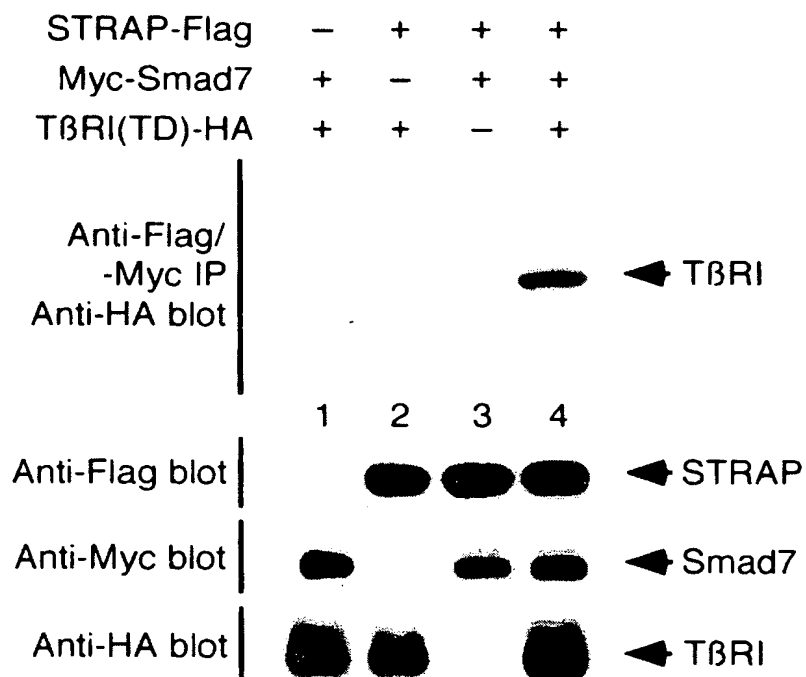


FIG. 8A



Steps: 1) Anti-Flag IP  
 2) Elute with Flag peptide  
 3) Anti-Myc IP  
 4) Anti-HA immunoblotting

FIG. 8B



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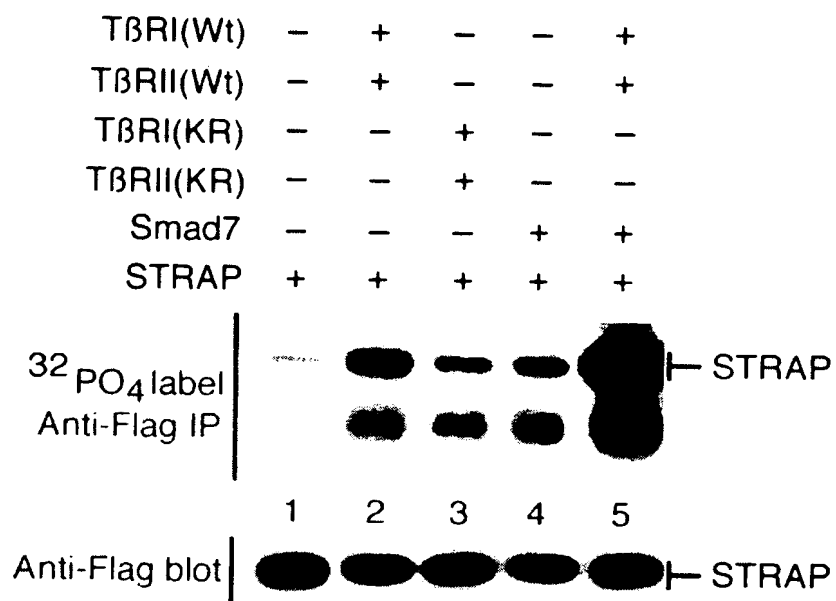


FIG. 9A

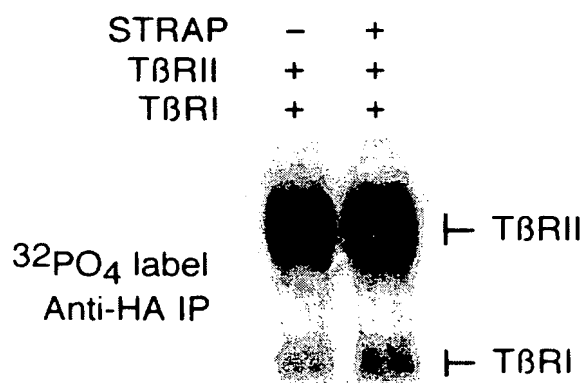


FIG. 9B

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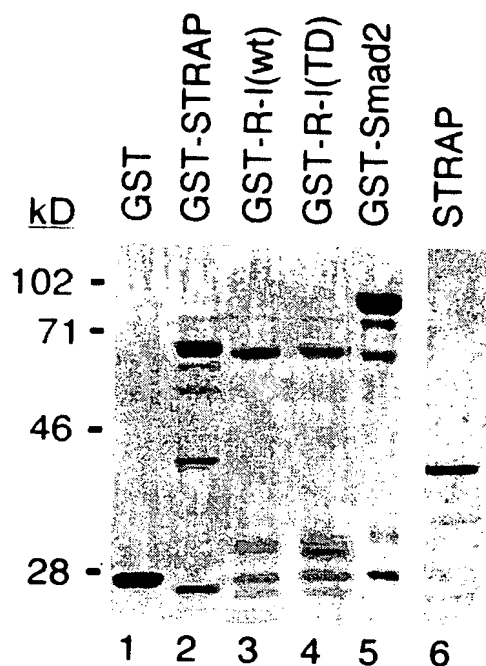


FIG. 10A

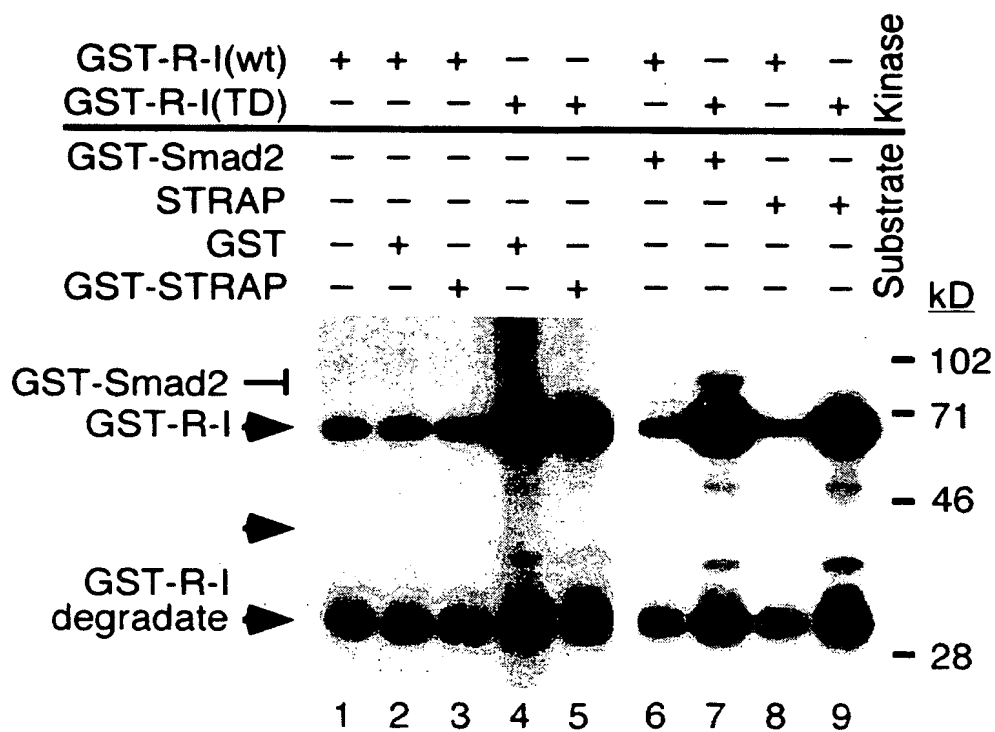


FIG. 10B

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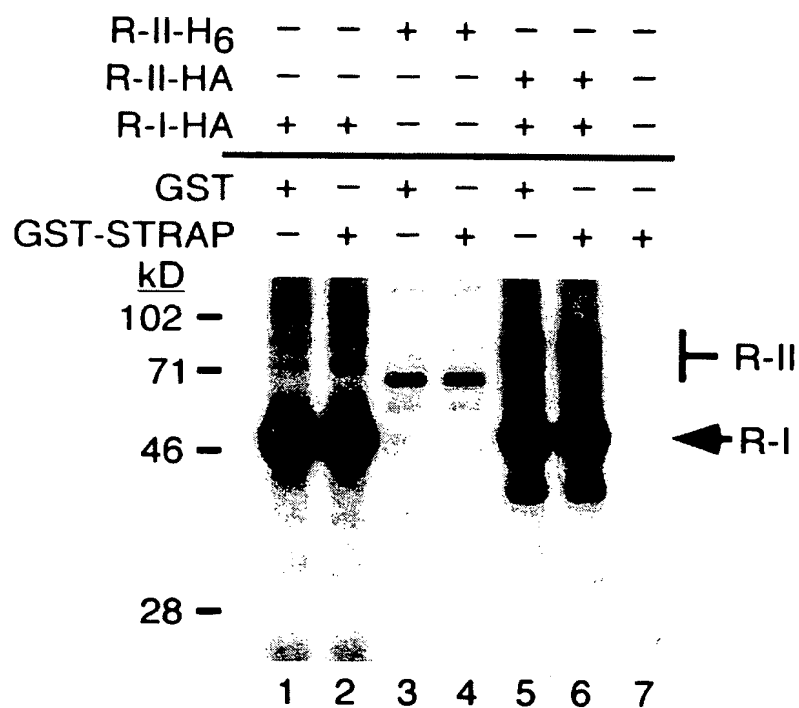


FIG. IOC

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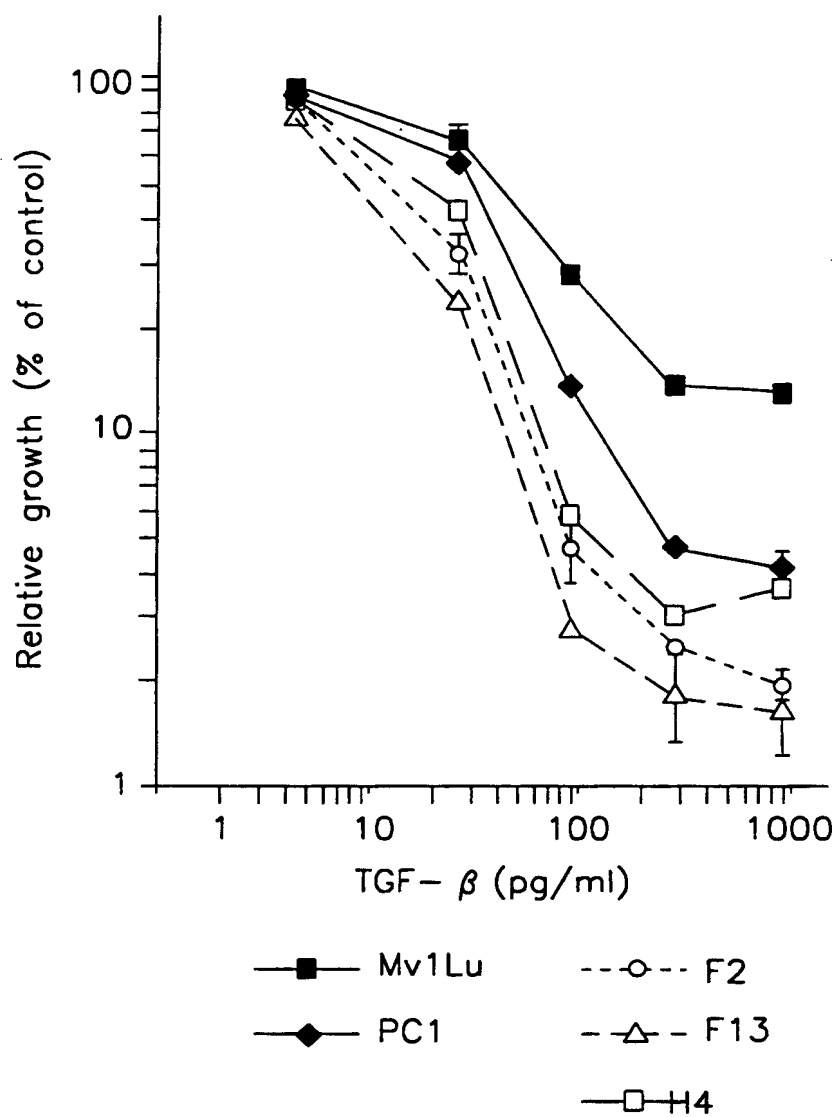


FIG. II

-1-

## SEQUENCE LISTING

<110> Vanderbilt University  
Datta, Pran K.  
Moses, Harold L.

5     <120> PURIFIED AND ISOLATED SERINE-THREONINE KINASE  
RECEPTOR ASSOCIATED PROTEIN AND USE OF SAME IN THE  
MODULATION OF THE BIOLOGICAL ACTIVITY OF TGF-BETA

<130> Attorney Docket No. 1242-14 PCT

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10	Val Lys Ser Leu Asn Phe Asn Met Ser Val Ser Ser Met Glu Tyr Ile		
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20	Glu Leu Glu Ser Tyr Lys Gly His Phe Gly Pro Ile His Cys Val Arg		
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## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US99/29267**A. CLASSIFICATION OF SUBJECT MATTER**

IPC(6) : Please See Extra Sheet.

US CL : 530/350; 536/23.1, 23.5; 435/320.1, 325

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 530/350; 536/23.1, 23.5; 435/320.1, 325

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

WEST, DIALOG, MEDLINE, BIOSIS, SCISEARCH, EMBASE

search terms: STRAP, peptide, polypeptide, DNA, nucleotide, polynucleotide, cell line, vector, TGF-beta

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 4,863,899 A (TODARO) 05 September 1989(05.09.89), see entire document.	1-5, 14-28 45, 49-56, 64-74
A	US 4,742,003 A (DERYNCK et al) 03 May 1988(03.05.88), see entire document.	1-5, 14-28, 45, 49-56 64-74
A	US 4,816,561 A (TODARO) 28 March 1989(28.03.89), see entire document.	1-4, 14-28, 45, 49-56, 64-74



Further documents are listed in the continuation of Box C.



See patent family annex.

* Special categories of cited documents:	
"A" document defining the general state of the art which is not considered to be of particular relevance	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"E" earlier document published on or after the international filing date	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"O" document referring to an oral disclosure, use, exhibition or other means	"&" document member of the same patent family
"P" document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

31 MARCH 2000

Date of mailing of the international search report

21 APR 2000

Name and mailing address of the ISA/US  
Commissioner of Patents and Trademarks  
Box PCT  
Washington, D.C. 20231

Facsimile No. (703) 305-3230

Authorized officer

BRETT L NELSON

Telephone No. (703) 308-0196

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US99/29267

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This international report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
  
2. ☐ Claims Nos.:  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
  
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

Please See Extra Sheet.

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☒ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:  
1-5, 14-28, 45, 49-56, 64-74
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

### Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.  
☒ No protest accompanied the payment of additional search fees.

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US99/29267

## A. CLASSIFICATION OF SUBJECT MATTER: IPC (6):

C07K 1/00, 14/00; C07H 21/02, 21/04; C12N 15/00, 15/09, 15/63, 15/70, 15/74, 5/00, 5/02

## BOX II. OBSERVATIONS WHERE UNITY OF INVENTION WAS LACKING

This ISA found multiple inventions as follows:

This application contains the following inventions or groups of inventions which are not so linked as to form a single inventive concept under PCT Rule 13.1. In order for all inventions to be searched, the appropriate additional search fees must be paid.

Group I, claim(s) 1-5, 45, and 64-67, drawn to a STRAP polypeptide, kit and pharmaceutical composition.

Group II, claim(s) 6-13, drawn to an antibody, hybridoma cell line.

Group III, claim(s) 14-28 and 68-74, drawn to a nucleic acid, host cell and pharmaceutical composition.

Group IV, claim(s) 29, drawn to a method of preparing STRAP.

Group V, claim(s) 30, 36 and 44, drawn to a method of detecting RNA that encodes STRAP and kit.

Group VI, claim(s) 31-34, drawn to a method of producing antibodies.

Group VII, claim(s) 35 and 38-43, drawn to a method of detecting a polypeptide employing an antibody and kit.

Group VIII, claim(s) 37, drawn to a method of detecting DNA.

Group IX, claim(s) 46 and 47, drawn to a method of screening candidate substances.

Group X, claim(s) 48, drawn to a recombinant cell line.

Group XI, claim(s) 49-56, drawn to a method of modulating STRAP.

Group XII, claim(s) 57-61, drawn to a method of treatment employing a substance capable of modulating STRAP.

Group XIII, claim(s) 62-63, drawn to a method of treatment employing a biological active STRAP.

Group XIV, claim(s) 75-77, drawn to a transgenic animal.

The inventions listed as Groups I-XIV do not relate to a single inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, they lack the same or corresponding special technical features for the following reasons: the different Groups recite multiple methods and multiple products and P.C.T. Rules 13.1 and 13.2 do not allow for multiple methods and products.

It should be noted that while applicant requested that the search be carried out on two additional Groups (Groups III and XI), applicant only paid for one additional Group. Therefore, Groups I and III will be searched.